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# On book thickness parameterized by the vertex cover number\*

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## Appendix A Preliminaries

We only consider undirected graphs. Given a graph  $G = (V, E)$ , we use  $uv$  to denote the edge  $e \in E(G)$  with endpoints  $u$  and  $v$ . In particular,  $G$  is called an *empty graph* if  $E(G) = \emptyset$ . For  $r \in \mathbb{N}$ , we denote the set  $\{1, \dots, r\}$  by  $[1, r]$ .

A *vertex cover* of a given graph  $G = (V, E)$  is a subset  $V'$  of vertices in  $V$  such that each edge in  $E$  has at least one of its endpoints in  $V'$ . The vertex cover number of  $G$ , denoted by  $\tau$ , is the size of a minimum vertex cover of  $G$ . We will use  $C$  to denote a minimum vertex cover of  $G$ . Given a graph  $G = (V, E)$ , a vertex cover  $C$  with size  $\tau$  can be computed in time  $\mathcal{O}(2^\tau + \tau \cdot |V|)$  [1]. We will use  $E_C$  to denote the set of all edges whose both endpoints lie in  $C$ . Given a subset  $W$  of  $C$ , a vertex in  $V(G) \setminus C$  is of type  $W$  if its set of neighbors is equal to  $W$  [2].

A *k-page book embedding*  $\langle \prec, \sigma \rangle$  of a graph  $G$  consists of a linear order  $\prec$  of its vertices along a spine and an assignment  $\sigma$  that assigns each edge to one of  $k$  pages (half-planes sharing the spine) so that no two edges on the same page cross [3, 4]. The smallest  $k > 0$  such that  $G$  admits a  $k$ -page book embedding is called the *book thickness* of  $G$ , denoted by  $\text{bt}(G)$ . The BOOK THICKNESS problem asks, given a graph  $G = (V, E)$  and a positive integer  $k$ , whether  $\text{bt}(G) \leq k$ . This problem is specially called the FIXED-ORDER BOOK THICKNESS problem if the vertex order  $\prec$  is given as part of the input. Correspondingly, the book thickness of  $G$  with respect to  $\prec$  is specially called the *fixed-order book thickness* of  $G$  and denoted by  $\text{bt}(G, \prec)$  [2]. FIXED-ORDER BOOK THICKNESS parameterized by vertex cover number is abbreviated as FIXED-ORDER BTVC. Since the BTVC problem and the FIXED-ORDER BTVC problem can be solved in polynomial time when  $k \geq \tau$  [2], we assume that  $k < \tau$  in our algorithms.

Assume that  $(G, k, \tau)$  is a yes-instance of the BTVC problem. Let  $\langle \prec, \sigma \rangle$  be a  $k$ -page book embedding of  $G$ , let  $W$  be a subset of  $C$ , and let  $V_W$  be the set of vertices having type  $W$ . A vertex  $v$  in  $V_W$  is called an *uncloneable vertex* with respect to page  $p$  if  $v$  has two edges, say  $w_1v$  and  $w_2v$ , assigned to page  $p$  simultaneously. Furthermore, a vertex  $v$  in  $V_W$  is called an *uncloneable vertex* with respect to  $\langle \prec, \sigma \rangle$  if it is an uncloneable vertex with respect to at least one page in  $\langle \prec, \sigma \rangle$ . Otherwise, vertex  $v$  is called a *cloneable vertex* with respect to  $\langle \prec, \sigma \rangle$  [5].

We also define a class of 1-page graphs extended from 1-page 2-degree embedding graphs. For a nonnegative  $b$ , a graph  $G$  is called a *b-crossings 1-page graph* if it meets the requirement (1) in the definition of 1-page 2-degree embedding graphs and has at most  $b$  edge-crossings. A *b-crossings 1-page graph*  $G'$  is called a *pure b-crossings 1-page graph* if each edge in  $E(G')$  is involved in edge-crossing.

## Appendix B A refined kernel for the BTVC problem

By Lemma 1, 2, and the analysis in [5], we immediately arrive at Theorem 1.

### Appendix B.1 The proof of Lemma 1

Assume that the vertices in  $W$  are ordered as  $c_1 \prec c_2 \prec \dots \prec c_{|W|}$ . We first show that  $\mathcal{H}_W$  can be decomposed into two smaller parts by a side-vertex deletion and a middle-vertex deletion as follows.

We argue that there must exist one 2-degree vertex (denoted by  $u$ ) in  $V(\mathcal{H}_W) \setminus W$  on the left of  $c_1$  or on the right of  $c_{|W|}$  in  $\prec$ . Assume towards a contradiction that there exists no such vertex. Then we can introduce a new vertex  $u$  left next to  $c_1$  (or right next to  $c_{|W|}$ ) and add two edges  $uc_1$  and  $uc_{|W|}$  such that there exists no edge-crossing in the resulting graph, which contradicts the assumption that  $|V(\mathcal{H}_W)|$  is maximum. Thus, we can always find such a vertex  $u \in V(\mathcal{H}_W) \setminus W$ . Without loss of generality, we assume that  $u$  lies on the left of  $c_1$  and its neighbors are denoted by  $c_i$  and  $c_j$  respectively.

We then argue that there must exist another 2-degree vertex (denoted by  $v$ ) in  $V(\mathcal{H}_W) \setminus W$  such that  $c_i \prec v \prec c_j$  and that both  $c_iv$  and  $vc_j$  belong to  $E(\mathcal{H}_W)$ . Let  $S$  be the set of all 2-degree vertices that lie between  $c_i$  and  $c_j$  and belong to  $V(\mathcal{H}_W) \setminus W$ . We show that  $c_i$  connects at least one vertex in  $S$ . Assume towards a contradiction that  $c_i$  does not connect any vertex in  $S$ . Then we can extend  $\prec$  by inserting a new vertex  $v$  right next to  $c_i$ , and adding edges  $c_iv$  and  $vc_j$ , such that the resulting graph is still a 1-page 2-degree embedding graph, which contradicts the assumption that  $|V(\mathcal{H}_W)|$  is maximum. Based on the same proof,  $c_j$  also connects at least one vertex in  $S$ . Without loss of generality, let  $v_1 \in S$  be the leftmost vertex that connects  $c_i$  and let  $v_2 \in S$  be the rightmost vertex that connects  $c_j$ . Next, we argue that  $v_1$  and  $v_2$  must be the same one. Assume towards a contradiction that  $v_1$  and  $v_2$  are two distinct vertices. By the assumption that  $\mathcal{H}_W$  is a maximum 1-page 2-degree embedding graph, there must exist one vertex  $c_z \in W$  on the right of  $v_1$  such that  $v_1c_z \in E(\mathcal{H}_W)$ . Then we can adjust  $\mathcal{H}_W$  by inserting a new vertex  $v_h$  left next to  $u$ , deleting the edge  $uc_j$ , and adding edges  $uc_z$ ,  $v_hc_z$ , and  $v_hc_j$ ; see Figure B1 for an illustration. Since  $\mathcal{H}_W$  is a 1-page 2-degree embedding graph with respect to  $W$ , the resulting graph is also a 1-page 2-degree embedding graph with respect to  $W$ . However, this resulting graph has  $|V(\mathcal{H}_W)| + 1$  vertices, which contradicts the assumption that  $|V(\mathcal{H}_W)|$  is maximum.

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**Figure B1** A 1-page 2-degree embedding graph with respect to  $\{c_i, c_j, c_z\}$  (left) and the resulting 1-page 2-degree embedding graph with respect to  $\{c_i, c_j, c_z\}$  obtained by introducing a new vertex  $v_h$  (right).

Thus, a side-vertex deletion on  $u$  and a middle-vertex deletion on  $v$  can be successively executed, resulting in two subgraphs  $\mathcal{H}_{W_1}$  and  $\mathcal{H}_{W_2}$ . Observe that  $\{W_1, W_2\}$  is a partition of  $W$ . Observe further that  $\mathcal{H}_W$  is a maximum 1-page 2-degree embedding graph with respect to  $W$ . Thus,  $\mathcal{H}_{W_1}$  (resp.  $\mathcal{H}_{W_2}$ ) is a maximum 1-page 2-degree embedding subgraph with respect to  $W_1$  (resp.  $W_2$ ).

Furthermore,  $\mathcal{H}_{W_1}$  and  $\mathcal{H}_{W_2}$  can be respectively decomposed into two smaller parts by a side-vertex deletion and a middle-vertex deletion in the same way as described above. This decomposition process can be recursively done until the resulting graph became an empty graph  $\mathcal{H} = (W, \emptyset)$ .

### Appendix B.2 The proof of Lemma 2

Based on Lemma 1,  $\mathcal{H}_W$  can be reduced to  $\mathcal{H} = (W, \emptyset)$  by a sequence of 2-degree vertex deletions. Observe that this reduction is invertible. Hence, we can construct  $\mathcal{H}_W$  from  $\mathcal{H} = (W, \emptyset)$  by a sequence of 2-degree vertex additions in the inverse order.

Assume that  $W_{12}$  is a subset of  $W$ . We prove that  $|V(\mathcal{H}_{W_{12}}) \setminus W_{12}| = 2(|W_{12}| - 1)$  for all  $|W_{12}| \in [1, |W|]$  by the second principle of mathematical induction. In the basis step, it provides that  $|W_{12}| = 1$ . Since  $\mathcal{H}_{W_{12}}$  can be seen as a simple graph with only one vertex, it obviously holds that  $|V(\mathcal{H}_{W_{12}}) \setminus W_{12}| = 0 = 2(|W_{12}| - 1)$ . Let  $q \in [2, |W|]$ . In the inductive step, assume that the claim holds for all  $|W_{12}| \in [1, q - 1]$ . We then consider the particular case that  $|W_{12}| = q$ . Let  $\{W_1, W_2\}$  be a partition of  $W_{12}$  and let  $\mathcal{H}_{W_1}$  (resp.  $\mathcal{H}_{W_2}$ ) be a maximum 1-page 2-degree embedding subgraph with respect to  $W_1$  (resp.  $W_2$ ). The linear order of vertices in  $W_1$  (resp.  $W_2$ ) is denoted by  $\prec_1$  (resp.  $\prec_2$ ). We distinguish two cases based on the structural relationship among  $\mathcal{H}_{W_{12}}$ ,  $\mathcal{H}_{W_1}$  and  $\mathcal{H}_{W_2}$ .

Case 1:  $\mathcal{H}_{W_{12}}$  is constructed by merging  $\langle \mathcal{H}_{W_1}, \prec_1 \rangle$  with  $\langle \mathcal{H}_{W_2}, \prec_2 \rangle$  parallelly. Without loss of generality, assume that  $\langle \mathcal{H}_{W_1}, \prec_1 \rangle$  and  $\langle \mathcal{H}_{W_2}, \prec_2 \rangle$  are placed in a half-plane with a left-to-right fashion. Observe that there exists a unique vertex, say  $c_1$ , in  $\mathcal{H}_{W_1}$  and a unique vertex, say  $c_2$ , in  $\mathcal{H}_{W_2}$  such that  $\mathcal{H}_{W_1}$  and  $\mathcal{H}_{W_2}$  can be merged into a larger 1-page 2-degree embedding graph by adding two 2-degree vertices connecting  $c_1$  and  $c_2$ . Hence, we take two steps as follows. Step (1): introduce a vertex, say  $u_1$ , between the rightmost vertex in  $\prec_1$  and the leftmost vertex in  $\prec_2$ ; add edges  $u_1c_1$  and  $u_1c_2$ . Step (2): introduce another vertex, say  $u_2$ , left next to the leftmost vertex in  $\prec_1$  (or right next to the rightmost vertex in  $\prec_2$ ); add edges  $u_2c_1$  and  $u_2c_2$ . Since  $|W_1| < q$  and  $|W_2| < q$ , by the inductive assumption, it follows that  $|V(\mathcal{H}_{W_1}) \setminus W_1| = 2(|W_1| - 1)$  and that  $|V(\mathcal{H}_{W_2}) \setminus W_2| = 2(|W_2| - 1)$ . Thus, we obtain that  $|V(\mathcal{H}_{W_{12}}) \setminus W_{12}| = 2(|W_1| - 1) + 2(|W_2| - 1) + 2 = 2(|W_1| + |W_2| - 1) = 2(|W_{12}| - 1)$ .

Case 2:  $\mathcal{H}_{W_{12}}$  is constructed by embedding  $\langle \mathcal{H}_{W_1}, \prec_1 \rangle$  into  $\langle \mathcal{H}_{W_2}, \prec_2 \rangle$ . Let  $v_1$  and  $v_2$  be two arbitrary consecutive vertices in  $\mathcal{H}_{W_2}$ . Without loss of generality, assume that  $\mathcal{H}_{W_1}$  is embedded between  $v_1$  and  $v_2$ . If either of vertices  $v_1$  and  $v_2$  belongs to  $W_2$  (we assume that  $v_1 \in W_2$ ), then there exists a unique vertex  $c_1$  in  $W_1$  such that  $\mathcal{H}_{W_1}$  and  $\mathcal{H}_{W_2}$  can be merged by adding two 2-degree vertices connecting  $c_1$  and  $v_1$ . Otherwise,  $\mathcal{H}_{W_1}$  and  $\mathcal{H}_{W_2}$  can be merged by adding two 2-degree vertices connecting  $c_1$  and  $c_2$ , where  $c_2$  is the common neighbor of vertices  $v_1$  and  $v_2$  in  $\mathcal{H}_{W_2}$ . The steps in merging process are the same as those described in case (1). Hence, we also obtain that  $|V(\mathcal{H}_{W_{12}}) \setminus W_{12}| = 2(|W_1| - 1) + 2(|W_2| - 1) + 2 = 2(|W_1| + |W_2| - 1) = 2(|W_{12}| - 1)$ . Note that if  $\mathcal{H}_{W_{12}}$  is constructed by embedding  $\langle \mathcal{H}_{W_2}, \prec_2 \rangle$  into  $\langle \mathcal{H}_{W_1}, \prec_1 \rangle$ , the proof is along the same line.

Altogether, it holds that  $|V(\mathcal{H}_{W_{12}}) \setminus W_{12}| = 2(|W_{12}| - 1)$  for  $|W_{12}| = q$ .

### Appendix C An improved time bound for the algorithm solving fixed-order BTVC

In the algorithm presented by Bhole et al. [2], the size of  $\mathcal{R}_i(s)$  was bounded by  $2^{\tau^3 + \tau^2}$ ; see [2] for the explanations of related notations. Herein, we will prove an improved running time bound for this algorithm by reanalyzing the size of  $\mathcal{R}_i(s)$ .

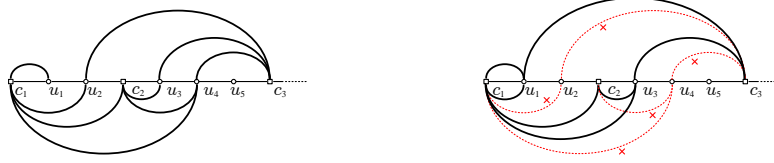
The key technique we used is to adjust some edge on each page in  $\alpha_i \cup s$  such that the endpoints of any edge in the resulting assignment  $\alpha'_i \cup s$  fall into  $C \cup \{u_1, u_{x_1}, u_{x_2}, \dots, u_{x_z}\}$ ; see Figure C1 for an illustration. Theorem 2 can be immediately obtained from Lemma 3 and 4.

#### Appendix C.1 The proof of Lemma 3

Let  $c_q \in C$  for  $q \in [1, \tau]$  and let  $u_x \in (V(G) \setminus C)$  for  $x \geq i$ . By the definition of the visibility matrix, it is sufficient to compare the visibility from  $c_q$  to  $u_x$  in  $\alpha_i \cup s$  with that in  $\alpha'_i \cup s$ . By our adjusting rule, each edge in  $E_C$  remains unchanged in the resulting assignment  $\alpha'_i \cup s$ . Thus, we will not consider edges in  $E_C$  in the following proof.

Let  $(p, q)$  be an arbitrary entry in  $M_i(x, \alpha_i, s)$ , let  $E(p) \subseteq (E_i \cup E_C)$  be the set of edges assigned to page  $p$ , and let  $E(p, c_q) \subseteq E(p)$  be the set of edges incident to  $c_q$ . We distinguish two cases based on two possible values of  $(p, q)$ .

Case 1:  $(p, q) = 0$ . Then there must exist some edge separating  $c_q$  from  $u_x$  on page  $p$  in  $\alpha_i \cup s$ . Let  $H_1$  be the set of edges that embrace  $u_x$  but not embrace  $c_q$  and let  $H_2$  be the set of edges that embrace  $c_q$  but not embrace  $u_x$ . Obviously, it holds that  $(H_1 \cup H_2) \cap E(p, c_q) = \emptyset$ . Assume that  $H_1 \neq \emptyset$ . Let  $e_1$  be an arbitrary edge in  $H_1$  and let  $e'_1$  be the corresponding edge in  $\alpha'_i \cup s$  resulted by adjusting  $e_1$  (by the adjusting rule, there always exists such an edge). Since  $x \geq i$ , the right endpoint of  $e_1$  must be in  $C$ . We analyze the position of  $e'_1$  in two possible subcases. If  $u_x \prec c_q$ , then the right endpoint of  $e'_1$  still lies on the right of  $u_x$ . Otherwise (i.e.,  $c_q \prec u_x$ ), the left endpoint of  $e'_1$  is still on the right of  $c_q$ . In either case,  $e'_1$  always separates  $c_q$  from  $u_x$  in  $\alpha'_i \cup s$ . Assume that  $H_2 \neq \emptyset$ . Let  $e_2$  be an arbitrary edge in  $H_2$  and let  $e'_2$  be the corresponding edge in  $\alpha'_i \cup s$  resulted by adjusting  $e_2$ . By the assumption that  $x \geq i$ , it follows that  $c_q \prec u_x$ . Moreover, both endpoints of  $e_2$  lie on the left of  $u_x$ . By the rule of edge-adjusting,  $e'_2$  still embraces  $c_q$  but not embrace  $u_x$ , which means that  $e'_2$  separates  $c_q$  from  $u_x$  on page  $p$  in  $\alpha'_i \cup s$ . Since  $H_1 \cup H_2 \neq \emptyset$ , there is at least one edge separating  $c_q$  from  $u_x$  on page  $p$  in  $\alpha'_i \cup s$ , indicating that  $(p, q)$  is 0 in  $M_i(x, \alpha'_i, s)$ .



**Figure C1** An original 2-page assignment of  $E_5 \cup E_C$  (left) and the resulting 2-page assignment (right) obtained by executing 1-edge-adjusting( $p$ ) for  $p = 1, 2$ .

Case 2:  $(p, q) = 1$ . If  $E(p) \neq E(p, c_q)$ , then all edges in  $E(p) \setminus E(p, c_q)$  fall into two categories: (1) the edges that embrace neither  $c_q$  nor  $u_x$  and (2) the edges that embrace  $c_q$  and  $u_x$  simultaneously. We denote by  $H_1$  the former edge set and by  $H_2$  the latter. Assume that  $H_1 \neq \emptyset$ . Let  $e_1$  be an arbitrary edge in  $H_1$  and let  $e'_1$  be the corresponding edge in  $\alpha' \cup s$  resulted by adjusting  $e_1$ . Since  $x \geq i$ , both endpoints of  $e_1$  lie on the left of  $u_x$ , which means that  $e'_1$  will not embrace  $u_x$  in  $\alpha' \cup s$ . Moreover, both endpoints of  $e_1$  either lie on the left of  $c_q$  or lie on the right of  $c_q$ . Thus,  $e'_1$  will also not embrace  $c_q$  in  $\alpha' \cup s$ . Assume that  $H_2 \neq \emptyset$ . Let  $e_2$  be an arbitrary edge in  $H_2$  and let  $e'_2$  be the corresponding edge in  $\alpha' \cup s$  resulted by adjusting  $e_2$ . By the assumption that  $x \geq i$ , it follows that the right endpoint of  $e_2$  must be in  $C$ . Thus, after adjusting  $e_2$ , the edge  $e'_2$  still embraces  $c_q$  and  $u_x$  simultaneously. Altogether, no edge on page  $p$  in  $\alpha'_i \cup s$  separates  $c_q$  from  $u_x$ , indicating that  $(p, q)$  is 1 in  $M_i(x, \alpha'_i, s)$ .

## Appendix C.2 The proof of Lemma 4

Given a set  $S$  of  $2\tau + 1$  vertices with a fixed linear order  $\prec$ , we first define a special class of 0-crossing 1-page graphs. A 0-crossing 1-page graph  $P$  is called a  $((S, \prec), \tau)$  0-crossing 1-page graph if  $P$  meets requirements: (1)  $V(P) = S$ , (2) the order of vertices in  $V(P)$  is  $\prec$ , and (3) the vertex cover number of  $P$  is equal to  $\tau$ . Specifically, we set  $S = V' \cup C$  and denote by  $\mathcal{Q}$  the family of all  $((S, \prec), \tau)$  0-crossing 1-page graphs and estimate the cardinality of  $\mathcal{Q}$  as follows. Let  $P \in \mathcal{Q}$  be an arbitrary  $((S, \prec), \tau)$  0-crossing 1-page graph. Since  $P$  is a planar graph with  $2\tau + 1$  vertices, it has at most  $3 \times (2\tau + 1) - 6 = 6\tau - 3$  edges. Furthermore, all edges of  $P$  come from  $\tau \times (2\tau + 1)$  possible vertex pairs since the vertex cover number of  $P$  is  $\tau$ . Therefore, the cardinality of  $\mathcal{Q}$  can be bounded by  $|\mathcal{Q}| = \sum_{i=1}^{6\tau-3} \binom{(2\tau+1) \times \tau}{i} \leq (6\tau - 3) \cdot (2\tau^2 + \tau)^{6\tau-3}$ .

Next, we denote by  $\mathcal{D}$  the set  $\{(P_1, P_2, \dots, P_k) \mid P_i \in \mathcal{Q}, i \in [1, k]\}$ . Then, the number of  $k$ -tuples in  $\mathcal{D}$  can be bounded by  $|\mathcal{D}| = (6\tau - 3)^k \cdot (2\tau^2 + \tau)^{(6\tau-3)k} = 2^{k \log(6\tau-3) + ((6\tau-3)k)(\log(2\tau^2+\tau))} = 2^{\mathcal{O}(k\tau \log \tau)}$ .

Furthermore, we can bound the size of  $\mathcal{R}_i(s)$  by the relationship between  $\mathcal{R}_i(s)$  and  $\mathcal{D}$ . We denote by  $\mathcal{P}_i(s)$  the set  $\{\alpha_i \cup s \mid \alpha_i = A_i^s(\rho)$  and  $\rho \in \mathcal{R}_i(s)\}$ . Suppose that  $\alpha'_i \cup s$  and  $\alpha''_i \cup s$  are two distinct assignments in  $\mathcal{P}_i(s)$ . By the definition of  $\mathcal{R}_i(s)$ , there exists at least an integer  $h \in \{i\} \cup X$  such that  $M_i(h, \alpha'_i, s) \neq M_i(h, \alpha''_i, s)$ . We argue that there exists an injective function  $f$  from  $\mathcal{P}_i(s)$  to  $\mathcal{D}$  as follows. (1). Assume that  $\alpha_i \cup s$  is an arbitrary assignment in  $\mathcal{P}_i(s)$ . Since  $\alpha_i \cup s$  is a  $k$ -page book embedding, it contains  $k$  pages. After executing 1-edge-adjusting( $p$ ) for  $p = 1, 2, \dots, k$  in  $\alpha_i \cup s$ , each page is exactly transformed into a  $((S, \prec), \tau)$  0-crossing 1-page graph. Hence, there exists a unique tuple  $(P_1, P_2, \dots, P_k)$  in  $\mathcal{D}$  such that  $f(\alpha_i \cup s) = (P_1, P_2, \dots, P_k)$ . (2). Given two distinct assignments in  $\mathcal{P}_i(s)$ , say  $\alpha'_i \cup s$  and  $\alpha''_i \cup s$ , we show that  $f(\alpha'_i \cup s) \neq f(\alpha''_i \cup s)$  as follows. Assume towards a contradiction that  $f(\alpha'_i \cup s) = f(\alpha''_i \cup s)$ . Then,  $M_i(x, f(\alpha'_i), s) = M_i(x, f(\alpha''_i), s)$  for each  $x \in \{i\} \cup X$ . By Lemma 3, it holds that  $M_i(x, \alpha'_i, s) = M_i(x, \alpha''_i, s)$  for each  $x \in \{i\} \cup X$ , contradicting the fact that  $\alpha'_i \cup s$  and  $\alpha''_i \cup s$  are distinct assignments in  $\mathcal{P}_i(s)$ .

Consequently,  $|\mathcal{R}_i(s)| = |\mathcal{P}_i(s)| \leq |\mathcal{D}|$ . It was assumed that  $k < \tau$  [2]. Therefore,  $|\mathcal{R}_i(s)|$  can be bounded by  $2^{\mathcal{O}(\tau^2 \log \tau)}$ .

## Appendix D Analysis on the algorithm solving an extended fixed-order BTVC

An extended FIXED-ORDER BOOK THICKNESS asks, given a tuple  $(G, \prec)$  and two integers  $k, b$ , whether  $G$  admits a  $k$ -page book drawing  $(\prec, \sigma)$  with at most  $b$  crossings over all pages? Its parameterized version with respect to the vertex cover number is abbreviated as E-F-BTVC. Bhore et al. [2] mentioned the techniques in their algorithm solving FIXED-ORDER BTVC can be extended to this problem, but they did not elaborate on them. To facilitate our analysis, we first briefly describe a specific algorithm for E-F-BTVC by extending the techniques in [2]. Then, we pay more attention to analyze its running time by our edge-adjusting technique.

Based on Lemma 5, we define an *extended record set* with the form  $\mathcal{R}'_i(s) = \{(N_i(\alpha_i, s), M_i(i, \alpha_i, s), M_i(x_1, \alpha_i, s), M_i(x_2, \alpha_i, s), \dots, M_i(x_z, \alpha_i, s)) \mid \exists \text{ valid partial page assignment } \alpha_i : E_i \rightarrow [1, k]\}$ . The record corresponding to  $\alpha_i \cup s$  in  $\mathcal{R}'_i(s)$  is also denoted by  $\mathcal{M}_i(\alpha_i, s)$ . Along with  $\mathcal{R}'_i(s)$ , we also set a mapping  $A_i^s$  which maps  $(N, M_0, \dots, M_z) \in \mathcal{R}'_i(s)$  to some  $\alpha_i$  such that  $(N, M_0, \dots, M_z) = \mathcal{M}_i(\alpha_i, s)$ . Based on the framework of dynamic programming in [2], we can obtain an algorithm (denoted by ALGF) for the E-F-BTVC problem.

The basic strategy in ALGF is to dynamically construct page assignments containing at most  $b$  crossings over all pages from left to right along the linear order  $\prec$ . Assume the record set  $\mathcal{R}'_{i-1}(s)$  has been computed. Each page assignment  $\beta$  of edges incident to the vertex  $u_{i-1}$  and each record  $\rho \in \mathcal{R}'_{i-1}(s)$  are branched. For each such  $\beta$  and  $\gamma = A_{i-1}^s(\rho)$ , the algorithm check whether  $\gamma \cup s \cup \beta$  is valid. If  $\gamma \cup \beta \cup s$  contains at most  $b$  crossings over all pages, then a new record  $\mathcal{M}_i(\gamma \cup \beta, s)$  is computed and stored in  $\mathcal{R}'_i(s)$ , and the mapping  $A_i^s$  is set to map this record to  $\gamma \cup \beta$ . Otherwise, the tuple  $(\gamma, s, \beta)$  is discarded.

Furthermore, we use  $\text{bt}(G, \prec, b)$  to denote the smallest  $k > 0$  such that  $((G, \prec), k, \tau, b)$  is a yes-instance of the E-F-BTVC problem. It holds that  $\text{bt}(G, \prec, b) < \tau$  since  $\text{bt}(G, \prec, b) \leq \text{bt}(G, \prec)$  and  $\text{bt}(G, \prec) < \tau$ . By Lemma 5 and 6, we immediately arrive at Theorem 3.

### Appendix D.1 The proof of Lemma 5

Let  $(p, q)$  be an arbitrary entry in  $M_i(x_j, \alpha_i, s)$ . Assume that  $(p, q) = r$ . In the following, we argue that the corresponding entry  $(p, q)$  in  $M_i(h, \alpha_i, s)$  is also equal to  $r$ . We distinguish two cases based on whether  $r = b + 1$  or not.

Case 1:  $r \in [0, b]$ . If  $r = 0$ , then there exists no edge separating  $c_q$  from  $u_{x_j}$  on page  $p$  in  $\alpha_i \cup s$ . By the assumption that  $x_j \geq i$  and  $h - x_j = \min \{|h - x| \mid x \in \{i\} \cup X\}$ , there exists no edge separating  $c_q$  from  $u_h$  on page  $p$ . Hence,  $(p, q)$  also equals 0 in  $M_i(h, \alpha_i, s)$ . Assume that  $r \neq 0$ . Since  $r \leq b$ , there are exactly  $r$  edges separating  $c_q$  from  $u_{x_j}$  on page  $p$  in  $\alpha_i \cup s$ . Moreover, each of these edges either embraces  $u_{x_j}$  but not embrace  $c_q$  or embraces  $c_q$  but not embrace  $u_{x_j}$ . We analyze two possible subcases as follows. Let  $e_1$  be an arbitrary edge that embraces  $u_{x_j}$  but not embrace  $c_q$ . By the assumption that  $x_j \geq i$ , the right endpoint of



**Figure D1** An original 2-page assignment of  $E_6 \cup E_C$  (left) and the resulting 2-page assignment (right) obtained by executing 2-edge-adjusting( $p$ ) for  $p = 1, 2$ , in which  $b = 2$ .

$e_1$  (denoted by  $c_{e_1}$ ) must be in  $C$ . By the assumption that  $h - x_j = \min \{|h - x| | x \in \{i\} \cup X\}$ , it follows that  $u_{x_j} \prec c_{e_1} \prec c_q$ . Thus, the edge  $e_1$  also separates  $c_q$  from  $u_h$ . Similarly, let  $e_2$  be an arbitrary edge that embraces  $c_q$  but not embrace  $u_{x_j}$ . Since  $x_j \geq i$ , it follows that  $c_q \prec u_{x_j}$ . Moreover, both endpoints of  $e_2$  lie on the left of  $u_{x_j}$ . Thus, the edge  $e_2$  also separates  $c_q$  from  $u_h$ . Altogether, there are  $r$  edges separating  $c_q$  from  $u_h$  on page  $p$  in  $\alpha_i \cup s$ , indicating that  $(p, q)$  is  $r$  in  $M_i(h, \alpha_i, s)$ .

Case 2:  $r = b + 1$ . Then there must exist at least  $b + 1$  edges that separating  $c_q$  from  $u_{x_j}$  on page  $p$  in  $\alpha_i \cup s$ . By the proof of case 1, each of these edges will also separate  $c_q$  from  $u_h$  on page  $p$ . By the definition of crossing number matrix, the entry  $(p, q)$  is also set to  $b + 1$  in  $M_i(h, \alpha_i, s)$ .

## Appendix D.2 The proof of Lemma 6

We first prove the correctness of algorithm ALGF. Suppose both  $\alpha'_{i-1}$  and  $\alpha''_{i-1}$  are two valid page assignments of  $E_{i-1}$ . Let  $\beta$  be a page assignment of edges incident to the vertex  $u_{i-1}$ .

**Claim 1.** If  $\mathcal{M}_{i-1}(\alpha'_{i-1}, s) = \mathcal{M}_{i-1}(\alpha''_{i-1}, s)$ , then  $\mathcal{M}_i(\alpha'_{i-1} \cup \beta, s) = \mathcal{M}_i(\alpha''_{i-1} \cup \beta, s)$ .

*Proof of Claim 1.* By the assumption that  $M_{i-1}(i-1, \alpha'_{i-1}, s) = M_{i-1}(i-1, \alpha''_{i-1}, s)$ , it follows that  $\alpha'_{i-1} \cup \beta \cup s$  is a valid assignment on edges in  $E_i \cup E_C \cup s$  if and only if  $\alpha''_{i-1} \cup \beta \cup s$  is a valid assignment on edges in  $E_i \cup E_C \cup s$ .

We show that  $N_i(\alpha'_{i-1} \cup \beta, s) = N_i(\alpha''_{i-1} \cup \beta, s)$  as follows. Let  $r_1$  (resp.  $r_2$ ) be the number of crossings resulted from adding some edge by  $\beta$  to  $\alpha'_{i-1} \cup s$  (resp.  $\alpha''_{i-1} \cup s$ ). Since  $M_{i-1}(i-1, \alpha'_{i-1}, s) = M_{i-1}(i-1, \alpha''_{i-1}, s)$  and the assignment of edges by  $\beta$  to  $\alpha'_{i-1} \cup s$  is identical to that to  $\alpha''_{i-1} \cup s$ , it follows that  $r_1 = r_2$ . By the assumption that  $N_{i-1}(\alpha'_{i-1}, s) = N_{i-1}(\alpha''_{i-1}, s)$ , it holds that  $N_{i-1}(\alpha'_{i-1}, s) + r_1 = N_{i-1}(\alpha''_{i-1}, s) + r_2$ , i.e.,  $N_i(\alpha'_{i-1} \cup \beta, s) = N_i(\alpha''_{i-1} \cup \beta, s)$ .

We also show that  $M_i(x, \alpha'_{i-1} \cup \beta, s) = M_i(x, \alpha''_{i-1} \cup \beta, s)$  for each  $x \in \{i\} \cup X$  as follows. Let  $(p, q)$  be an arbitrary entry in  $M_{i-1}(h, \alpha'_{i-1}, s)$  for  $h \in \{i-1\} \cup X$ . By the assumption that  $\mathcal{M}_{i-1}(\alpha'_{i-1}, s) = \mathcal{M}_{i-1}(\alpha''_{i-1}, s)$ , it follows that the entry  $(p, q)$  in  $M_{i-1}(h, \alpha'_{i-1}, s)$  equals that in  $M_{i-1}(h, \alpha''_{i-1}, s)$ . At the same time, in the assignment  $\beta$ , the edges assigned to page  $p$  in  $\alpha'_{i-1}$  are identical to those in  $\alpha''_{i-1}$ . Hence, the number of edges in  $\beta$  separating  $c_q$  from  $u_x$  on page  $p$  in  $\alpha'_{i-1} \cup \beta$  is equal to that in  $\alpha''_{i-1} \cup \beta$ , in which  $x \in \{i\} \cup X$ . Thus, if the entry  $(p, q)$  in  $M_i(x, \alpha'_{i-1} \cup \beta, s)$  varies, then its increments is the same as that for the entry  $(p, q)$  in  $M_i(x, \alpha''_{i-1} \cup \beta, s)$ .

Based on Claim 1, we conclude that if  $((G, \prec), k, \tau, b)$  is a yes-instance of the E-F-BTVC problem, then the algorithm ALGF  $((G, \prec), k, \tau, b)$  outputs a valid page assignment.

Next, we apply the edge-adjusting technique to estimate the size of  $\mathcal{R}'_i(s)$  along similar lines in Appendix C.

We define another unit operation 2-ADJUST( $u_j, u_h$ ) for adjusting edges from  $E(p, u_j)$  to  $E(p, u_h)$ . By replacing the unit operation 1-ADJUST( $u_j, u_{x_j}$ ) with 2-ADJUST( $u_j, u_{x_j}$ ) in procedure 1-edge-adjusting( $p$ ), we can obtain another procedure named as 2-edge-adjusting( $p$ ). Given a valid partial assignment  $\alpha_i \cup s$ , we execute the procedure 2-edge-adjusting( $p$ ) on each page  $p$  for  $p = 1, 2, \dots, k$ . After adjusting all possible edges, we obtain a resulting assignment  $\alpha'_i \cup s$  such that the subgraph induced by the edges on each page has at most  $2\tau + 1$  vertices; see Figure D1 for an illustration. Note that there may be multiple edges between two vertices on some page in  $\alpha'_i \cup s$ .

**Claim 2.** For each  $x \in \{i\} \cup X$ ,  $M_i(x, \alpha'_i, s) = M_i(x, \alpha_i, s)$ .

*Proof of Claim 2.* Let  $(p, q)$  be an arbitrary entry in  $M_i(x, \alpha_i, s)$ . Assume that  $(p, q)$  is  $r$ . In the following, we argue that  $(p, q)$  is also equal to  $r$  in  $M_i(x, \alpha'_i, s)$ . We distinguish two cases based on whether  $r = b + 1$  or not.

Case 1:  $r \in [0, b]$ . If  $r = 0$ , then there exists no edge separating  $c_q$  from  $u_x$  on page  $p$  in  $\alpha_i \cup s$ . By the proof of Lemma 3, there exists no edge separating  $c_q$  from  $u_x$  on page  $p$  in  $\alpha'_i \cup s$ . Hence,  $(p, q)$  also equals 0 in  $M_i(x, \alpha'_i, s)$ . Assume that  $r \neq 0$ . Since  $r \leq b$ , there must exist  $r$  edges separating  $c_q$  from  $u_x$  on page  $p$  in  $\alpha_i \cup s$ . Along the same lines as that in Lemma 3, we can show that there are exactly  $r$  edges separating  $c_q$  from  $u_x$  on page  $p$  in  $\alpha'_i \cup s$ , indicating that  $(p, q)$  is  $r$  in  $M_i(x, \alpha'_i, s)$ .

Case 2:  $r = b + 1$ . Then there must be  $h$  ( $h \geq b + 1$ ) edges (denoted by  $H$ ) that separating  $c_q$  from  $u_x$  on page  $p$  in  $\alpha_i \cup s$ . By the proof of case 1, after adjusting some edge, each of the resulting edges still separates  $c_q$  from  $u_x$  on page  $p$  in  $\alpha'_i \cup s$ . Next, we analyze the value of  $(p, q)$  in  $M_i(x, \alpha'_i, s)$ . Let  $uc$  be an arbitrary edge in  $H$ . It holds that  $c \in C$  and  $u$  lies on one of the  $\tau + 1$  intervals formed by the vertices in  $C$ . The edges in  $H$  fall into at most  $\tau(\tau + 1)$  bunches. We further distinguish two subcases based on the number of edges in each bunch. Subcase 2.1: there exists at least one bunch, say  $B$ , such that  $|B| > b$ . After adjusting edges in  $B$ , we keep one multiple edge with multiplicities  $b + 1$  corresponding to  $B$ . This multiple edge can be seen as a set containing  $b + 1$  edges. Thus the number of edges separating  $c_q$  from  $u_x$  can be seen as at least  $b + 1$ . Subcase 2.2: none of bunches contains  $b + 1$  edges. Let  $B'$  denote an arbitrary bunch. During edge-adjusting, we keep one multiple edge with multiplicities  $|B'|$ . By the assumption that  $h \geq b + 1$ , the total number of edges separating  $c_q$  from  $u_x$  can be also seen as at least  $b + 1$ . Therefore, the entry  $(p, q)$  is also set to  $b + 1$  in  $M_i(x, \alpha'_i, s)$ .

Given a nonnegative  $b$  and a set  $S$  of  $2\tau + 1$  vertices with a fixed linear order  $\prec$ , we further define two special classes of 1-page graphs. A 0-crossing (resp. pure  $b$ -crossing) 1-page graph  $P$  is called a  $((S, \prec), \tau, b + 1)$  0-crossing (resp. pure  $b$ -crossing) 1-page graph if  $P$  meets requirements: (1)  $V(P) = S$ , (2) the order of vertices in  $V(P)$  is  $\prec$ , (3) the vertex cover number of  $P$  is equal to  $\tau$ , and (4) the multiplicity of each edge in  $E(P)$  is at most  $b + 1$ . Specifically, we set  $S = V' \cup C$  and denote by  $\mathcal{P}_1$  (resp.  $\mathcal{P}_2$ ) the family of all  $((S, \prec), \tau, b + 1)$  0-crossing (resp. pure  $b$ -crossing) 1-page graphs.

The size of  $\mathcal{P}_1$  and  $\mathcal{P}_2$  can be respectively estimated as follows. Since each  $((S, \prec), \tau, b + 1)$  0-crossing graph in  $\mathcal{P}_1$  is a planar multigraph, it follows that  $|\mathcal{P}_1| = \sum_{i=1}^{6\tau-3} \binom{2\tau+1}{i} \tau \cdot (b+1)^i \leq (6\tau-3) \cdot ((2\tau+1)\tau)^{6\tau-3} \cdot (b+1)^{6\tau-3}$ , in which the factor  $(b+1)$  denotes the maximum multiplicity of each edge. Similarly, since each  $((S, \prec), \tau, b + 1)$  pure  $b$ -crossing 1-page graph in  $\mathcal{P}_2$  contains at most  $b$  crossings produced by at most  $2b$  edges, it follows that  $|\mathcal{P}_2| = \sum_{i=1}^{2b} \binom{2\tau+1}{i} \tau \cdot (b+1)^i \leq 2b \cdot ((2\tau+1)\tau)^{2b} \cdot (b+1)^{2b}$ . We assume that  $\mathcal{Q} = \mathcal{P}_1 \times \mathcal{P}_2$ . Then,  $|\mathcal{Q}| \leq (6\tau-3) \cdot ((2\tau+1)\tau)^{6\tau-3} \cdot (b+1)^{6\tau-3} \cdot 2b \cdot ((2\tau+1)\tau)^{2b} \cdot (b+1)^{2b} = 2b \cdot (6\tau-3) \cdot ((2\tau+1)(b+1)\tau)^{6\tau+2b-3}$ .

Let  $N$  be an integer variable ranging from 0 to  $b$ . We denote by  $\mathcal{D}'$  the set  $\{(N, B_1, B_2, \dots, B_k) \mid B_i \in \mathcal{Q} \text{ for } i \in [1, k]\}$ . Then,  $|\mathcal{D}'| = (b+1) \cdot (2b)^k \cdot (6\tau-3)^k \cdot ((2\tau+1)(b+1)\tau)^{(6\tau+2b-3)k} = 2^{\mathcal{O}((\tau+b)k \log \tau(b+1))}$ .

Denote by  $\mathcal{P}'_i(s)$  the set  $\{\alpha_i \cup s \mid \alpha_i = A'_i(\rho) \text{ and } \rho \in \mathcal{R}'_i(s)\}$ . By Claim 2, we can show that there exists an injective function from  $\mathcal{P}'_i(s)$  to  $\mathcal{D}'$  along the same lines in the proof of Lemma 4. Hence, it holds that  $|\mathcal{R}'_i(s)| \leq |\mathcal{D}'|$ . In other words,  $|\mathcal{R}'_i(s)|$  can be bounded by  $2^{\mathcal{O}((\tau+b)k \log \tau(b+1))}$ .

By the fact  $|S| < \tau\tau^2$  and Lemma 6, we further conclude that the algorithm  $\text{ALGF}((G, <), k, \tau, b)$  for the E-F-BTVC problem runs in time  $2^{\mathcal{O}((\tau+b)k \log \tau(b+1)) + \tau^2 \log \tau} \cdot |V|$ .

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