

• Supplementary File •

## Further Results on Bilinear Behavior Formulation of Finite State Machines

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### Appendix A Propositions used in the body of the letter

**A.1: Definition 1** (STP of matrices) [1]: For two matrices of arbitrary dimensions  $M \in \mathbf{M}_{m \times n}$  and  $N \in \mathbf{M}_{p \times q}$ , their STP, denoted by  $M \times N$ , is defined as follows.

$$M \times N := (M \otimes I_{s/n})(N \otimes I_{s/p}), \quad (\text{A1})$$

where  $s$  is the least common multiple of  $n$  and  $p$ ,  $\otimes$  is the Kronecker product of matrices.

**Remark 1.** The STP of matrices is a generalization of the tradition multiplication of matrices. In fact the STP of matrices reduces to the traditional one when  $n = p$ . Therefore, the matrix multiplication defaults to the STP of matrices in this letter.

**A.2: Proposition 1** (Pseudo commutative law of vectors) [1]: For column vectors  $X \in \mathbf{R}^m$  and  $Y \in \mathbf{R}^n$ , the following pseudo commutative law holds.

$$\begin{aligned} W_{[m,n]} \times X \times Y &= Y \times X, \\ W_{[n,m]} \times Y \times X &= X \times Y, \end{aligned} \quad (\text{A2})$$

where  $W_{[m,n]}$  is an  $mn \times mn$  matrix defined as follows.

$$w_{((I,J),(i,j))} := \delta_{i,j}^{I,J} = \begin{cases} 1, & I = i \text{ and } J = j, \\ 0, & \text{Otherwise.} \end{cases}$$

**A.3: Definition 2** (Finite state machine) [2]: A finite state machine (FSM) is a five-tuple  $M = (X, \Sigma, f, x_0, X_F)$ , where  $X = \{x_1, x_2, \dots, x_n\}$  and  $\Sigma = \{a_1, a_2, \dots, a_m\}$  are state set and alphabet ( or say input set), respectively;  $f$  is a state transition function from  $X \times \Sigma$  to  $2^X$  ( $2^X$  is the power set of  $X$ );  $x_0 \in X$  is an initial state of  $M$ ; and  $X_F \subset X$  is a set of accepting states. An accepting state  $x_i \in X_F$  means that if an input sequence  $u(t) = a_{i_1} a_{i_2} \dots a_{i_t} \in \Sigma^*$  moves  $M$  from initial state  $x_0$  to state  $x_i$ , then the sequence  $u(t)$  is accepted by  $M$ , where  $\Sigma^*$  denotes the set of all the finite strings on  $\Sigma$ .

An FSM  $M$  is said to be a deterministic one if  $|f(x, a)| \leq 1$  for each  $x \in X$  and each  $a \in \Sigma$ . Otherwise,  $M$  is called a nondeterministic one. The string  $x_{i_1} \xrightarrow{e_{i_1}} x_{i_2} \xrightarrow{e_{i_2}} x_{i_3} \xrightarrow{e_{i_3}} \dots \xrightarrow{e_{i_k}} x_{i_k}$  is called a path from state  $x_{i_1}$  to state  $x_{i_k}$ .

**A.4: Proposition 2** ( $T$ -step transform dynamics): Let  $M = (X, \Sigma, f, x_0, X_F)$  be an FSM, where  $X = \{x_1, x_2, \dots, x_n\}$ ,  $\Sigma = \{a_1, a_2, \dots, a_m\}$ ,  $f$  is the state transition function. The  $t$ -step evolution that  $M$  reads input sequence  $u(t) = a_{i_1} a_{i_2} \dots a_{i_t} \in \Sigma^*$  at state  $x_i$  can be formulated as follows.

$$\begin{aligned} &f(x_i, u(t)) \\ &= f(x_i, a_{i_1} a_{i_2} \dots a_{i_t}) \\ &= f(f(x_i, a_{i_1}), a_{i_2} \dots a_{i_t}) \\ &= f(f(f(x_i, a_{i_1}), a_{i_2}), a_{i_3} \dots a_{i_t}) \\ &\vdots \\ &= \underbrace{f \dots f}_{t} (f(x_i, a_{i_1}), a_{i_2}, \dots, a_{i_{t-1}}, a_{i_t}). \end{aligned}$$

**A.5: Proposition 3** (Block extraction of a matrix): Let  $A$  be a  $n \times rp$  matrix, then

$$A \times \delta_p^i = \text{Blk}_i^p(A). \quad (\text{A3})$$

**A.6: Notations used in the body of this paper.**

- $\delta_n^i$ : the  $i$ -th column of the identity matrix  $I_n$ .
- $\Delta_n$ : the set  $\{\delta_n^1, \delta_n^2, \dots, \delta_n^n\}$ .
- $\delta_n^{i_1, i_2, \dots, i_k}$ : the column vector whose  $i_1$ -th,  $i_2$ -th,  $\dots$ ,  $i_k$ -th components are 1s, others are 0s.
- $\text{Col}_i(A)$ : the  $i$ -th column of matrix  $A$ .
- $\text{Row}_i(A)$ : the  $i$ -th row of matrix  $A$ .
- $A(i, j)$ : the  $(i, j)$ -th element of matrix  $A$ .

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## Appendix B Proofs in the body of the letter.

### B.1: Proof of Theorem 1

The proof will be completed by two stages. In the first stage, we will prove the single step evolution of  $M$  reading a single alphabet  $u(t) = a_j$  at state  $x_i$ , that is

$$x(2) = F \times x_i \times a_j. \quad (\text{B1})$$

According to Definition 2, without loss of generality, set the reachable state packet of  $a_j$  from  $x_j$  is  $x(2) = \{x_{j_1}, x_{j_2} \cdots, x_{j_l}\}$ . The vector form of  $x(2)$  is

$$\begin{aligned} x(2) &= [0 \cdots 0 \quad 1 \quad 0 \cdots 0 \quad 1 \quad 0 \cdots 0 \quad 1 \quad 0 \cdots 0]_{1 \times n}^T \\ &\quad \uparrow \quad \quad \uparrow \quad \quad \uparrow \\ &\quad j_1 \quad \quad j_2 \quad \quad \cdots \quad j_l \\ &= \delta_n^{j_1, j_2, \cdots, j_l}. \end{aligned} \quad (\text{B2})$$

On one hand, we know from Definition 2 that when  $M$  reads an input alphabet  $u(1) = a_j$  at state  $x_i$  the following equations (B3) holds.

$$\begin{aligned} f(x_i, a_j) &= x_{j_1}, \\ f(x_i, a_j) &= x_{j_2}, \\ &\cdots \\ f(x_i, a_j) &= x_{j_l}. \end{aligned} \quad (\text{B3})$$

The vector form of (B3) is

$$f(x_i, a_j) = x(2). \quad (\text{B4})$$

On the other hand, from Definition 4, we know that the column-wise form of the structure matrix  $F$  is just

$$\begin{aligned} \text{Col}_j(F_i) &= [0 \cdots 0 \quad 1 \quad 0 \cdots 0 \quad 1 \quad 0 \cdots 0 \quad 1 \quad 0 \cdots 0]_{1 \times n}^T \\ &\quad \uparrow \quad \quad \uparrow \quad \quad \uparrow \\ &\quad j_1 \quad \quad j_2 \quad \quad \cdots \quad j_l \\ &= \delta_n^{j_1, j_2, \cdots, j_l} \\ &= x(2). \end{aligned} \quad (\text{B5})$$

Finally, by Proposition 3, we get

$$\begin{aligned} F \times x_i \times a_j &= F \times \delta_n^i \times \delta_m^j \\ &= [F_1 \cdots F_i \cdots F_n] \times \delta_n^i \times \delta_m^j \\ &= F_i \times \delta_m^j. \end{aligned} \quad (\text{B6})$$

By Proposition 3 again, equation (B6) can be further simplified as

$$F \times x_i \times a_j = F_i \times \delta_m^j = \text{Col}_j(F_i). \quad (\text{B7})$$

Combining equations (B7), (B5) and (B2), we have that

$$x(2) = \text{col}_j(F_i) = F \times x_i \times a_j. \quad (\text{B8})$$

This completes the first stage.

We next use inductive method to prove the second stage, i.e., equation  $x(t+1) = F^t \times x_i \times u(t)$ . Suppose  $M$  reads sequence  $u(t) = a_{i_1} a_{i_2} \cdots a_{i_t} \in \Sigma^*$  at state  $x_i$ , then by Proposition 2, we have

$$\begin{aligned} &f(x_i, u(t)) \\ &= f(x_i, a_{i_1} a_{i_2} \cdots a_{i_t}) \\ &= f(f(x_i, a_{i_1}), a_{i_2} \cdots a_{i_t}) \\ &= f(f(f(x_i, a_{i_1}), a_{i_2}), a_{i_3} \cdots a_{i_t}) \\ &\vdots \\ &= \underbrace{f \cdots f}_t(f(x_i, a_{i_1}), a_{i_2}), \cdots, a_{i_{t-1}}, a_{i_t}. \end{aligned} \quad (\text{B9})$$

By equation (B8) and inductive method, the above equation (B9) can be rewritten as

$$\begin{aligned}
 & f(x_i, u(t)) \\
 &= \underbrace{f(\cdots f(x_i, a_{i_1}), a_{i_2}), \cdots, a_{i_{t-1}}, a_{i_t})}_t \\
 &= \underbrace{f(\cdots f(F \times x_i \times a_{i_1}, a_{i_2} \cdots a_{i_{t-1}}), \cdots, a_{i_{t-1}}, a_{i_t})}_{t-1} \\
 &= \underbrace{f(\cdots f(F \times F \times x_i \times a_{i_1} \times a_{i_2}, a_{i_3}), a_{i_{t-1}}, a_{i_t})}_{t-2} \\
 &\vdots \\
 &= \underbrace{f(F \times F \times \cdots \times F \times x_i \times a_{i_1} \times a_{i_2} \times a_{i_3} \times \cdots \times a_{i_{t-1}}, a_{i_t})}_{t-1} \\
 &= \underbrace{F \times F \times \cdots \times F \times x_i \times a_{i_1} \times a_{i_2} \times a_{i_3} \times \cdots \times a_{i_{t-1}} \times a_{i_t}}_t \\
 &= F^t \times x_i \times u(t).
 \end{aligned} \tag{B10}$$

This completes the proof.

**B.2: Proof of Theorem 2**

It is easy to see that  $\sum_{k=1}^{m^t} \text{Blk}_i^n(F^t)(j, k) \neq 0$  is equivalent to that  $\text{Row}_j(\text{Blk}_i^n(F^t)) \neq \vec{0}$ ,  $\vec{0}$  is the zero vector. We first prove the necessity.

(Necessity) If state  $x_j$  is  $t$ -controllable from state  $x_i$ , according to Definition 7, there exists a sequence  $u(t) = a_{i_1} a_{i_2} \cdots a_{i_t} \in \Sigma^*$  moving  $M$  to state  $x_j$  from state  $x_i$ . By Definition 2, we have

$$x_j = f(x_i, u(t)). \tag{B11}$$

Using Theorem 1, equation (B11) can be rewritten as

$$x_j = F^t \times x_i \times u(t). \tag{B12}$$

Let the vector forms of states  $x_i$  and  $x_j$  are  $\delta_n^i$  and  $\delta_n^j$ , respectively, and let the vector form of sequence  $u(t)$  be  $u(t) = a_{i_1} a_{i_2} \cdots a_{i_t} = \delta_m^{i_1} \times \delta_m^{i_2} \times \cdots \times \delta_m^{i_t} = \delta_{m^t}^l$ .

Substitute the vector forms of  $x_i$ ,  $x_j$  and  $u(t)$  into equation (B12), we get

$$\delta_n^j = F^t \times \delta_n^i \times \delta_{m^t}^l. \tag{B13}$$

According to Proposition 3, equation (B13) can be represented as

$$\delta_n^j = \text{Blk}_i^n(F^t) \times \delta_{m^t}^l, \tag{B14}$$

in which,  $\text{Blk}_i^n(F^t)$  is the  $i$ -th block of the  $n$ -column-equal-division of  $F^t$ .

Using Proposition 3 again, equation (B14) can be further expressed as

$$\delta_n^j = \text{Col}_l(\text{Blk}_i^n(F^t)).$$

Thus there is at least one non-zero element in  $\text{Row}_j(\text{Blk}_i^n(F^t))$ , i.e.,  $\text{Row}_j(\text{Blk}_i^n(F^t)) \neq \vec{0}$ . The necessity is then proved.

(Sufficiency). If  $\text{Row}_j(\text{Blk}_i^n(F^t)) \neq \vec{0}$ , without loss of generality, suppose the  $k$ -th element of  $\text{Row}_j(\text{Blk}_i^n(F^t))$  is  $n_k$  ( $n_k \in N^+$ ), that is, the  $(j, k)$ -th element of  $F^t$  is  $n_k$ , i.e.,

$$\text{Blk}_i^n(F^t)(j, k) = n_k. \tag{B15}$$

By equation (B15), we know there are  $n_k$  different paths from state  $x_i$  to state  $x_j$ , therefore there is an input string  $u(t) = a_{i_1} a_{i_2} \cdots a_{i_t}$  in  $\Sigma^*$  such that  $M$  can move to  $x_j$  from  $x_i$  by  $u(t)$ . According to Definition 7, state  $x_j$  is  $t$ -controllable from state  $x_i$ . The sufficiency is proved.

**B.3: Proof of Proposition 4**

Consider the arbitrary value of  $v_1$  in  $\Delta_m$ , without loss of generality, take  $v_1 = \delta_m^k$  for example. According to (A3), we have

$$\begin{aligned}
 & D_{[m,n]} \times v_1 \times v_2 \\
 &= (\mathbf{1}_m \otimes I_n) \times v_1 \times v_2 \\
 &= [I_n, \cdots, I_n] \times \delta_m^k \times v_2 \\
 &= I_n \times v_2 \\
 &= v_2.
 \end{aligned}$$

Thus  $D_{[m,n]} \times v_1 \times v_2 = v_2$ .

It follows from equation (A2) that

$$\begin{aligned}
 & D_{[n,m]} \times W_{[m,n]} \times v_1 \times v_2 \\
 &= D_{[n,m]} \times v_2 \times v_1 \\
 &= v_1.
 \end{aligned}$$

The proof is then completed.

**B.4: Proposition 5** (Extracting components from the STP of vectors): For  $v_1, v_2, \dots, v_n \in \Delta_m$ , assume that the STP of them is

$$\times_{i=1}^n v_i = \delta_{m^n}^s, \quad (\text{B16})$$

then

$$v_i = D_{[m^{n-1}, m]} \times W_{[m^i, m^{n-i}]} \times \delta_{m^n}^s, \quad (i = 1, 2, \dots, s),$$

where  $D_{[m^{n-1}, m]}$  is  $D_{[m, n]} = \mathbf{1}_m \otimes I_n$ ,  $\mathbf{1}_m = \underbrace{[1, \dots, 1]}_m$ .

Proof: Left multiplying both sides of equation (B16) by  $W_{[m^i, m^{n-i}]}$  yields

$$W_{[m^i, m^{n-i}]} v_1 \cdots v_{i-1} v_{i+1} \cdots v_n = W_{[m^i, m^{n-i}]} \delta_{m^n}^s,$$

By Proposition 1, we see that

$$v_{i+1} \cdots v_n v_1 \cdots v_{i-1} v_i = W_{[m^i, m^{n-i}]} \delta_{m^n}^s. \quad (\text{B17})$$

Left multiplying both sides of equation (B17) by  $D_{[m^{n-1}, m]}$  results in

$$D_{[m^{n-1}, m]} v_{i+1} \cdots v_n v_1 \cdots v_{i-1} v_i = D_{[m^{n-1}, m]} W_{[m^i, m^{n-i}]} \delta_{m^n}^s.$$

According to Proposition 4, we get

$$v_i = D_{[m^{n-1}, m]} W_{[m^i, m^{n-i}]} \delta_{m^n}^s.$$

The proof is completed.

**B.5: Proof of Theorem 3**

It is clear that the following equation

$$\prod_{s \in \{i_1, i_2, \dots, i_k\}} \text{Blk}_i^n(F^t)(s, l) \neq 0. \quad (\text{B18})$$

is equivalent to

$$\begin{aligned} \text{Col}_l(\text{Blk}_i^n(F^t)) &= [0 \cdots 0 \quad n_1 \quad 0 \cdots 0 \quad n_2 \quad 0 \cdots 0 \quad n_k \quad 0 \cdots 0]_{1 \times n}^T \\ &\quad \uparrow \quad \quad \quad \uparrow \quad \quad \quad \uparrow \\ &\quad i_1 \quad \quad \quad i_2 \quad \quad \quad \cdots \quad i_k \end{aligned} \quad (\text{B19})$$

where  $n_{i_j}$  are positive numbers;  $F$  is the structure matrix of  $M$ .

We next use (B19) to prove the theorem (the reason why we use (B18) as the conclusion and use (B19) to prove is that (B18) has explicit algebraic meaning, while (B19) is easy to describe the proof).

(Necessity) If the  $t$ -step reachable state packet of input sequence  $u(t)$  from state  $x_i$  is  $R(x_i, u(t)) = \{x_{i_1}, x_{i_2}, \dots, x_{i_k}\}$ , by Definition 3, the following equation holds for any  $j \in \{1, 2, \dots, k\}$ .

$$f(x_i, u(t)) = x_{i_j}. \quad (\text{B20})$$

According to Theorem 1, we get

$$\begin{aligned} F^t x_i u(t) &= x(t+1) \\ &= [0 \cdots 0 \quad n_1 \quad 0 \cdots 0 \quad n_2 \quad 0 \cdots 0 \quad n_k \quad 0 \cdots 0]_{1 \times n}^T \\ &\quad \uparrow \quad \quad \quad \uparrow \quad \quad \quad \uparrow \\ &\quad i_1 \quad \quad \quad i_2 \quad \quad \quad \cdots \quad i_k \end{aligned} \quad (\text{B21})$$

Let the vector forms of  $x_i$  and  $u(t)$  be  $\delta_n^i$  and  $\delta_{m^t}^l$ , respectively. Then the left side of equation (B21) is

$$F^t \times \delta_n^i \times \delta_{m^t}^l. \quad (\text{B22})$$

By Proposition 3, equation (B22) can be represented as

$$\text{Blk}_i^n(F^t) \times \delta_{m^t}^l = \text{Col}_l(\text{Blk}_i^n(F^t)). \quad (\text{B23})$$

From equations (B23) and (B21), we get

$$\begin{aligned} \text{Col}_l(\text{Blk}_i^n(F^t)) &= [0 \cdots 0 \quad n_1 \quad 0 \cdots 0 \quad n_2 \quad 0 \cdots 0 \quad n_k \quad 0 \cdots 0]_{1 \times n}^T \\ &\quad \uparrow \quad \quad \quad \uparrow \quad \quad \quad \uparrow \\ &\quad i_1 \quad \quad \quad i_2 \quad \quad \quad \cdots \quad i_k \end{aligned}$$

The necessity is proved.

(Sufficiency) Suppose that

$$\begin{aligned} \text{Col}_l(\text{Blk}_i^n(F^t)) &= [0 \cdots 0 \quad n_1 \quad 0 \cdots 0 \quad n_2 \quad 0 \cdots 0 \quad n_k \quad 0 \cdots 0]_{1 \times n}^T \\ &\quad \uparrow \quad \quad \quad \uparrow \quad \quad \quad \uparrow \\ &\quad i_1 \quad \quad \quad i_2 \quad \quad \quad \cdots \quad i_k \end{aligned}$$

Using Proposition 3 twice, we have

$$\text{Col}_l(\text{Blk}_i^n(F^t)) = \text{Blk}_i^n(F^t) \times \delta_{m^l}^t = F^t \times \delta_n^i \times \delta_{m^l}^t. \quad (\text{B24})$$

Set  $X = \{x_1, x_2, \dots, x_n\}$  and  $\Sigma = \{a_1, a_2, \dots, a_m\}$ . Let the vector forms of  $x_i$  and  $a_j$  be  $\delta_n^i$  and  $\delta_m^j$ , respectively, where  $i = 1, 2, \dots, n, j = 1, 2, \dots, m$ , then there must be  $t$  alphabets  $a_{i_1}, a_{i_2}, \dots, a_{i_t}$  satisfying equation  $a_{i_1} \times a_{i_2} \times \dots \times a_{i_t} = \delta_{m^l}^t$ . Note that  $u(t) = a_{i_1} \times a_{i_2} \times \dots \times a_{i_t} = \delta_{m^l}^t$ , then equation (B24) can be rewritten as

$$\begin{array}{cccccccc} [0 \cdots 0 & n_1 & 0 \cdots 0 & n_2 & 0 \cdots 0 & n_k & 0 \cdots 0]_{1 \times n}^T & = & F^t x_i u(t). \\ & \uparrow & & \uparrow & & \uparrow & & & \\ & i_1 & & i_2 & \cdots & i_k & & & \end{array} \quad (\text{B25})$$

By Theorem 1, we have that equation (B25) is the result of the transition of  $M$  when  $M$  reads  $u(t) = a_{i_1} \times a_{i_2} \times \dots \times a_{i_t}$  at state  $x_i$ . In other words,  $M$  reaches the state packet  $\{x_{i_1}, x_{i_2}, \dots, x_{i_k}\}$ , i.e.  $R(x_i, u(t)) = \{x_{i_1}, x_{i_2}, \dots, x_{i_k}\}$ . Then the sufficiency is proved.

**B.6: Computational complexity analysis of Algorithms 1 and 2**

The time computational complexity of Algorithms 1 and 2 is  $O(n)$ , where  $n$  is the number of the states of the considered FSM. The analysis is as follows.

Let us first look at Algorithm 1. Steps 1 and 2 are executed only once throughout the algorithm. Step 3 runs at most  $n$  times in the worst situation where all of the  $n$  elements of  $\text{Row}_j(\text{Blk}_i^n(F^t))$  are non-zero. For the same reason, the set  $K$  contains at most  $n$  elements. Consequently, Steps 4 and 5 repeat  $n$  times in the worst case, respectively. These show that the loop iterations of Algorithm 1 are total  $3n$  times in the worst situation. Therefore the time complexity is  $O(n)$ .

For Algorithm 2, it is easy to get, using the similar way, that the worst time complexity is also  $O(n)$ .

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