

# An anonymous key agreement protocol with robust authentication for smart grid infrastructure

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Dear editor,

The issue on how to ensure the smart grid environment's security and reliability has always been a focus in current research. The rapid expansion of the Internet of Things enables billions of smart devices to be involved in the smart grid, such as smart meters, who play a part in monitoring and recording consumers' power usage. The smart meter first amasses the information of energy consumption and then sends it to utility control. Utility control is responsible to collect the information, observe the trends of power consumption, and deliver the control commands. The exchange of information is transmitted in an insecure public smart grid environment. Thus, before exchanging information, two communicants are required to authenticate each other and generate a session key together, where the session key ensures the security of their session over the smart grid environment. To guarantee secure communication for the smart grid infrastructure, researchers have presented many authenticated key agreement protocols [1–4]. Most existing schemes for the smart grid, however, are found to exhibit various challenges.

Recently, for the sake of overcoming the challenges and improving the authentication in the smart grid, Mahmood et al. [5] designed an anonymous key agreement protocol, which ensured the secure communication between utility control and the smart meter. Mahmood et al. claimed that their scheme realized the smart meter's anonymous connection with utility control and provided reasonable security. Regrettably, we find Mahmood et al.'s protocol still has some security problems required to be solved. First, their scheme provides no perfect forward security. It fails to provide robust mutual authentication and suffers from an impersonation attack. Moreover, Mahmood et al.'s scheme could risk an ephemeral key compromise attack under the Canetti-Krawczyk (CK) threat model [6]. To overcome these blemishes, we propose a new security-enhanced key agreement scheme based on Mahmood et al.'s scheme, which changes the computing format of the session key and adds stronger mutual authentication between the smart meter and utility

control. Additionally, we present a comparison of security properties with related work. A detailed introduction of Mahmood et al.'s scheme refers to [5].

*Weaknesses of Mahmood et al.'s scheme.* Detailed descriptions of those weaknesses existing in Mahmood et al.'s scheme are presented as follows.

(1) There is no perfect forward security. Perfect forward secrecy means that the exposure of the long-term master key or the user's long-term private key will not lead to the leakage of the historical session keys; thus, the lack of such property cannot guarantee the security of historical communications. In Mahmood et al.'s scheme, the produced session key is computed as  $K_{ij} = H_2(Z^b) = H_2(e(M_2, S_i)^a) = H_2(e(M_1, S_j)^b) = H_2(e(P, P)^{ab})$ , where  $M_1$  and  $M_2$  are transmitted in a public channel. Consequently, adversaries could obtain all  $M_1$  and  $M_2$  in previous sessions.  $M_1$ 's value is  $aP(s + H_1(\text{ID}_j))$  and  $M_2$  is equal to  $bP(s + H_1(\text{ID}_i))$ , where  $s + H_1(\text{ID}_j)$  and  $s + H_1(\text{ID}_i)$  are stable values. If the long-term master key  $s$  is exposed to an attacker, then the attacker can acquire previous communication session keys between  $\text{SM}_i$  and  $\text{UC}_j$  by recalculating  $aP$  and  $bP$  according to previous sessions'  $M_1$  and  $M_2$ .

The previous session key  $K_{ij}$  could be computed in the form of  $H_2(e(aP, bP)) = H_2(e(P, P)^{ab})$ . Consequently, Mahmood et al.'s scheme fails to realize perfect forward security.

(2) Impersonation attack. It means that attackers impersonate one of the protocol participants to another participant and finally, share a session key with the participant. In the authentication phase of Mahmood et al.'s scheme, an adversary  $E$  is capable of impersonating utility control  $\text{UC}_j$  to deceive the smart meter  $\text{SM}_i$ .

The adversary could capture the message  $\{M_1, Z\}$  sent to  $\text{UC}_j$ , randomly pick up a number  $b^*$  from  $Z_p^*$ , and successfully execute the protocol with  $\text{SM}_i$ . Finally, the adversary establishes a session key  $K_{ij}^*$  with  $\text{SM}_i$ . The detailed process is illustrated below.

- After  $\text{SM}_i$  performs some related calculation and sends  $\{M_1, Z\}$  to  $\text{UC}_j$ , the adversary  $E$  intercepts it.
- Then,  $E$  randomly selects  $b^* \in Z_p^*$  and computes  $K_{ij}^* =$

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**Table 1** Comparison of security attributes with related schemes

| Scheme | $A_1$ | $A_2$ | $A_3$ | $A_4$ | $A_5$ | $A_6$ | $A_7$ |
|--------|-------|-------|-------|-------|-------|-------|-------|
| [1]    | ×     | ✓     | ×     | ×     | ×     | ×     | ✓     |
| [2]    | ✓     | ✓     | ✓     | ×     | ×     | ×     | ×     |
| [5]    | ✓     | ×     | ×     | ×     | ✓     | ✓     | ×     |
| Ours   | ✓     | ✓     | ✓     | ✓     | ✓     | ✓     | ✓     |

$H_2(Z^{b^*}) = H_2(e(P, P)^{ab^*})$ . Afterwards, attacker  $E$  computes  $R_i^* = H_3(\text{ID}_i \parallel \text{ID}_j \parallel Z \parallel K_{ij}^* \parallel M_1)$  and  $Q_i^* = \frac{1}{b^* + R_i^*} S_e$ , where  $S_e$  is a forged private key of  $E$ .

- Attacker  $E$  calculates  $B_i = P_{\text{pub}} + H_1(\text{ID}_i)P$ ,  $M_2^* = b^* \cdot B_i$ , and  $Y^* = K_{ij}^* \oplus (\text{ID}_i \parallel Q_i^* \parallel M_2^*)$  and sends the forged message  $\{M_2^*, Y^*\}$  to  $\text{SM}_i$ .

- From  $\text{SM}_i$ 's viewpoint, there is no discrepancy of the received message. Thus,  $\text{SM}_i$  computes  $K_{ij}^* = H_2(e(M_2^*, S_i)^a) = H_2(e(P, P)^{ab^*})$  and  $\text{ID}_i \parallel Q_i^* \parallel M_2^* = Y^* \oplus K_{ij}^*$ .

- $\text{SM}_i$  further verifies whether  $M_2' = M_2^*$  holds. It is obvious that the result is positive. After that,  $\text{SM}_i$  computes  $G_i^* = H_4(K_{ij}^* \parallel \text{ID}_i \parallel \text{ID}_j \parallel Z)$  and responds  $E$  with  $\{G_i^*\}$ . After the analysis, we can see that the smart meter  $\text{SM}_i$  cannot distinguish the responder that communicates with it.  $\text{SM}_i$  believes it sets up a session key with utility control  $\text{UC}_j$ , but in reality, it shares  $K_{ij}^*$  with the attacker  $E$ . Thus,  $E$  can establish sessions with  $\text{SM}_i$  and obtain some information of the smart meter.

(3) Other possible security problems. This subpart exhibits other possible attacks existing in Mahmood et al.'s scheme, such as ephemeral key compromise attack, which denotes that the leakage of the user's ephemeral key could lead to the exposure of a session key and further result in the compromise of normal communication. In Mahmood et al.'s scheme, the session key could be computed as  $K_{ij} = H_2(Z^b)$ , where parameter  $Z$  could be obtained by intercepting  $\{M_1, Z\}$  from the insecure public channel; thus, an adversary can compute  $K_{ij}$  if the utility control's ephemeral key  $b$  is known to the adversary. Mahmood et al.'s scheme, therefore, could risk the ephemeral key compromise attack under the CK-adversarial model.

*The proposed scheme.* Since the new proposed scheme is improved based on Mahmood et al.'s scheme, the system setup and registration of the new proposed scheme are similar to their scheme, whose detailed description refers to [5]. As Mahmood et al.'s scheme accepts the smart meter and utility control, the scheme also involves a trusted three party TA. TA executes some trusted operations, who is responsible for system setup and communicants' registration, but TA does not participate in the communicants' authentication. The following subpart will present the description of our scheme (related preliminaries and the explanations of the notions used in our scheme are seen in Appendixes A and B, respectively).

(1) System setup. The system setup of our scheme is like Mahmood et al.'s scheme. The only difference is the definition of the five hash functions. In the new scheme, TA defines  $H_1$ ,  $H_3$ , and  $H_4$  as  $Z_p^* \rightarrow Z_p^*$ .  $H_2$  is defined as  $G_2 \rightarrow Z_p^*$  and  $H_5$  is defined as  $Z_p^* \rightarrow G_1$ .

(2) Registration. The concrete process of registration refers to [5]. The difference of  $\text{SM}_i$ 's registration reflects in the choice of temporary keys. In the new scheme, after the smart meter receives its private key,  $\text{SM}_i$  determines  $Z = g^{a+H_3(S_i \parallel x_1)}$ , where parameters  $a$  and  $x_1$  are random integers selected from  $Z_p^*$ .

(3) Authentication. The smart meter and utility control must achieve mutual authentication before they communicate with each other. In the authentication, the validity of the corresponding participants' identity is checked. In the end,  $\text{SM}_i$  and  $\text{UC}_j$  agree on the session key  $\text{sk}_{ij}$ . The steps are as follows.

- $\text{SM}_i$  randomly picks up two integers  $a, x_1 \in Z_p^*$ , calculates  $Z = e(P, P)^{a+H_3(S_i \parallel x_1)} = g^{a+H_3(S_i \parallel x_1)}$ ,  $A_j = P_{\text{pub}} + H_1(\text{ID}_j)P$ ,  $M_1 = (a + H_3(S_i \parallel x_1)) \cdot A_j$ , and  $Y_1 = H_4(\text{ID}_i \parallel \text{ID}_j \parallel Z \parallel M_1)$ , and sends  $\{M_1, Y_1\}$  to  $\text{UC}_j$ .

- After receiving  $\{M_1, Y_1\}$  from  $\text{SM}_i$ ,  $\text{UC}_j$  selects two random numbers  $b, x_2$  from  $Z_p^*$ , computes  $Z = e(M_1, S_j) = e(P, P)^{a+H_3(S_i \parallel x_1)}$ , and verifies whether  $Y_1 = H_4(\text{ID}_i \parallel \text{ID}_j \parallel Z \parallel M_1)$  is valid using the computed  $Z$  and the received  $M_1$ . If the result is negative,  $\text{UC}_j$  immediately terminates the authentication.

- Otherwise, utility control  $\text{UC}_j$  determines  $V = e(P, P)^{b+H_3(S_j \parallel x_2)} = g^{b+H_3(S_j \parallel x_2)}$ , further generates the subkey  $K_{ij} = H_2(Z^{b+H_3(S_j \parallel x_2)})$ , and uses the subkey to compute the session key  $\text{sk}_{ij} = H_4(\text{ID}_i \parallel \text{ID}_j \parallel Z \parallel V \parallel K_{ij})$ .

- Afterwards, utility control calculates  $B_i = P_{\text{pub}} + H_1(\text{ID}_i)P$ ,  $M_2 = (b + H_3(S_j \parallel x_2)) \cdot B_i$ , and  $Y_2 = H_4(\text{ID}_i \parallel \text{ID}_j \parallel V \parallel M_2)$  and replies  $\text{SM}_i$  with  $\{M_2, Y_2\}$ .

- Upon receiving  $\{M_2, Y_2\}$  from  $\text{UC}_j$ ,  $\text{SM}_i$  calculates  $V = e(M_2, S_i) = e(P, P)^{b+H_3(S_j \parallel x_2)}$ . Then,  $\text{SM}_i$  checks the correctness of the equation, whose value is  $Y_2 = H_4(\text{ID}_i \parallel \text{ID}_j \parallel V \parallel M_2)$ . If the result is negative,  $\text{SM}_i$  immediately ends the session.

- Otherwise, the smart meter  $\text{SM}_i$  further computes the subkey  $K_{ij} = H_2(V^{a+H_3(S_i \parallel x_1)})$  and uses it to generate  $\text{sk}_{ij} = H_4(\text{ID}_i \parallel \text{ID}_j \parallel Z \parallel V \parallel K_{ij})$ .

- The smart meter finally calculates  $G_i = H_4(\text{ID}_i \parallel \text{ID}_j \parallel Z \parallel V \parallel \text{sk}_{ij})$  and sends  $G_i$  to utility control.

- Finally, utility control verifies  $G_i = H_4(\text{ID}_i \parallel \text{ID}_j \parallel Z \parallel V \parallel \text{sk}_{ij})$  with its  $V$  and the computed  $Z$  and  $\text{sk}_{ij}$ . If it is valid, then  $\text{UC}_j$  can use  $\text{sk}_{ij}$  to communicate and exchange information with the smart meter. Otherwise,  $\text{UC}_j$  fails to agree on  $\text{sk}_{ij}$  with  $\text{SM}_i$ .

*Security attributes comparison.* Here we select [1, 2, 5] for comparison. Seven kinds of security properties are compared among those schemes. The result of the comparison is seen in Table 1. In the table,  $A_1$ – $A_7$  denote user anonymity, perfect forward secrecy, mutual authentication without TA's assistance, resistance to impersonation attack, resistance to replay attack, resistance to man-in-the-middle attack, and resistance to ephemeral key compromise attack under the CK-adversarial model. From the table, we can conclude that the new proposed scheme is more robust in security. For instance, Ref. [2] suffers from an impersonation attack, replay attack and man-in-the-middle attack, but our scheme withstands those attacks. Also, Ref. [5] suffers from an impersonation attack and lacks perfect forward secrecy, which is overcome and realized in our scheme. More details on the security analysis of the new proposed scheme are seen in Appendix C.

*Conclusion.* This letter claimed that Mahmood et al.'s scheme still exhibited some vulnerabilities. Concretely, their

scheme cannot withstand an impersonation attack and fails to realize perfect forward secrecy and mutual authentication with the absence of the trusted authority. Moreover, their scheme could suffer from an ephemeral key compromise attack under the CK threat model. We, therefore, fixed those weaknesses and proposed a new security-enhanced scheme where we changed the session keys' format and added robust authentication between the smart meter and utility control. The computation cost, however, is higher in the new scheme (seen in Appendix D) and we do not solve the key escrow problem. In the future, we wish to design protocol with computation cost declined and give solutions to the key escrow problem for the smart grid infrastructure.

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**Supporting information** Appendixes A–D. The supporting information is available online at [info.scichina.com](http://info.scichina.com) and [link.springer.com](http://link.springer.com). The supporting materials are published as submitted, without typesetting or editing. The responsibility for scientific accuracy and content remains entirely with the authors.

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