

• Supplementary File •

## Observer-based boundary control for an asymmetric output-constrained flexible robotic manipulator

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### Appendix A Definition of $\mathcal{A}$

The manipulator system model can be transformed as

$$\begin{cases} \rho \ddot{z} + EIz'''' - Tz'' + cz = 0 \\ I_h \ddot{\theta} = EIz''(0, t) + T(z(l, t) - lz'(0, t)) - k_1 \dot{\theta} - e(k_2 + \frac{J(e)}{b_2^2 - e^2} + \frac{1-J(e)}{b_1^2 - e^2}) + \tilde{d}_1 \\ m \ddot{z}(l, t) = EIz'''(l, t) - T(z'(l, t) - z'(0, t)) - k_3 \dot{z}(l, t) - z_e(l, t)(k_4 + \frac{J(z_e(l, t))}{a_2^2 - z_e^2(l, t)} + \frac{1-J(z_e(l, t))}{a_1^2 - z_e^2(l, t)}) + \tilde{d}_2 \\ z(0, t) = z''(l, t) = 0 \\ z'(0, t) = \theta \end{cases} \quad (\text{A1})$$

The linear operator  $\mathcal{A} : D(\mathcal{A}) \subset H \rightarrow H$  is defined as

$$\mathcal{A} \begin{bmatrix} g \\ v \\ w \\ T_1 \\ T_2 \end{bmatrix} = \begin{bmatrix} w \\ w \\ \frac{Tg''}{\rho} - \frac{EIg''''}{\rho} - \frac{cw}{\rho} \\ -\frac{k_3 T_1}{m} + \varpi_1 \\ -\frac{k_1 T_2}{I_h} + \varpi_2 \end{bmatrix} \quad (\text{A2})$$

with the domain

$$D(\mathcal{A}) = \{[g, v, w, T_1, T_2]^T \in H | T_1 = w(l, t), T_2 = w'(0, t)\} \quad (\text{A3})$$

where

$$\begin{cases} \varpi_1 = \frac{EIg''(l, t)}{m} - \frac{T(g'(l, t) - g'(0, t))}{m} - \frac{v(l, t)}{m} (k_4 + \frac{J(v(l, t))}{a_2^2 - v^2(l, t)} + \frac{1-J(v(l, t))}{a_1^2 - v^2(l, t)}) \\ \varpi_2 = \frac{EIg''(0, t)}{I_h} + \frac{T(g(l, t) - lg'(0, t))}{I_h} - \frac{v'(0, t)}{m} (k_2 + \frac{J(v'(0, t))}{b_2^2 - (v'(0, t))^2} + \frac{1-J(v'(0, t))}{b_1^2 - (v'(0, t))^2}) \end{cases} \quad (\text{A4})$$

From (8), for any  $Y \in H$ , we have

$$\langle Y, \mathcal{A}Y \rangle_H \leq -\mathcal{M}\{(v'(0, t))^2 + v^2(l, t) + T_1^2 + T_2^2 + \int_0^l ((g'')^2 + w^2 + (g' - g'(0, t))^2) dx\} \leq 0 \quad (\text{A5})$$

where  $\mathcal{M}$  is a positive constant, and the operator  $\mathcal{A}$  is dissipative in  $H$ .

We next prove that  $\mathcal{A}^{-1}$  is compact in  $H$ . For any  $Q = [q_1, q_2, q_3, q_4, q_5]^T \in H$ , consider the equation  $\mathcal{A}Y = Q$ ,  $Y = [g, v, w, T_1, T_2] \in D(\mathcal{A})$ . From (A2), we can obtain the following equations

$$\begin{cases} w = q_1 \\ w = q_2 \\ \frac{T}{\rho} g'' - \frac{EI}{\rho} g'''' - \frac{c}{\rho} w = q_3 \\ -\frac{k_3}{m} T_1 + \varpi_1 = q_4 \\ -\frac{k_1}{I_h} T_2 + \varpi_2 = q_5 \end{cases} \quad (\text{A6})$$

with the corresponding boundary conditions

$$g(0, t) = g''(l, t) = 0, \quad T_1 = w(l, t), \quad T_2 = w'(0, t) \quad (\text{A7})$$

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Solving (A6) results in

$$\begin{cases} g = \mathcal{M}_1 + \mathcal{M}_2 x + \mathcal{M}_3 e^{\nu x} + \mathcal{M}_4 e^{-\nu x} + \frac{e^{-\nu x}}{EI} \int_0^x e^{2\nu \rho} \int_0^\rho e^{-\nu \varsigma} \int_0^\varsigma \int_0^\xi (\rho q_3 + c q_1) d\rho d\varsigma d\xi dx \\ v = g - x\theta_d \\ w = q_2 \\ T_1 = \frac{m\varpi_1 - mq_4}{k_3} \\ T_2 = \frac{I_h \varpi_2 - I_h q_5}{k_1} \end{cases} \quad (\text{A8})$$

where  $\nu = \sqrt{\frac{T}{EI}}$ ,  $\mathcal{M}_i$  ( $i = 1, 2, 3, 4$ ) are the constants which are exclusively determined by the boundary conditions (A7),  $\varpi_1$  and  $\varpi_2$  can be calculated by  $g(l, t), g'(0, t), g''(0, t), g'''(0)$  and  $g'''(l, t)$ . By using the inverse-operator theorem and Sobolev embedding theorem, it can be concluded that  $\mathcal{A}^{-1}$  is a compact operator.

### Appendix B Proof of Theorem 1

**Lemma 1.** [1] From Poincaré inequalities, we can get the following result

$$\int_0^l \pi^2 dx \leq 8l^3 (\pi'(0, t))^2 + 2l\pi^2(0, t) + 16l^4 \int_0^l (\pi'')^2 dx \quad (\text{B1})$$

**Lemma 2.** [2] For any  $C > 0$  and  $h \in \mathbb{R}$  in the interval  $|h| < C$ , the following inequality holds

$$\ln \frac{C^2}{C^2 - h^2} \leq \frac{h^2}{C^2 - h^2} \quad (\text{B2})$$

**Lemma 3.** [3] Define  $\mathcal{V} = \{\zeta \in \mathbb{R} \mid -c_1 < \zeta < c_2\}$  and  $\mathcal{N} = \mathbb{R}^m \times \mathcal{V}$  as two open sets, where  $c_1, c_2 \in \mathbb{R}^+$ . Consider the following system

$$\eta = \dot{h}(t, \eta) \quad (\text{B3})$$

where  $\eta = [w, \zeta]^T \in \mathcal{N}$  ( $w \in \mathbb{R}^m$ ) and  $h : \mathbb{R}^+ \times \mathcal{N} \rightarrow \mathbb{R}^{m+1}$  is piecewise continuous and locally Lipschitz in  $\eta$ , uniformly in  $t$ , on  $\mathbb{R}^+ \times \mathcal{N}$ . Assume there are two positive definite and continuously differentiable functions  $U_1 : \mathbb{R}^m \times \mathbb{R}^+ \rightarrow \mathbb{R}^+$  and  $U_2 : \mathcal{V} \rightarrow \mathbb{R}^+$  such that

$$U_2(\zeta) \rightarrow \infty \text{ as } \zeta \rightarrow -c_1 \text{ or } \zeta \rightarrow c_2, \kappa_1(\|w\|) \leq U_1(w, t) \leq \kappa_2(\|w\|) \quad (\text{B4})$$

where  $\kappa_1, \kappa_2$  are class  $k_\infty$  functions. Construct  $U(\eta) = U_2(\zeta, t) + U_1(w)$  with  $\zeta(0) \in \mathcal{V} \subset (-c_1, c_2)$ . If there exist positive constants  $\lambda$  and  $\aleph$  satisfying the inequality

$$\dot{U} = \frac{\partial U}{\partial \eta} h \leq -\lambda U + \aleph \quad (\text{B5})$$

then  $\zeta$  remains in the open set  $\zeta \in (-c_1, c_2), \forall t \in [0, \infty]$ .

From (12), we can conclude that  $\mathbb{V}_a$  is continuous and differentiable in two intervals,  $z_e(l, t) \in (-a_1, 0)$  and  $z_e(l, t) \in (0, a_2)$ . Taking the left and right limits at point  $z_e(l, t) = 0$ , we can obtain

$$\lim_{z_e(l, t) \rightarrow 0^-} \mathbb{V}_a = \lim_{z_e(l, t) \rightarrow 0^-} \frac{\beta}{2} \ln \frac{a_1^2}{a_1^2 - z_e^2(l, t)} = 0 \quad (\text{B6})$$

$$\lim_{z_e(l, t) \rightarrow 0^+} \mathbb{V}_a = \lim_{z_e(l, t) \rightarrow 0^+} \frac{\beta}{2} \ln \frac{a_2^2}{a_2^2 - z_e^2(l, t)} = 0 \quad (\text{B7})$$

$$\lim_{z_e(l, t) \rightarrow 0^-} \mathbb{V}_a = \lim_{z_e(l, t) \rightarrow 0^+} \mathbb{V}_a = \mathbb{V}_a(0) \quad (\text{B8})$$

When  $z_e(l, t) = 0$ , from  $\mathbb{V}_a$ , we can obtain

$$\lim_{z_e(l, t) \rightarrow 0^-} \frac{\partial \mathbb{V}_a}{\partial z_e(l, t)} = \lim_{z_e(l, t) \rightarrow 0^-} \frac{\frac{\beta}{2} \ln \frac{a_1^2}{a_1^2 - z_e^2(l, t)} - 0}{z_e(l, t)} = 0 \quad (\text{B9})$$

$$\lim_{z_e(l, t) \rightarrow 0^+} \frac{\partial \mathbb{V}_a}{\partial z_e(l, t)} = \lim_{z_e(l, t) \rightarrow 0^+} \frac{\frac{\beta}{2} \ln \frac{a_2^2}{a_2^2 - z_e^2(l, t)} - 0}{z_e(l, t)} = 0 \quad (\text{B10})$$

Therefore, we can conclude that  $\mathbb{V}_a$  is continuous and differentiable in the interval  $z_e(l, t) \in (-a_1, a_2)$ . Similarly, we can get the continuity and differentiability of  $\mathbb{V}_b$  in the interval  $e \in (-b_1, b_2)$ . Furthermore,  $\mathbb{V}_a$  and  $\mathbb{V}_b$  are continuous and differentiable.

It is clearly that  $\mathbb{V}_a + \mathbb{V}_b + \mathbb{V}_m + \mathbb{V}_u + \mathbb{V}_d \geq 0$ , using Young's inequality we can get

$$|\mathbb{V}_s| \leq \sigma \rho \delta_2 \int_0^l z_e^2 dx + \frac{\sigma \rho}{\delta_2} \int_0^l \dot{z}^2 dx + \frac{\sigma I_h}{\delta_1} \theta^2 + \frac{\sigma m}{\delta_3} z^2(l, t) + \sigma I_h \delta_1 e^2 + \sigma m \delta_3 z_e^2(l, t) \leq \lambda_0 [\mathbb{V}_m + \mathbb{V}_u] \quad (\text{B11})$$

where  $\delta_1, \delta_2, \delta_3 > 0$  and  $1 \geq \lambda_0 = 2 \max\{\frac{\sigma I_h \delta_1}{\sigma k_1 + \beta k_2}, \frac{\sigma}{\beta \delta_1}, \frac{\rho \delta_2}{c}, \frac{\sigma}{\beta \delta_2}, \frac{\sigma m \delta_3}{\sigma k_3 + \beta k_4}\} > 0$ . Further we have

$$0 \leq (1 - \lambda_0) [\mathbb{V}_a + \mathbb{V}_b + \mathbb{V}_m + \mathbb{V}_u + \mathbb{V}_d] \leq \mathbb{V} \leq (1 + \lambda_0) [\mathbb{V}_a + \mathbb{V}_b + \mathbb{V}_m + \mathbb{V}_u + \mathbb{V}_d] \quad (\text{B12})$$

Differentiating  $\mathbb{V}$ , we have

$$\dot{\mathbb{V}} = \dot{\mathbb{V}}_s + \dot{\mathbb{V}}_a + \dot{\mathbb{V}}_b + \dot{\mathbb{V}}_m + \dot{\mathbb{V}}_u + \dot{\mathbb{V}}_d \tag{B13}$$

where

$$\begin{aligned} \dot{\mathbb{V}}_s \leq & \sigma\rho \int_0^l \dot{z} dx - \sigma c \int_0^l z_e \dot{z} dx - \sigma EI \int_0^l (y'')^2 dx - \sigma(k_2 - \frac{1}{2})e^2 - \sigma T \int_0^l (y')^2 dx \\ & - \sigma(k_4 - \frac{1}{2})z_e^2(l, t) - \sigma[1 - J(z_e(l, t))] \ln \frac{a_1^2}{a_1^2 - z_e^2(l, t)} - \sigma J(z_e(l, t)) \ln \frac{a_2^2}{a_2^2 - z_e^2(l, t)} \\ & - \sigma k_1 e \dot{\theta} - \sigma[1 - J(e)] \ln \frac{b_1^2}{b_1^2 - e^2} + \sigma m \dot{z}^2(l, t) - \sigma J(e) \ln \frac{b_2^2}{b_2^2 - e^2} + \frac{\sigma}{2} \tilde{d}_2^2 + \frac{\sigma}{2} \tilde{d}_1^2 \\ & - \sigma k_3 z_e(l, t) \dot{z}(l, t) + \sigma I_h \dot{\theta}^2 \end{aligned} \tag{B14}$$

$$\dot{\mathbb{V}}_a = \beta z_e(l, t) \dot{z}(l, t) \left[ \frac{J(z_e(l, t))}{a_2^2 - z_e^2(l, t)} + \frac{1 - J(z_e(l, t))}{a_1^2 - z_e^2(l, t)} \right] \tag{B15}$$

$$\dot{\mathbb{V}}_b = \beta e \dot{\theta} \left[ \frac{J(e)}{b_2^2 - e^2} + \frac{1 - J(e)}{b_1^2 - e^2} \right] \tag{B16}$$

$$\dot{\mathbb{V}}_m = \sigma c \int_0^l z_e \dot{z} dx - \beta c \int_0^l \dot{z}^2 dx - \beta \dot{\theta} [EI y''(0, t) + T y(l, t)] - \beta \dot{z}(l, t) [EI y'''(l, t) - T y'(l, t)] \tag{B17}$$

$$\begin{aligned} \dot{\mathbb{V}}_u = & (\sigma k_1 + \beta k_2) e \dot{\theta} + \beta \dot{\theta} [T y(l, t) + EI y''(0, t) + \tau + d_1] + (\sigma k_3 + \beta k_4) m z_e(l, t) \dot{z}(l, t) \\ & + \beta \dot{z}(l, t) [EI y'''(l, t) - T y'(l, t) + u + d_2] \end{aligned} \tag{B18}$$

Substituting (12), (B14)-(B18) into (B13), combining (5), (B12) and applying Lemmas 1-2, result in

$$\begin{aligned} \dot{\mathbb{V}} \leq & -\iota_1 \dot{\theta}^2 - \iota_2 e^2 - \iota_3 \dot{z}^2(l, t) - \iota_4 z_e^2(l, t) - \iota_5 \int_0^l (y'')^2 dx - \iota_6 \int_0^l \dot{z}^2 dx - \tau_4 \int_0^l z_e^2 dx - \sigma T \int_0^l (y')^2 dx \\ & + (\tau_2 + \frac{1}{\tau_3}) \tilde{D}^T \tilde{D} - \tilde{D}^T \begin{bmatrix} \iota_7, 0 \\ 0, \iota_8 \end{bmatrix} \tilde{D} - \frac{1}{2} [\tilde{D} - \tilde{D}]^T [\tilde{D} - \tilde{D}] - \sigma J(z_e(l, t)) \ln \frac{a_2^2}{a_2^2 - z_e^2(l, t)} - \sigma J(e) \ln \frac{b_2^2}{b_2^2 - e^2} \\ & - \iota_9 \tilde{D}^T \tilde{D} - \sigma[1 - J(z_e(l, t))] \ln \frac{a_1^2}{a_1^2 - z_e^2(l, t)} - \sigma[1 - J(e)] \ln \frac{b_1^2}{b_1^2 - e^2} \\ \leq & -\lambda_1 [\mathbb{V}_m + \mathbb{V}_u + \mathbb{V}_b + \mathbb{V}_d] + \epsilon \end{aligned} \tag{B19}$$

where  $\tau_4 > 0$ ,  $\epsilon = 2(\tau_2 + \frac{1}{\tau_3})\mathcal{D}^2 \geq 0$ ,  $\iota_1 = \beta k_1 - \frac{\beta}{2} - \sigma I_h > 0$ ,  $\iota_2 = \sigma k_2 - \frac{\sigma}{2} - 8l^3 > 0$ ,  $\iota_3 = \beta k_3 - \frac{\beta}{2} - \sigma m > 0$ ,  $\iota_4 = \sigma k_4 - \frac{\sigma}{2} > 0$ ,  $\iota_5 = \sigma EI - 16l^4 \tau_4 > 0$ ,  $\iota_6 = \beta c - \sigma \rho > 0$ ,  $\iota_7 = \gamma_1 - \frac{1+\beta+\sigma}{2} - \tau_1 - \tau_3 > 0$ ,  $\iota_8 = \gamma_2 - \frac{1+\beta+\sigma}{2} - \tau_1 - \tau_3 > 0$  and  $\iota_9 = \frac{1}{2} - \frac{1}{\tau_1} - \frac{1}{\tau_2}$ . Combining (B11) and (B19), the parameter values of  $\gamma_1 \sim \gamma_2$ ,  $\tau_1 \sim \tau_4$ ,  $\delta_1 \sim \delta_3$ ,  $k_1 \sim k_4$ ,  $\beta$  and  $\sigma$  are properly chosen to satisfy the following conditions

$$\iota_j > 0, j = 1 \sim 8 \tag{B20}$$

$$\iota_9 \geq 0 \tag{B21}$$

$$1 \geq \lambda_0 = 2 \max \left\{ \frac{\sigma I_h \delta_1}{\sigma k_1 + \beta k_2}, \frac{\sigma}{\beta \delta_1}, \frac{\rho \delta_2}{c}, \frac{\sigma}{\beta \delta_2}, \frac{\sigma m \delta_3}{\sigma k_3 + \beta k_4} \right\} > 0 \tag{B22}$$

$$\lambda_1 = 2 \min \left\{ \frac{\iota_1}{\beta I_h}, \frac{\iota_2}{\sigma k_1 + \beta k_2}, \frac{\iota_3}{\beta m}, \frac{\iota_4}{\sigma k_3 + \beta k_4}, \frac{\iota_5}{\beta EI}, \frac{\iota_6}{\beta \rho}, \frac{\iota_7}{\beta}, \frac{\iota_8}{\beta}, \frac{\tau_4}{\sigma c} \right\} > 0 \tag{B23}$$

Then we can obtain

$$\dot{\mathbb{V}} \leq -\lambda_2 \mathbb{V} + \epsilon \tag{B24}$$

where  $\lambda_2 = \frac{\lambda_1}{1 + \lambda_0}$ .

Multiplying (B24) by  $e^{\lambda_2 t}$  and integrating the resulting equation, results in

$$\mathbb{V} \leq [\mathbb{V}(0) - \frac{\epsilon}{\lambda_2}] e^{-\lambda_2 t} + \frac{\epsilon}{\lambda_2} \leq \mathbb{V}(0) e^{-\lambda_2 t} + \frac{\epsilon}{\lambda_2} \tag{B25}$$

Using Lemma 1, combining (12), (B12) and the boundary conditions (2), we can obtain

$$\frac{\beta EI}{2l^3} y^2 \leq \frac{\beta EI}{2} [y''] \leq \frac{\mathbb{V}}{1 - \lambda_0} \tag{B26}$$

$$\frac{\sigma k_1 + \beta k_2}{2} e^2 \leq \mathbb{V}_u \leq \frac{\mathbb{V}}{1 - \lambda_0} \tag{B27}$$

$$\frac{\sigma k_3 + \beta k_4}{2} z_e^2(l, t) \leq \mathbb{V}_u \leq \frac{\mathbb{V}}{1 - \lambda_0} \tag{B28}$$

Form (B26)-(B28), we have

$$|y| \leq \sqrt{\frac{2l^3}{2\beta EI(1 - \lambda_0)} [\mathbb{V}(0) e^{-\lambda_2 t} + \frac{\epsilon}{\lambda_2}]} \tag{B29}$$

$$|e| \leq \sqrt{\frac{2}{(\sigma k_1 + \beta k_2)(1 - \lambda_0)} [\mathbb{V}(0) e^{-\lambda_2 t} + \frac{\epsilon}{\lambda_2}]} \tag{B30}$$

$$|z_e(l, t)| \leq \sqrt{\frac{2}{(\sigma k_3 + \beta k_4)(1 - \lambda_0)}} [\mathbb{V}(0)e^{-\lambda_2 t} + \frac{\epsilon}{\lambda_2}] \quad (\text{B31})$$

When  $t \rightarrow \infty$ , we can obtain

$$\lim_{t \rightarrow \infty} |y| \leq \sqrt{\frac{2l^3 \epsilon}{2\beta EI \lambda_2 (1 - \lambda_0)}} \quad (\text{B32})$$

$$\lim_{t \rightarrow \infty} |e| \leq \sqrt{\frac{2\epsilon}{\lambda_2 (\sigma k_1 + \beta k_2) (1 - \lambda_0)}} \quad (\text{B33})$$

$$\lim_{t \rightarrow \infty} |z_e(l, t)| \leq \sqrt{\frac{2\epsilon}{\lambda_2 (\sigma k_3 + \beta k_4) (1 - \lambda_0)}} \quad (\text{B34})$$

Therefore, for the flexible robotic manipulator system under the developed boundary control, the uniform ultimate boundedness is verified. It is noted that  $\mathbb{V}_a$  and  $\mathbb{V}_b$  are bounded indicating by (B12). Hence  $\mathbb{V}_a \rightarrow \infty$  as  $z_e(l, t) \rightarrow -a_1$  or  $z_e(l, t) \rightarrow a_2$ , and  $\mathbb{V}_b \rightarrow \infty$  as  $e \rightarrow -b_1$  or  $e \rightarrow b_2$ . Given  $z_e(l, 0) \in (-a_1, a_2)$ ,  $e(0) \in (-b_1, b_2)$ , we can conclude that  $z_e(l, t) \in (-a_1, a_2)$  and  $e \in (-b_1, b_2)$  by applying Lemma 3.

## Appendix C Simulation settings

In this paper, the space step size and time step size are given as  $\Delta x = 0.02$  and  $\Delta t = 0.0001$  respectively. The system parameters are listed as  $EI = 1\text{Nm}^2$ ,  $T = 10\text{N}$ ,  $I_h = 0.1\text{kgm}^2$ ,  $\rho = 1\text{kg/m}$ ,  $m = 5\text{kg}$ ,  $l = 1\text{m}$ ,  $c = 0.01\text{Ns/m}$  and  $\theta_d = 0.2\text{rad}$ . Besides, boundary disturbance  $d_1$  is described as  $d_1 = 1 + \sin(t) + \sin(0.5t)$  and  $d_2$  is given as  $d_2 = 1 + \cos(t) + \cos(0.5t)$ . Define the manipulator system initial conditions as  $y(x, 0) = \dot{y}(x, 0) = \theta(0) = \dot{\theta}(0) = 0$ . The end-point displacement constraints are given as  $a_1 = 0.25$  and  $a_2 = 0.05$ , and the angle constraints are  $b_1 = 0.25$  and  $b_2 = 0.05$ .

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