

• Supplementary File •

## Compensation of uncertain linear actuator dynamics for a class of cascaded PDE-ODE systems

Jian Li<sup>1\*</sup> & Yungang Liu<sup>2</sup>

<sup>1</sup>*School of Mathematics and Information Sciences, Yantai University, Yantai, 264005, P. R. China;*

<sup>2</sup>*School of Control Science and Engineering, Shandong University, Jinan, 250061, P. R. China*

### Appendix A Proof of Claim (9)

The proof is given by the following recursive steps:

**Step 1:** We choose  $V_1 = \frac{1}{2} (X^T P X + \rho \|\omega\|^2 + \zeta_1^2 + \tilde{\delta}_1^2)$  with  $\tilde{\delta}_1 = \delta_1 - \hat{\delta}_1$  and  $\delta_1 = \nu_1$ . By (6) and (7), we have

$$\begin{aligned} \dot{\zeta}_1 &= \dot{Z}_1 - \dot{\chi} = Z_2 + Z_1^T \nu_1 - \dot{\chi} = \zeta_2 + \tau_1 + Z_1^T \nu_1 - \dot{\chi} \\ &= \zeta_2 - \rho \partial_x \omega(1) - \left( c_1 + \frac{3|PB|^2}{\lambda_Q} \right) \zeta_1 + h_1^T \tilde{\delta}_1. \end{aligned} \quad (A1)$$

Then, by (4), (8) and using the second equation of (5) with  $k = 1$ , we compute  $\dot{V}_1$ , i.e.,

$$\dot{V}_1 = -\frac{1}{2} X^T Q X + X^T P B \omega(0) + \rho \omega(1) \partial_x \omega(1) - \rho \|\partial_x \omega\|^2 + \zeta_1 \left( \zeta_2 - \rho \partial_x \omega(1) - \left( c_1 + \frac{3|PB|^2}{\lambda_Q} \right) \zeta_1 \right).$$

By using Agmon's and then Poincaré's Inequalities (see Lemmas 1 and 2 in Appendix E below), we have

$$w^2(0) \leq w^2(1) + \|\omega\|^2 + \|\partial_x \omega\|^2 \leq 3w^2(1) + 5\|\partial_x \omega\|^2.$$

Then, by using Young's Inequality, we obtain

$$X^T P B \omega(0) \leq \frac{\lambda_Q}{4} |X|^2 + \frac{|PB|^2}{\lambda_Q} (3w^2(1) + 5\|\partial_x \omega\|^2),$$

which results into that

$$\dot{V}_1 \leq -\frac{\lambda_Q}{4} |X|^2 - \left( \rho - \frac{5|PB|^2}{\lambda_Q} \right) \|\partial_x \omega\|^2 - c_1 \zeta_1^2 + \zeta_1 \zeta_2. \quad (A2)$$

**Step 2:** We choose  $V_2 = V_1 + \frac{1}{2} (\zeta_2^2 + \tilde{\delta}_2^T \tilde{\delta}_2)$  with  $\tilde{\delta}_2 = \delta_2 - \hat{\delta}_2$  and  $\delta_2 = (\nu_1^T, \nu_2^T)^T$ . Noting the first equation of (7), we have

$$\begin{aligned} \dot{\tau}_1 &= \frac{\partial \tau_1}{\partial Z_1} \dot{Z}_1 + \frac{\partial \tau_1}{\partial \hat{\delta}_1} \dot{\hat{\delta}}_1 + \sum_{i=0}^1 \frac{\partial \tau_1}{\partial \chi^{(i)}} \chi^{(i+1)} + \frac{\partial \tau_1}{\partial (\partial_x \omega(1))} \partial_x^3 \omega(1) \\ &= \frac{\partial \tau_1}{\partial Z_1} Z_2 + \frac{\partial \tau_1}{\partial \hat{\delta}_1} \zeta_1 h_1 + \sum_{i=0}^1 \frac{\partial \tau_1}{\partial \chi^{(i)}} \chi^{(i+1)} + \frac{\partial \tau_1}{\partial (\partial_x \omega(1))} \partial_x^3 \omega(1) + \frac{\partial \tau_1}{\partial Z_1} Z_{[1]}^T \nu_1, \end{aligned} \quad (A3)$$

which, together with the choice of  $\tau_2$  (see the second equation of (7) with  $k = 2$ ), leads to

$$\dot{\zeta}_2 = \dot{Z}_2 - \dot{\tau}_1 = \zeta_3 + \tau_2 + Z_{[2]}^T \nu_2 - \dot{\tau}_1 = \zeta_3 - c_2 \zeta_2 - \zeta_1 + h_2^T \tilde{\delta}_2, \quad (A4)$$

Then, by the second equation of (5) with  $k = 2$ , we have

$$\begin{aligned} \dot{V}_2 &\leq -\frac{\lambda_Q}{4} |X|^2 - \left( \rho - \frac{5|PB|^2}{\lambda_Q} \right) \|\partial_x \omega\|^2 - c_1 \zeta_1^2 + \zeta_1 \zeta_2 + \zeta_2 \dot{\zeta}_2 + \tilde{\delta}_2^T \dot{\tilde{\delta}}_2 \\ &= -\frac{\lambda_Q}{4} |X|^2 - \left( \rho - \frac{5|PB|^2}{\lambda_Q} \right) \|\partial_x \omega\|^2 - c_1 \zeta_1^2 - c_2 \zeta_2^2 + \zeta_2 \zeta_3. \end{aligned}$$

---

\* Corresponding author (email: ytulijian@ytu.edu.cn)

**Step  $k$**  ( $k = 3, \dots, n-1$ ): We choose  $V_k = V_{k-1} + \frac{1}{2} \left( \zeta_k^2 + \bar{\delta}_k^T \bar{\delta}_k \right)$  with  $\bar{\delta}_k = \delta_k - \hat{\delta}_k$  and  $\delta_k = (\nu_1^T, \dots, \nu_k^T)^T$ . Noting that

$$\dot{\tau}_k = \sum_{i=1}^k \left( \frac{\partial \tau_k}{\partial Z_i} Z_{i+1} + \frac{\partial \tau_k}{\partial \hat{\delta}_i} \zeta_i h_i + \frac{\partial \tau_k}{\partial \left( \partial_x^{2i-1} \omega(1) \right)} \partial_x^{2i+1} \omega(1) \right) + \sum_{i=0}^k \frac{\partial \tau_k}{\partial \chi^{(i)}} \chi^{(i+1)} + \sum_{i=1}^k \frac{\partial \tau_k}{\partial Z_i} Z_{[i]}^T \nu_i, \quad (\text{A5})$$

the choice of  $\tau_k$  (given by second equation of (7)) gives that

$$\dot{\zeta}_k = \dot{Z}_k - \dot{\tau}_{k-1} = \zeta_{k+1} + \tau_k + Z_{[k]}^T \nu_k - \dot{\tau}_{k-1} = \zeta_{k+1} - c_k \zeta_k - \zeta_{k-1} + h_k^T \bar{\delta}_k, \quad (\text{A6})$$

which, together with the second equation of (5), leads to

$$\begin{aligned} \dot{V}_k &\leq -\frac{\lambda_Q}{4} |X|^2 - \left( \rho - \frac{5|PB|^2}{\lambda_Q} \right) \|\partial_x \omega\|^2 - \sum_{i=1}^k c_i \zeta_i^2 + \zeta_k \zeta_{k+1} + \zeta_k \dot{\zeta}_k + \bar{\delta}_k^T \dot{\bar{\delta}}_k \\ &= -\frac{\lambda_Q}{4} |X|^2 - \left( \rho - \frac{5|PB|^2}{\lambda_Q} \right) \|\partial_x \omega\|^2 - \sum_{i=1}^k c_i \zeta_i^2 + \zeta_k \zeta_{k+1}. \end{aligned}$$

**Step  $n$** : We choose  $V_n = V_{n-1} + \frac{1}{2} \left( \zeta_n^2 + \bar{\delta}_n^T \bar{\delta}_n \right)$  with  $\bar{\delta}_n = \delta_n - \hat{\delta}_n$  and  $\delta_n = (\nu_1^T, \dots, \nu_n^T)^T$ . By the expression of  $\dot{\tau}_{n-1}$  (see (A5) with  $k = n-1$ ) and the choosing of  $U$  given by (5), we obtain that

$$\dot{\zeta}_n = \dot{Z}_n - \dot{\tau}_{n-1} = U + Z^T \nu_n - \dot{\tau}_{n-1} = -c_n \zeta_n - \zeta_{n-1} + h_n^T \bar{\delta}_n. \quad (\text{A7})$$

Then, the second equation of (5) with  $k = n$  leads to that

$$\begin{aligned} \dot{V}_n &\leq -\frac{\lambda_Q}{4} |X|^2 - \left( \rho - \frac{5|PB|^2}{\lambda_Q} \right) \|\partial_x \omega\|^2 - \sum_{i=1}^{n-1} c_i \zeta_i^2 + \zeta_{n-1} \zeta_n + \zeta_n \dot{\zeta}_n + \bar{\delta}_n^T \dot{\bar{\delta}}_n \\ &= -\frac{\lambda_Q}{4} |X|^2 - \left( \rho - \frac{5|PB|^2}{\lambda_Q} \right) \|\partial_x \omega\|^2 - \sum_{i=1}^n c_i \zeta_i^2. \end{aligned} \quad (\text{A8})$$

Integrating both sides of (A8) over  $[0, t]$  gives that  $V_n(t) \leq V_n(0)$ . Then,  $V_n \in \mathcal{L}_\infty$ , and hence the definition of  $V_n$  implies that  $|X|, \|\omega\|, \zeta_k, |\bar{\delta}_k| \in \mathcal{L}_\infty$ . Moreover, integrating both sides of (A8) over  $[0, +\infty)$  gives that

$$\int_0^{+\infty} \left( |X|^2 + \|\partial_x \omega\|^2 + \sum_{i=1}^n \zeta_i^2 \right) dt \leq M_1 V_n(0),$$

with  $M_1$  being some positive constant, which shows that  $|X|, \|\partial_x \omega\|, \zeta_k \in \mathcal{L}_2$ . Then, by using Poincaré's Inequality, we obtain that  $\|\omega\| \in \mathcal{L}_2$ , and hence obtain the first line of (9). Moreover, by (A1) and using Young's Inequality, we have

$$\begin{aligned} \frac{1}{2} \frac{d}{dt} \|\partial_x \omega\|^2 &= \partial_x \omega(1) \partial_t \omega(1) - \|\partial_x^2 \omega\|^2 \\ &= \partial_x \omega(1) \left( \zeta_2 - \rho \partial_x \omega(1) - \left( c_1 + \frac{3|PB|^2}{\lambda_Q} \right) \zeta_1 + h_1^T \bar{\delta}_1 \right) - \|\partial_x^2 \omega\|^2 \\ &\leq -\frac{\rho}{4} (\partial_x \omega(1))^2 + \frac{1}{\rho} \zeta_2^2 + \frac{1}{\rho} \left( c_1 + \frac{3|PB|^2}{\lambda_Q} \right)^2 \zeta_1^2 + \frac{1}{\rho} (\zeta_1 + \chi)^2 \bar{\delta}_1^2 - \|\partial_x^2 \omega\|^2. \end{aligned}$$

Noting that  $|X|, \|\omega\| \in \mathcal{L}_2 \cap \mathcal{L}_\infty$ , the transformation (2) gives that  $\|u\| \in \mathcal{L}_2 \cap \mathcal{L}_\infty$ , and hence  $\chi \in \mathcal{L}_2 \cap \mathcal{L}_\infty$ . This, together with the proven fact  $\zeta_k \in \mathcal{L}_2 \cap \mathcal{L}_\infty$ , leads to

$$\frac{1}{2} \frac{d}{dt} \|\partial_x \omega\|^2 \leq -\frac{\rho}{4} (\partial_x \omega(1))^2 - \|\partial_x^2 \omega\|^2 + \ell_1,$$

with  $\ell_1$  denoting the function which belongs to  $\mathcal{L}_1$ . Integrating above inequality over  $[0, t]$  and  $[0, +\infty)$  respectively gives that

$$\|\partial_x \omega\|^2 \leq \|\partial_x \omega(x, 0)\|^2 + M_1, \quad \int_0^{+\infty} \left( \frac{\rho}{4} (\partial_x \omega(1))^2 + \|\partial_x^2 \omega\|^2 \right) dt \leq \|\partial_x \omega(x, 0)\|^2 + M_1,$$

which show that  $\|\partial_x \omega\| \in \mathcal{L}_\infty$  and  $\partial_x \omega(1), \|\partial_x^2 \omega\| \in \mathcal{L}_2$ . Then, Agmon's Inequality gives that  $\omega(0), \sup_{x \in [0, 1]} |\omega(x, t)| \in \mathcal{L}_\infty$ , and hence give the second line of (9).

To show the last line of (9), noting that similar to the derivation of (A2), we have

$$\frac{1}{2} \frac{d}{dx} \left( X^T P X + \rho \|\omega\|^2 + \zeta_1^2 \right) \leq -\frac{\lambda_Q}{4} |X|^2 - \left( \rho - \frac{5|PB|^2}{\lambda_Q} \right) \|\partial_x \omega\|^2 - c_1 \zeta_1^2 + \zeta_1 \zeta_2 + \zeta_1 (\zeta_1 + \chi) \bar{\delta}_1.$$

Since all the terms on the right-hand side of above inequality are bounded, there exists a positive constant  $M$  such that

$$\frac{d}{dx} \left( X^T P X + \rho \|\omega\|^2 + \zeta_1^2 \right) \leq M.$$

This, together with the proven fact that  $|X|, \|\omega\|, \zeta_1 \in \mathcal{L}_2 \cap \mathcal{L}_\infty$ , leads to  $\lim_{t \rightarrow \infty} |X| = 0, \lim_{t \rightarrow \infty} \zeta_1 = 0$  and  $\lim_{t \rightarrow \infty} \|\omega\| = 0$  (by Lemma 3 in Appendix E). Then, the Agmon's Inequality implies that  $\lim_{t \rightarrow +\infty} \sup_{x \in [0, 1]} |\omega(x, t)| = 0$ .

## Appendix B Proof of Claim (10)

Before proceeding with the proof of claim (10), the following two propositions are first present to give some important properties of the closed-loop system. Specifically, Proposition 2 gives the equations that the PDE state  $w$  satisfies. Proposition 3 gives an equality and two inequalities that some closed-loop system signals satisfy. Both of the propositions are used to show the boundedness and integrability of the closed-loop system signals. Their proofs are postponed at Appendix E.

**Proposition 2.** The target system state  $w$  satisfies the following equations

$$\begin{cases} \partial_t^i \omega &= \partial_x^{2i} \omega, \\ \partial_x^{2i+1} \omega(0) &= 0, \\ \partial_t \omega(1) &= -\rho \partial_x \omega(1) + f_1(\zeta_1, \zeta_2, \bar{h}_1), \\ \partial_t^i \omega(1) &= -\rho \partial_x^{2i-1} \omega(1) + f_i(\zeta_1, \dots, \zeta_{i+1}, \bar{h}_1^{(i-1)}, \dots, \bar{h}_1, \bar{h}_2^{(i-2)}, \dots, \bar{h}_2, \dots, \bar{h}_i, \\ &\quad \partial_x^{2i-3} \omega(1), \partial_x^{2i-5} \omega(1), \dots, \partial_x \omega(1)), \quad i = 2, \dots, n-1, \\ \partial_t^n \omega(1) &= -\rho \partial_x^{2n-1} \omega(1) + f_n(\zeta_1, \dots, \zeta_n, \bar{h}_1^{(n-1)}, \dots, \bar{h}_1, \bar{h}_2^{(n-2)}, \dots, \bar{h}_2, \dots, \bar{h}_n, \\ &\quad \partial_x^{2n-3} \omega(1), \partial_x^{2n-5} \omega(1), \dots, \partial_x \omega(1)). \end{cases} \quad (\text{B1})$$

with  $\bar{h}_i = h_i^T \bar{\delta}_i$  and  $f_i$  being a linear combination of its arguments therein.

**Proposition 3.** For any  $k = 0, 1, \dots$ , there holds that

$$\chi^{(k)} = \int_0^1 \kappa(1, y) \partial_t^k u(y) dy - \varphi(1) \left( (A + BK)^k X + \sum_{i=0}^{k-1} (A + BK)^i B \partial_t^{k-i-1} \omega(0) \right), \quad (\text{B2})$$

$$\left( \partial_t^k \omega(0) \right)^2 \leq \left( \partial_t^k \omega(1) \right)^2 + \|\partial_x^{2k} \omega\| \|\partial_x^{2k+1} \omega\|. \quad (\text{B3})$$

Moreover, for any  $k = 1, \dots, n-1$ , the following inequality holds:

$$\dot{E}_k \leq -\frac{\rho}{2} \left( \partial_x^{2k+1} \omega(1) \right)^2 - \|\partial_x^{2k+1} \omega\|^2 - \frac{1}{2} \|\partial_x^{2k+2} \omega\|^2 + 2 \left( \partial_t^k \omega(1) \right)^2 + \frac{1}{2\rho} f_{k+1}^2, \quad (\text{B4})$$

with  $E_k = \frac{1}{2} (\|\partial_x^{2k} \omega\|^2 + \|\partial_x^{2k+1} \omega\|^2)$ .

Claim (11) is proved by the following recursive steps.

**Step 1:** Noting the proven fact that  $\|\omega\| \in \mathcal{L}_2 \cap \mathcal{L}_\infty$ ,  $\|\partial_x^2 \omega\| \in \mathcal{L}_2$ , transformation (2) implies that  $\|u\| \in \mathcal{L}_2 \cap \mathcal{L}_\infty$ ,  $\|\partial_x^2 u\| \in \mathcal{L}_2$  (i.e.,  $\|\partial_t u\| \in \mathcal{L}_2$ ). Then, there holds that  $\chi, Z_1 \in \mathcal{L}_2 \cap \mathcal{L}_\infty$ , and hence  $h_1, \bar{h}_1, f_1 \in \mathcal{L}_2 \cap \mathcal{L}_\infty$ . This, together with the proven fact  $\partial_x \omega(1) \in \mathcal{L}_2$ , leads to  $\zeta_1, \partial_t \omega(1) \in \mathcal{L}_2$  (seeing from (A1) and the third equation of (B1), respectively). Moreover, the Agmon's Inequality implies that  $\omega(0) \in \mathcal{L}_2 \cap \mathcal{L}_\infty$ , hence (B2) with  $k = 1$  gives that  $\dot{\chi} \in \mathcal{L}_2$ , and hence  $\tau_1, Z_2, \dot{Z}_1, \bar{h}_1, h_2, \bar{h}_2, \zeta_2, f_2 \in \mathcal{L}_2$ .

Noting the proven fact  $\partial_t \omega(1), f_2 \in \mathcal{L}_2$ , inequality (B4) with  $k = 1$  gives that

$$\dot{E}_1 \leq -\frac{\rho}{2} \left( \partial_x^3 \omega(1) \right)^2 - \|\partial_x^3 \omega\|^2 - \frac{1}{2} \|\partial_x^4 \omega\|^2 + \ell_1,$$

which implies that  $E_1, \|\partial_x^2 \omega\|, \|\partial_x^3 \omega\| \in \mathcal{L}_\infty$  and  $\partial_x^3 \omega(1), \|\partial_x^3 \omega\|, \|\partial_x^4 \omega\| \in \mathcal{L}_2$ . Then, Agmon's Inequality results in  $\partial_x \omega(1) \in \mathcal{L}_\infty$ , (A1) leads to that  $\zeta_1 \in \mathcal{L}_2 \cap \mathcal{L}_\infty$ , the third and fourth equations of (B1) give that  $\partial_t \omega(1) \in \mathcal{L}_2 \cap \mathcal{L}_\infty$ ,  $\partial_t^2 \omega(1) \in \mathcal{L}_2$ , (B3) with  $k = 1$  implies that  $\partial_t \omega(0) \in \mathcal{L}_2 \cap \mathcal{L}_\infty$ . Moreover, transformation (2) implies that  $\|\partial_x^2 u\| \in \mathcal{L}_\infty$ ,  $\|\partial_x^4 u\| \in \mathcal{L}_2$ , and hence  $\|\partial_t u\| \in \mathcal{L}_\infty$ ,  $\|\partial_t^2 u\| \in \mathcal{L}_2$ . Hence, (B2) with  $k = 1, 2$  gives that  $\dot{\chi} \in \mathcal{L}_\infty, \ddot{\chi} \in \mathcal{L}_2$ . Thus, there holds that  $\tau_1, Z_2, \dot{Z}_1, \bar{h}_1, h_2, \bar{h}_2, \zeta_2, f_2 \in \mathcal{L}_2 \cap \mathcal{L}_\infty$ . Also (7) and (A3) respectively give that  $\tau_2, \dot{\tau}_1 \in \mathcal{L}_2$ , and hence  $Z_3, \dot{Z}_2 \in \mathcal{L}_2$ . Thus, there holds that  $\bar{h}_1, \bar{h}_2, \bar{h}_3, h_3, f_3, \zeta_3 \in \mathcal{L}_2$ .

**Step 2:** By the proven fact that  $\partial_t^2 \omega(1), f_3 \in \mathcal{L}_2$ , (B4) with  $k = 2$  gives that

$$\dot{E}_2 \leq -\frac{\rho}{2} \left( \partial_x^5 \omega(1) \right)^2 - \|\partial_x^5 \omega\|^2 - \frac{1}{2} \|\partial_x^6 \omega\|^2 + \ell_1,$$

which implies that  $\|\partial_x^4 \omega\|, \|\partial_x^5 \omega\| \in \mathcal{L}_\infty$  and  $\partial_x^5 \omega(1), \|\partial_x^5 \omega\|, \|\partial_x^6 \omega\| \in \mathcal{L}_2$ . Then, Agmon's Inequality results in  $\partial_x^3 \omega(1) \in \mathcal{L}_\infty$ , the fourth equation of (B1) with  $i = 2, 3$  gives that  $\partial_t^2 \omega(1) \in \mathcal{L}_2 \cap \mathcal{L}_\infty$ ,  $\partial_t^3 \omega(1) \in \mathcal{L}_2$ , (B3) with  $k = 2$  implies that  $\partial_t^2 \omega(0) \in \mathcal{L}_2 \cap \mathcal{L}_\infty$ . Moreover, transformation (2) implies that  $\|\partial_x^4 u\| \in \mathcal{L}_\infty$  and  $\|\partial_x^6 u\| \in \mathcal{L}_2$ , and hence  $\|\partial_t^2 u\| \in \mathcal{L}_\infty, \|\partial_t^3 u\| \in \mathcal{L}_2$ . Thus, (B2) with  $k = 2$  and  $k = 3$  respectively give that  $\ddot{\chi} \in \mathcal{L}_\infty, \chi^{(3)} \in \mathcal{L}_2$ . Thus, (7) and (A3) gives that  $\tau_2, \dot{\tau}_1 \in \mathcal{L}_\infty, \tau_3 \in \mathcal{L}_2$ , (7) with  $k = 3$  implies that  $\dot{\tau}_2 \in \mathcal{L}_2$ , and hence  $Z_3, \dot{Z}_2, \bar{h}_1, \bar{h}_2, \bar{h}_3, h_3, f_3, \zeta_3 \in \mathcal{L}_\infty$ , and  $\bar{h}_1^{(3)}, \bar{h}_2, \bar{h}_3, \bar{h}_4, h_4, f_4, \zeta_4 \in \mathcal{L}_2$ .

**Step  $k$  ( $k = 3, \dots, n-1$ ):** Supposing that the recursive steps from 1 to  $k-1$  have been completed, we have  $\partial_t^k \omega(1), f_{k+1} \in \mathcal{L}_2$ . Then, (B4) leads to

$$\dot{E}_k \leq -\frac{\rho}{2} \left( \partial_x^{2k+1} \omega(1) \right)^2 - \|\partial_x^{2k+1} \omega\|^2 - \frac{1}{2} \|\partial_x^{2k+2} \omega\|^2 + \ell_1,$$

which results in that

$$\begin{cases} \partial_t^k \omega(1), \partial_t^k \omega(0), \chi^{(k)}, \|\partial_x^{2k} \omega\|, \|\partial_x^{2k+1} \omega\|, \partial_x^{2k-1} \omega(1) \in \mathcal{L}_2 \cap \mathcal{L}_\infty, \\ \partial_t^{k+1} \omega(1), \chi^{(k+1)}, \|\partial_x^{2k+1} \omega\|, \|\partial_x^{2k+2} \omega\|, \partial_x^{2k+1} \omega(1) \in \mathcal{L}_2, \\ Z_{k+1}, \dot{Z}_k, \zeta_{k+1}, \tau_k, \dot{\tau}_{k-1}, \bar{h}_1^{(k)}, \bar{h}_2^{(k-1)}, \dots, \bar{h}_{k+1}, f_{k+1} \in \mathcal{L}_2 \cap \mathcal{L}_\infty, \\ \dot{\tau}_k, \dot{Z}_{k+1}, \bar{h}_1^{(k+1)}, \bar{h}_2^{(k)}, \dots, \bar{h}_{k+2}, f_{k+2} \in \mathcal{L}_2. \end{cases} \quad (\text{B5})$$

**Step  $n$ :** Let  $E_n = \frac{1}{2} (\|\partial_x^{2n} \omega\|^2 + \|\partial_x^{2n+1} \omega\|^2)$ . Computing  $\dot{E}_n$  along the solutions of (B1) while using integration by parts leads to

$$\dot{E}_n = \partial_x^{2n} \omega(1) \partial_x^{2n+1} \omega(1) + \partial_x^{2n+1} \omega(1) \partial_x^{2n+2} \omega(1) - \|\partial_x^{2n+1} \omega\|^2 - \|\partial_x^{2n+2} \omega\|^2. \quad (\text{B6})$$

By (B5) with  $k = n - 1$ , we obtain that  $\partial_t^n \omega(1) \in \mathcal{L}_2$ . Then, similar to the derivation of (E2), we have

$$\partial_x^{2n} \omega(1) \partial_x^{2n+1} \omega(1) \leq \frac{1}{2} \|\partial_x^{2n+2} \omega\|^2 + \ell_1. \quad (\text{B7})$$

Moreover, by (B5) with  $k = n - 1$ , the last equation of (B1) implies that

$$\begin{aligned} \partial_t^{n+1} \omega(1) &= -\rho \partial_x^{2n+1} \omega(1) + f_n \left( \dot{\zeta}_1, \dots, \dot{\zeta}_n, \bar{h}_1^{(n)}, \dots, \dot{h}_1, \bar{h}_2^{(n-1)}, \dots, \dot{h}_2, \dots, \dot{h}_n, \right. \\ &\quad \left. \partial_x^{2n-1} \omega(1), \partial_x^{2n-3} \omega(1), \dots, \partial_x^3 \omega(1) \right) \\ &= -\rho \partial_x^{2n+1} \omega(1) + \ell_2. \end{aligned}$$

Then, there holds that

$$\begin{aligned} \partial_x^{2n+1} \omega(1) \partial_x^{2n+2} \omega(1) &= \partial_x^{2n+1} \omega(1) \partial_t^{n+1} \omega(1) \\ &= \partial_x^{2n+1} \omega(1) \left( -\rho \partial_x^{2n+1} \omega(1) + \ell_2 \right) \\ &\leq -\frac{\rho}{2} \left( \partial_x^{2n+1} \omega(1) \right)^2 + \ell_1. \end{aligned} \quad (\text{B8})$$

Substituting (B7),(B8) into (B6) arrives at

$$\dot{E}_n \leq -\frac{\rho}{2} \left( \partial_x^{2n+1} \omega(1) \right)^2 - \|\partial_x^{2n+1} \omega\|^2 - \frac{1}{2} \|\partial_x^{2n+2} \omega\|^2 + \ell_1,$$

which implies that  $\|\partial_x^{2n} \omega\|, \|\partial_x^{2n+1} \omega\| \in \mathcal{L}_\infty$ ,  $\partial_x^{2n+1} \omega(1), \|\partial_x^{2n+1} \omega\|, \|\partial_x^{2n+2} \omega\| \in \mathcal{L}_2$ . Then, Agmon's Inequality results in  $\partial_x^{2n-1} \omega(1) \in \mathcal{L}_\infty$ , transformation (2) implies that  $\|\partial_x^{2n} u\|, \|\partial_t^n u\| \in \mathcal{L}_\infty$ . This, together with  $\partial_t^{n-1} \omega(0) \in \mathcal{L}_2 \cap \mathcal{L}_\infty$ , gives that  $\chi^{(n)} \in \mathcal{L}_2 \cap \mathcal{L}_\infty$ . Thus, (A5) and (5) respectively give that  $\hat{\tau}_{n-1}, U \in \mathcal{L}_2 \cap \mathcal{L}_\infty$ , and hence  $\dot{Z}_n \in \mathcal{L}_2 \cap \mathcal{L}_\infty$ .

## Appendix C Discuss on the implementation of the controller

Although the time-derivatives of  $\chi$  are contained in (5), the designed controller  $U$  does not depend on the time-derivatives but only certain spatial partial derivatives of system states. In fact, see  $\dot{\chi}$  and  $\ddot{\chi}$  for example, by (1),(3) while using integration by parts, we have

$$\begin{cases} \dot{\chi} &= KBu(1) + \int_0^1 \partial_y^2 \kappa(1, y) u(y) dy + \varphi(1) AX, \\ \ddot{\chi} &= KB \partial_x^2 u(1) + KABu(1) + \int_0^1 \partial_y^4 \kappa(1, y) u(y) dy + \varphi(1) A^2 X, \end{cases} \quad (\text{C1})$$

which explicitly shows that no time-derivatives of system states are required.

## Appendix D Simulation

In this section, we validate the effectiveness of the proposed controller for system (1) with  $m = 1, n = 2$  and  $A = 4, B = 2, \nu_1 = 5, \nu_2 = (1.2, 3)^T$ , the initial values being  $X(0) = 1.5, u(x, 0) = 2 \sinh(\pi x) + 3 \cos(0.5\pi x) + 2x^3, Z_1(0) = u(0, 0)$  and  $Z_2(0) = 2.5$ .

By (5), the adaptive controller is explicitly constructed as follows:

$$\begin{cases} U &= -c_2 \zeta_2 - \zeta_1 - h_2^T \hat{\delta}_2 + \left( c_1 + \frac{2|PB|^2}{\Delta Q} \right) \dot{\chi} \\ &\quad + \ddot{\chi} - \left( c_1 + \frac{3|PB|^2}{\Delta Q} \right) Z_2 - Z_1 \zeta_1 h_1 - \rho \partial_x^3 \omega(1), \\ \dot{\hat{\delta}}_1 &= \zeta_1 h_1, \dot{\hat{\delta}}_2 = \zeta_2 h_2, \end{cases}$$

with  $\zeta_1, \zeta_2$  defined before,  $\dot{\chi}$  and  $\ddot{\chi}$  being given by (9),  $h_1 = Z_1, h_2^T = \left( \left( c_1 + \frac{3|PB|^2}{\Delta Q} + \hat{\delta}_1 \right) Z_1, Z_1, Z_2 \right)$ ,  $c_1 = 85, c_2 = 8$ . Choosing  $K = -4, Q = 16$ , the Lyapunov equation (8) gives that  $P = 2$ . Then, we choose  $\rho = 10$ . By the above controller with initial parameter estimation  $\hat{\delta}_1(0) = 1.2, \hat{\delta}_2(0) = (1.5, 0.5, -1.5)^T$ , we implement the simulation in Matlab by explicit Euler method (see [1]) with 20-step discretization in space. Thus, four simulation figures are obtained and presented in Figs. 1–4 in the following. We observe from Figs. 1,2 that the original system states  $u, X$  and  $Z$  are all bounded and converge to zero ultimately. Moreover, Figs. 3,4 show that the dynamic estimations of unknown parameters are bounded and converge to different constants ultimately.

## Appendix E Useful lemmas and proofs of Propositions 2 and 3

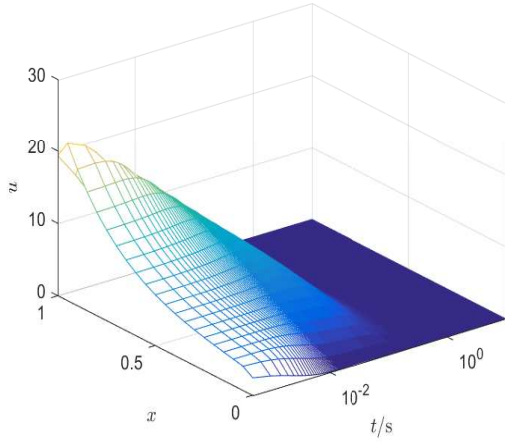
**Proof of Proposition 2.** The first two equations of (B1) can be directly obtained by the second and third ones of (4). Noting that  $\zeta_1 = \omega(1)$ , the expression of  $\dot{\zeta}_1$  given by (A1) implies the third equation of (B1).

Suppose that the fourth equality of (B1) holds for any  $2 \leq i < n - 1$ . Then, computing the time derivative of both side of the fourth equality of (B1) gives that

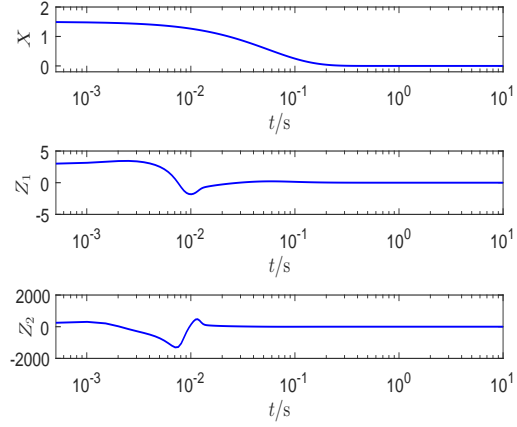
$$\begin{aligned} \partial_t^{i+1} \omega(1) &= -\rho \partial_x^{2i-1} \partial_t \omega(1) + f_i \left( \dot{\zeta}_1, \dots, \dot{\zeta}_{i+1}, \bar{h}_1^{(i)}, \dots, \dot{h}_1, \bar{h}_2^{(i-1)}, \dots, \dot{h}_2, \dots, \dot{h}_i, \right. \\ &\quad \left. \partial_x^{2i-3} \partial_t \omega(1), \partial_x^{2i-5} \partial_t \omega(1), \dots, \partial_x \partial_t \omega(1) \right). \end{aligned}$$

Substituting (A1),(A4) and (A6) into above equality and using the first equation of (B1) directly lead to

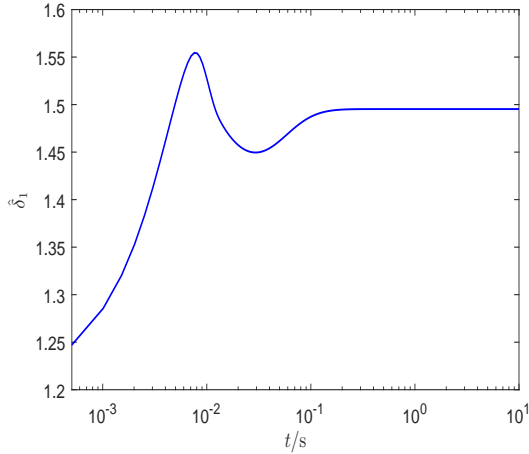
$$\partial_t^{i+1} \omega(1) = -\rho \partial_x^{2i+1} \omega(1) + f_{i+1} \left( \zeta_1, \dots, \zeta_{i+2}, \bar{h}_1^{(i)}, \dots, \bar{h}_1, \bar{h}_2^{(i-1)}, \dots, \bar{h}_2, \dots, \bar{h}_{i+1}, \right.$$



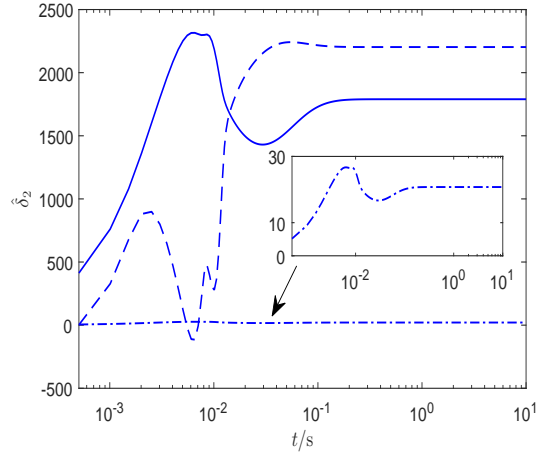
**Fig. 1** Trajectories of  $u$



**Fig. 2** Trajectories of  $X$  and  $Z$



**Fig. 3** Trajectories of  $\hat{\delta}_1$



**Fig. 4** Trajectories of  $\hat{\delta}_2$

$$\partial_x^{2i-1}\omega(1), \partial_x^{2i-3}\omega(1), \dots, \partial_x\omega(1)).$$

Then, the fourth equality of (B1) holds for any  $2 \leq i \leq n-1$ . The last equation can be obtained similarly from the fourth one with  $i = n-1$  by using (A7).

**Proof of Proposition 3.** In fact, (B2) can be directly verified by noting the definition of  $\chi$  and using the first equation of (B1). Moreover, (B3) can be given by Agmon's Inequality while noting  $\partial_t^k\omega(0) = \partial_x^{2k}\omega(0)$  and  $\partial_t^k\omega(1) = \partial_x^{2k}\omega(1)$ .

The following is devoted to the derivation of (B4). Computing  $\dot{E}_k$  along the solutions of (B1) while using integration by parts lead to

$$\dot{E}_k = \partial_x^{2k}\omega(1)\partial_x^{2k+1}\omega(1) + \partial_x^{2k+1}\omega(1)\partial_x^{2k+2}\omega(1) - \|\partial_x^{2k+1}\omega\|^2 - \|\partial_x^{2k+2}\omega\|^2. \quad (E1)$$

By using Young's, Poincaré's and then Agmon's Inequalities, we have

$$\begin{aligned} \partial_x^{2k}\omega(1)\partial_x^{2k+1}\omega(1) &= \partial_t^k\omega(1)\partial_x^{2k+1}\omega(1) \\ &\leq \frac{1}{8}(\partial_x^{2k+1}\omega(1))^2 + 2(\partial_t^k\omega(1))^2 \\ &\leq \frac{1}{2}\|\partial_x^{2k+2}\omega(1)\|^2 + 2(\partial_t^k\omega(1))^2. \end{aligned} \quad (E2)$$

Moreover, by (B1) and using Young's Inequality, we directly have

$$\begin{aligned} \partial_x^{2k+1}\omega(1)\partial_x^{2k+2}\omega(1) &= \partial_x^{2k+1}\omega(1)\partial_t^{k+1}\omega(1) \\ &= \partial_x^{2k+1}\omega(1)\left(-\rho\partial_x^{2k+1}\omega(1) + f_{k+1}\right) \\ &\leq -\frac{\rho}{2}(\partial_x^{2k+1}\omega(1))^2 + \frac{1}{2\rho}f_{k+1}^2. \end{aligned}$$

Substituting the above inequalities into the right-hand side of (E1) directly gives (B4).

**Lemma 1.** [2] (Agmon's Inequality) For any continuously differentiable function  $w$  defined on  $[0, D]$ , there hold

$$\begin{cases} \omega(x)^2 \leq \omega(0)^2 + 2\|\omega\|\|\partial_x\omega\|, \\ \omega(x)^2 \leq \omega(D)^2 + 2\|\omega\|\|\partial_x\omega\|. \end{cases}$$

**Lemma 2.** [2] (Poincaré's Inequality) For any continuously differentiable function  $w$  defined on  $[0, D]$ , there hold

$$\begin{cases} \|\omega\|^2 \leq 2D\omega(0)^2 + 4D^2\|\partial_x\omega\|^2, \\ \|\omega\|^2 \leq 2D\omega(D)^2 + 4D^2\|\partial_x\omega\|^2. \end{cases}$$

**Lemma 3.** [3] Suppose the function  $f(t)$  defined on  $[0, +\infty)$  satisfies:

- 1)  $f(t) \geq 0$  for all  $t \in [0, +\infty)$ ,
  - 2)  $f(t)$  is differentiable on  $[0, +\infty)$  and there exists a constant  $M$  such that  $\frac{df(t)}{dt} \leq M, \forall t \geq 0$ ,
  - 3)  $\int_0^{+\infty} f(t) dt < \infty$ .
- Then, there holds

$$\lim_{t \rightarrow +\infty} f(t) = 0.$$

### References

- 1 Yang W Y, Cao W, Chung T S, et al. Applied Numerical Methods Using Matlab. John Wiley & Sons, Hoboken, New Jersey, 2005
- 2 Li J, Liu Y G. Adaptive control of the ODE systems with uncertain diffusion-dominated actuator dynamics. *Int J Control*, 2012, 85: 868–879
- 3 Liu W J, Krstić M. Adaptive control of Burgers' equation with unknown viscosity. *Int J Adapt Control*. 2001, 15: 745–766