

• Supplementary File •

Active disturbance rejection control for fractional reaction-diffusion equations with spatially varying diffusivity and time delay

Rui-Yang CAI¹, Hua-Cheng ZHOU^{2*} & Chun-Hai KOU³

¹College of Information Science and Technology, Donghua University, Shanghai 201620, PR China;

²School of Mathematics and Statistics, Central South University, Changsha, 410075, PR China;

³Department of Applied Mathematics, Donghua University, Shanghai 201620, PR China

Appendix A Proof of Lemma 1

We first prove that ${}_0^C D_t^\alpha \sin \beta t$ is bounded on $t \in [0, \infty)$. Indeed, from the definition of fractional derivative, we have

$$\begin{aligned} {}_0^C D_t^\alpha \sin \beta t &= \frac{1}{\Gamma(1-\alpha)} \frac{d}{dt} \int_0^t (t-s)^{-\alpha} \sin \beta s ds \\ &= \frac{1}{\Gamma(1-\alpha)} \frac{d}{dt} \int_{-\infty}^t (t-s)^{-\alpha} \sin \beta s ds - \frac{1}{\Gamma(1-\alpha)} \frac{d}{dt} \int_{-\infty}^0 (t-s)^{-\alpha} \sin \beta s ds \\ &= \beta^\alpha \sin \left(\beta t + \frac{\alpha\pi}{2} \right) + \frac{\alpha}{\Gamma(1-\alpha)} \int_{-\infty}^0 (t-s)^{-\alpha-1} \sin \beta s ds, \end{aligned}$$

where the first item is according to [1, Formula 115]. Let $h(t) = \int_{-\infty}^0 (t-s)^{-\alpha-1} \sin \beta s ds$. Its continuity leads to its boundedness on $[0, 1]$. In addition, for $t \geq 1$, $|h(t)| \leq \int_{-\infty}^0 (t-s)^{-\alpha-1} ds \leq \frac{1}{\alpha}$, which yields that $h(t)$ is bounded for $t \geq 0$. Hence, ${}_0^C D_t^\alpha \sin \beta t$ is bounded on $t \in [0, \infty)$.

Next, we show that ${}_0^C D_t^\alpha \cos \beta t$ is bounded on $t \in [0, \infty)$. By the definition of fractional derivative again, we get

$$\begin{aligned} {}_0^C D_t^\alpha \cos \beta t &= \frac{-\beta}{\Gamma(1-\alpha)} \int_0^t (t-s)^{-\alpha} \sin \beta s ds = \frac{-\beta}{\Gamma(1-\alpha)} \int_0^t \frac{\sin \beta(t-s)}{s^\alpha} ds \\ &= \frac{\beta}{\Gamma(1-\alpha)} \left[\cos \beta t \int_0^t \frac{\sin \beta s}{s^\alpha} ds - \sin \beta t \int_0^t \frac{\cos \beta s}{s^\alpha} ds \right] \\ &= \frac{\beta^\alpha}{\Gamma(1-\alpha)} \left[\cos \beta t \int_0^t \frac{\sin s}{s^\alpha} ds - \sin \beta t \int_0^t \frac{\cos s}{s^\alpha} ds \right]. \end{aligned}$$

Denote $\zeta(t) = \cos \beta t \int_0^t \frac{\sin s}{s^\alpha} ds - \sin \beta t \int_0^t \frac{\cos s}{s^\alpha} ds$. Clearly, $\zeta(t)$ is continuous on $[0, \infty)$. It follows from [2, Page 284, A.4.11] that for $\alpha \in (0, 1)$,

$$\int_0^\infty \frac{\cos s}{s^\alpha} ds = \Gamma(1-\alpha) \sin \frac{\alpha\pi}{2} \quad \text{and} \quad \int_0^\infty \frac{\sin s}{s^\alpha} ds = \Gamma(1-\alpha) \cos \frac{\alpha\pi}{2}$$

hold, which imply that $\int_0^t \frac{\sin s}{s^\alpha} ds$ and $\int_0^t \frac{\cos s}{s^\alpha} ds$ are bounded on $[0, \infty)$. By the boundedness of $\sin \beta t$, $\cos \beta t$, we know that $\zeta(t)$ is bounded, and thus, ${}_0^C D_t^\alpha \cos \beta t$ is bounded.

Appendix B Proof of Lemma 2

Let $\tilde{\Psi}(\xi, t) = \hat{\Psi}(\xi, t) + \xi^2 d(t)$. Then $\tilde{\Psi}(x, t)$ satisfies

$$\begin{cases} {}_0^C D_t^\alpha \tilde{\Psi}(\xi, t) = a(\xi) \tilde{\Psi}_{\xi\xi}(\xi, t) + c(\xi) \tilde{\Psi}(\xi, t - \tau) - \kappa \tilde{\Psi}(\xi, t) + f(\xi, t), & t \geq 0, \xi \in (0, 1), \\ \tilde{\Psi}(0, t) = 0, \quad \tilde{\Psi}(1, t) = 0, & t \geq 0, \\ \tilde{\Psi}(\xi, \theta) = \tilde{\Psi}_0(\xi, \theta) = \Psi_0(\xi, \theta) - \Phi_0(\xi, \theta) + \xi^2 d(0), & \xi \in [0, 1], \theta \in [-\tau, 0], \end{cases} \quad (\text{B1})$$

where $f(\xi, t) = -2a(\xi)d(t) + \xi^2 (\kappa d(t) - c(\xi)d(t - \tau) + {}_0^C D_t^\alpha d(t))$ and $d(\theta) \triangleq d(0)$ for $\theta \in [-\tau, 0]$.

* Corresponding author (email: hczhou@amss.ac.cn)

Define operator $\mathcal{A} : L^2(0, 1) \rightarrow L^2(0, 1)$, $\mathcal{A}\varphi(\xi) = a(\xi)\varphi''(\xi) - \kappa\varphi(\xi)$. $D(\mathcal{A}) = \{\varphi \in H^2(0, 1) | \varphi(0) = \varphi(1) = 0\}$, and operator $\mathcal{A}_1 : L^2(0, 1) \rightarrow L^2(0, 1)$, $\mathcal{A}_1\varphi(\xi) = c(\xi)\varphi(\xi)$. We claim that \mathcal{A} is the generator of a C_0 -semigroup in $L^2(0, 1)$. Indeed, for any $\varphi \in D(\mathcal{A})$,

$$\begin{aligned} \langle \mathcal{A}\varphi, \varphi \rangle_{L^2(0,1)} &= \langle a(\xi)\varphi''(\xi) - \kappa\varphi(\xi), \varphi(\xi) \rangle_{L^2(0,1)} = \int_0^1 a(\xi)\varphi''(\xi)\varphi(\xi) - \kappa\varphi^2(\xi) d\xi \\ &= - \int_0^1 a(\xi) (\varphi'(\xi))^2 - \kappa\varphi^2(\xi) d\xi - \int_0^1 a'(\xi)\varphi'(\xi)\varphi(\xi) d\xi. \end{aligned}$$

From $\int_0^1 a'(\xi)\varphi'(\xi)\varphi(\xi) d\xi = - \int_0^1 a''(\xi)\varphi^2(\xi) d\xi - \int_0^1 a'(\xi)\varphi'(\xi)\varphi(\xi) d\xi$, we see

$$\int_0^1 a'(\xi)\varphi'(\xi)\varphi(\xi) d\xi = -\frac{1}{2} \int_0^1 a''(\xi)\varphi^2(\xi) d\xi, \tag{B2}$$

and thus $\langle \mathcal{A}\varphi, \varphi \rangle_{L^2(0,1)} \leq - \int_0^1 a(\xi) (\varphi'(\xi))^2 - \left(\kappa - \frac{K}{2}\right) \varphi^2(\xi) d\xi \leq 0$. Hence, \mathcal{A} is a dissipative operator on $L^2(0, 1)$. Since $\forall \lambda > 0$ and $Y(\xi) \in L^2(0, 1)$,

$$\begin{cases} -a(\xi)\varphi''(\xi) + (\lambda + \kappa)\varphi(\xi) = Y, \\ \varphi(0) = \varphi(1) = 0 \end{cases}$$

exists a unique solution in $L^2(0, 1)$. We know the range of $\lambda I - \mathcal{A}$ is $L^2(0, 1)$, where I denotes the identity operator. According to Lumer-Phillips Theorem, we get that \mathcal{A} generates a C_0 -semigroup on $L^2(0, 1)$. It's clear that \mathcal{A}_1 is bounded. Then, by the semigroup method, we have that (B1) has a unique solution on $[0, \tau]$. By repeating this procedure step-by-step on $[\tau, 2\tau]$, $[2\tau, 3\tau]$, \dots , we obtain the solution of (B1) for $t \geq 0$ and so does for (3).

Choose Lyapunov function $L(t) = \frac{1}{2} \int_0^1 \tilde{\Psi}^2(\xi, t) d\xi$, by inequality ${}_0^C D_t^\alpha \rho^2(t) \leq 2\rho(t) {}_0^C D_t^\alpha \rho(t)$, we have

$$\begin{aligned} {}_0^C D_t^\alpha L(t) &\leq \int_0^1 \tilde{\Psi}(\xi, t) {}_0^C D_t^\alpha \tilde{\Psi}(\xi, t) d\xi = \int_0^1 \tilde{\Psi}(\xi, t) (a(\xi)\tilde{\Psi}_{\xi\xi}(\xi, t) + c(\xi)\tilde{\Psi}(\xi, t - \tau) - \kappa\tilde{\Psi}(\xi, t) + f(\xi, t)) d\xi \\ &= - \int_0^1 a(\xi)\tilde{\Psi}_{\xi\xi}^2(\xi, t) d\xi - \kappa \int_0^1 \tilde{\Psi}^2(\xi, t) d\xi + \int_0^1 \tilde{\Psi}(\xi, t) f(\xi, t) d\xi \\ &\quad - \int_0^1 a'(\xi)\tilde{\Psi}(\xi, t)\tilde{\Psi}_{\xi}(\xi, t) d\xi + \int_0^1 c(\xi)\tilde{\Psi}(\xi, t)\tilde{\Psi}(\xi, t - \tau) d\xi. \end{aligned}$$

Similar to (B2), we have $\int_0^1 a'(\xi)\tilde{\Psi}(\xi, t)\tilde{\Psi}_{\xi}(\xi, t) d\xi = -\frac{1}{2} \int_0^1 a''(\xi)\tilde{\Psi}^2(\xi, t) d\xi$. According to Young's Inequality, we know $\int_0^1 \tilde{\Psi}(\xi, t) f(\xi, t) d\xi \leq \varepsilon \int_0^1 \tilde{\Psi}^2(\xi, t) d\xi + \frac{1}{4\varepsilon} \int_0^1 f^2(\xi, t) d\xi$ and

$$\int_0^1 c(\xi)\tilde{\Psi}(\xi, t)\tilde{\Psi}(\xi, t - \tau) d\xi \leq \varepsilon \int_0^1 \tilde{\Psi}^2(\xi, t) d\xi + \frac{\widetilde{M}^2}{4\varepsilon} \int_0^1 \tilde{\Psi}^2(\xi, t - \tau) d\xi,$$

where ε is chosen to satisfy $0 < 4\varepsilon < 2\kappa - K$ and $1 - \frac{\widetilde{M}^2}{2\varepsilon} (2\kappa - K - 4\varepsilon)^{-1} > 0$. Here, ε can be chosen provided by the restriction $\kappa > \frac{K}{2} + \sqrt{2}\widetilde{M}$. Therefore, we get

$${}_0^C D_t^\alpha L(t) \leq -(2\kappa - K - 4\varepsilon) L(t) + \frac{\widetilde{M}^2}{2\varepsilon} L(t - \tau) + \frac{1}{4\varepsilon} \int_0^1 f^2(\xi, t) d\xi. \tag{B3}$$

This yields

$$\begin{aligned} L(t) &\leq E_\alpha(-(2\kappa - K - 4\varepsilon)t^\alpha) L(0) + \frac{\widetilde{M}^2 K_t}{2\varepsilon} \int_0^t (t - \varsigma)^{\alpha-1} E_{\alpha, \alpha}(-(2\kappa - K - 4\varepsilon)(t - \varsigma)^\alpha) d\varsigma \\ &\quad + \frac{C_1}{16\varepsilon^2} \int_0^t (t - \varsigma)^{\alpha-1} E_{\alpha, \alpha}(-(2\kappa - K - 4\varepsilon)(t - \varsigma)^\alpha) d\varsigma, \end{aligned} \tag{B4}$$

where $K_t = \sup_{s \in [-\tau, t]} L(s)$ and $E_{\alpha, \beta}(z) = \sum_{k=0}^{\infty} \frac{z^k}{\Gamma(k\alpha + \beta)}$ is the two parameter Mittag-Leffer function. From $\frac{d}{dt} [t^\alpha E_{\alpha, \alpha+1}(-\lambda t^\alpha)] = t^{\alpha-1} E_{\alpha, \alpha}(-\lambda t^\alpha)$, one see that

$$\int_0^t (t - \varsigma)^{\alpha-1} E_{\alpha, \alpha}(-(2\kappa - K - 4\varepsilon)(t - \varsigma)^\alpha) d\varsigma = t^\alpha E_{\alpha, \alpha+1}(-(2\kappa - K - 4\varepsilon)t^\alpha).$$

It is well-known that $E_{\alpha, \alpha+1}(-(2\kappa - K - 4\varepsilon)t^\alpha) = (2\kappa - K - 4\varepsilon)^{-1} t^{-\alpha} + \mathcal{O}(t^{-2\alpha})$, which gives $\lim_{t \rightarrow +\infty} t^\alpha E_{\alpha, \alpha+1}(-(2\kappa - K - 4\varepsilon)t^\alpha) = (2\kappa - K - 4\varepsilon)^{-1}$. Hence,

$$\begin{aligned} &\int_0^t (t - \varsigma)^{\alpha-1} E_{\alpha, \alpha}(-(2\kappa - K - 4\varepsilon)(t - \varsigma)^\alpha) d\varsigma \\ &\leq \int_0^\infty s^{\alpha-1} E_{\alpha, \alpha}(-(2\kappa - K - 4\varepsilon)(t - s)^\alpha) ds = (2\kappa - K - 4\varepsilon)^{-1}, \end{aligned}$$

which implies

$$L(t) \leq E_\alpha\left((2\kappa - K - 4\varepsilon)^{-1} t^\alpha\right) L(0) + \frac{\widetilde{M}^2 K_t}{2\varepsilon} (2\kappa - K - 4\varepsilon)^{-1} + \frac{C_1}{16\varepsilon^2} (2\kappa - K - 4\varepsilon)^{-1}. \tag{B5}$$

Taking the supremum on $[-\tau, t]$ on both sides of (B5), we have

$$K_t \leq \sup_{s \geq 0} E_\alpha \left((2\kappa - K - 4\varepsilon)^{-1} s^\alpha \right) L(0) + K_0 + \frac{\widetilde{M}^2 K_t}{2\varepsilon} (2\kappa - K - 4\varepsilon)^{-1} + \frac{C_1}{16\varepsilon^2} (2\kappa - K - 4\varepsilon)^{-1}.$$

This, together with $E_\alpha(- (2\kappa - K - 4\varepsilon) s^\alpha) \leq 1$ for all $s \geq 0$, yields

$$K_t \leq \left(L(0) + K_0 + \frac{C_1}{16\varepsilon^2} (2\kappa - K - 4\varepsilon)^{-1} \right) \left(1 - \frac{\widetilde{M}^2}{2\varepsilon} (2\kappa - K - 4\varepsilon)^{-1} \right)^{-1}.$$

Taking the supremum on both sides of (B4) on $t \geq 0$, we conclude $\sup_{t \geq 0} L(t) < +\infty$. This, jointly with the boundedness of

$$\xi^2 d(t), \text{ leads to } \sup_{t \geq 0} \left\| \widehat{\Psi}(\xi, t) \right\|_{L^2(0,1)} < +\infty.$$

When $d(t) = 0$, we see $f(\xi, t) = 0$, and thus, by (B3) and the fractional Halanay inequality [3, Corollary 1], we know $\lim_{t \rightarrow \infty} L(t) = 0$, that is, $\lim_{t \rightarrow \infty} \left\| \widehat{\Psi}(\xi, t) \right\| = 0$. Since $\widetilde{\Psi}(\xi, t) = \xi^2 d(t) + \widehat{\Psi}(\xi, t)$, we conclude $\lim_{t \rightarrow \infty} \left\| \widetilde{\Psi}(\xi, t) \right\| = 0$. Moreover, when $\tau = 0$ and $d(t) = 0$, we get ${}_0^C D_t^\alpha L(t) \leq - (2\kappa - K - 2\widetilde{M}) L(t)$, which implies the Mittag-Leffler stability of $\widehat{\Psi}(\xi, t)$.

Appendix C Proof of Lemma 3

Define $\widetilde{L}(t) = \frac{1}{2} \int_0^1 \Theta^2(\xi, t) d\xi$. Similar to Lemma 1, we can derive the uniqueness and existence for the solutions of (5) We can calculate

$$\begin{aligned} {}_0^C D_t^\alpha \widetilde{L}(t) &\leq - \int_0^1 a(\xi) \Theta_\xi^2(\xi, t) d\xi + \int_0^1 c(\xi) \Theta(\xi, t - \tau) \Theta(\xi, t) d\xi \\ &\quad - \kappa \int_0^1 \Theta^2(\xi, t) d\xi - \frac{1}{2} a'(1) \Theta^2(1, t) + \frac{1}{2} \int_0^1 a''(\xi) \Theta^2(\xi, t) d\xi \\ &\leq - \left(\kappa - \frac{K}{2} - 2\varepsilon \right) \int_0^1 \Theta^2(\xi, t) d\xi + \frac{\widetilde{M}^2}{8\varepsilon} \int_0^1 \Theta^2(\xi, t - \tau) d\xi \\ &= - (2\kappa - K - 4\varepsilon) \widetilde{L}(t) + \frac{\widetilde{M}^2}{4\varepsilon} \widetilde{L}(t - \tau), \end{aligned}$$

provided that $a'(1) \geq 0$. Since $2\kappa - K - 4\varepsilon > \widetilde{M}^2/(2\varepsilon)$, we see $2\kappa - K - 4\varepsilon > \widetilde{M}^2/(4\varepsilon)$ and thus, by the fractional Halanay inequality [3, Corollary 1], we get $\lim_{t \rightarrow \infty} \widetilde{L}(t) = 0$, which leads to $\lim_{t \rightarrow \infty} \|\Theta(\xi, t)\|_{L^2(0,1)} = 0$. Moreover, when $\tau = 0$, we obtain ${}_0^C D_t^\alpha \widetilde{L}(t) \leq - (2\kappa - K - 4\varepsilon - \widetilde{M}^2/(4\varepsilon)) \widetilde{L}(t)$. Hence, $\widetilde{L}(t) \leq E_\alpha(- (2\kappa - K - 4\varepsilon - \widetilde{M}^2/(4\varepsilon))) \widetilde{L}(0)$, which gives the desired result and ends the proof.

Appendix D Proof of Lemma 4

Clearly, system (5) can be written as ${}_0^C D_t^\alpha \Theta(\xi, t) = \mathcal{A}_0 \Theta(\xi, t) - \kappa \Theta(\xi, t) + c \Theta(\xi, t)$ with $\Theta(\xi, \theta) = \Theta_0(\xi, \theta)$. By the assumption, it is easy to see that ${}_0^C D_t^\alpha \mathcal{A}_0 \Theta(\xi, t) = \mathcal{A}_0 \mathcal{A}_0 \Theta(\xi, t) - \kappa \mathcal{A}_0 \Theta(\xi, t) + c \mathcal{A}_0 \Theta(\xi, t)$ with $\mathcal{A}_0 \Theta(\xi, \theta) = \mathcal{A}_0 \Theta_0(\xi, \theta) \in L^2(0, 1)$. By Lemma 3, system (5) with initial value $\Theta_0(\xi, \theta)$ has a solution $\Theta(\xi, t)$ and system (5) with initial value $\mathcal{A}_0 \Theta_0(\xi, \theta)$ has a solution $\mathcal{A}_0 \Theta(\xi, t)$. This leads to $\|\Theta_{\xi\xi}(\xi, t)\|_{L^2(0,1)} \leq \|\mathcal{A}_0 \Theta(\xi, t)\|_{L^2(0,1)} \rightarrow 0$. By Sobolev Interpolation Inequality, there is a $C_1 > 0$, such that

$$\|\Theta_\xi(\xi, t)\|_{L^2(0,1)} \leq C_1 \left(\|\Theta(\xi, t)\|_{L^2(0,1)} + \|\Theta_{\xi\xi}(\xi, t)\|_{L^2(0,1)} \right) \rightarrow 0.$$

It follows from the trace theorem that there exists $C_2 > 0$, such that

$$|\Theta(1, t)| \leq C_2 \left(\|\Theta(\xi, t)\|_{L^2(0,1)} + \|\Theta_\xi(\xi, t)\|_{L^2(0,1)} \right) \rightarrow 0.$$

When $\tau = 0$, similarly, we see that for some $M_1, \mu_1 > 0$,

$$\|\Theta_{\xi\xi}(\xi, t)\|_{L^2(0,1)} \leq \|\mathcal{A}_0 \Theta(\xi, t)\|_{L^2(0,1)} \leq M_1 E_\alpha(-\mu_1 t^\alpha) \|\mathcal{A}_0 \Theta_0(\xi, 0)\|_{L^2(0,1)},$$

and thus $\|\Theta_\xi(\xi, t)\|_{L^2(0,1)} \leq (C_1 + 1) M_1 E_\alpha(-\mu_1 t^\alpha) \|\mathcal{A}_0 \Theta_0(\xi, 0)\|_{L^2(0,1)}$. Hence,

$$|\Theta(1, t)| \leq (C_1 + 1) (C_2 + 1) M_1 E_\alpha(-\mu_1 t^\alpha) \|\mathcal{A}_0 \Theta_0(\xi, 0)\|_{L^2(0,1)} \leq M E_\alpha(-\mu t^\alpha),$$

where $\mu = \mu_1$ and $M = (C_1 + 1) (C_2 + 1) M_1 \|\mathcal{A}_0 \Theta_0(\xi, 0)\|_{L^2(0,1)}$.

Appendix E Proof of Lemma 5

Proof. Let $\widetilde{\Phi}(\xi, t) = \widehat{\Phi}(\xi, t) - \Theta(\xi, t)$. From (5) and (11) we know $\widetilde{\Phi}(\xi, t)$ satisfies

$$\begin{cases} {}_0^C D_t^\alpha \widetilde{\Phi}(\xi, t) = a(\xi) \widetilde{\Phi}_{\xi\xi}(\xi, t) + c(\xi) \widetilde{\Phi}(\xi, t - \tau) - \kappa \widetilde{\Phi}(\xi, t), \\ \widetilde{\Phi}(0, t) = \widetilde{\Phi}(1, t) = 0, \\ \widetilde{\Phi}(\xi, \theta) = \widetilde{\Phi}_0(\xi, \theta). \end{cases} \quad (\text{E1})$$

Similar to Lemma 3, (E1) has a unique solution. Hence, the solution of (11) is unique provided that the solution of (5) is unique. According to Lemma 2 and Lemma 3, both $\Theta(\xi, t)$ and $\widehat{\Phi}(\xi, t)$ are asymptotically stable, and $\widehat{\Phi}(\xi, t)$ is thus asymptotically stable. Moreover, when $\tau = 0$, we see $\widehat{\Phi}(\xi, t)$ and $\Theta(\xi, t)$ are Mittag-Leffler stable. Therefore, $\widehat{\Phi}(\xi, t)$ is Mittag-Leffler stable. \square

Appendix F Proof of Theorem 1

Let $\widehat{\Psi}(\xi, t) = -\Phi(\xi, t) + \Psi(\xi, t)$, $\Theta(\xi, t) = -\widehat{\Psi}(\xi, t) - \Upsilon(\xi, t)$ and introduce backstepping transform (7). (12) is converted into

$$\begin{cases} {}_0^C D_t^\alpha \widehat{\Psi}(\xi, t) = a(\xi) \widehat{\Psi}_{\xi\xi}(\xi, t) + c(\xi) \widehat{\Psi}(\xi, t - \tau) - \kappa \widehat{\Psi}(\xi, t), \\ \widehat{\Psi}(0, t) = 0, \quad \widehat{\Psi}(1, t) = -d(t), \\ {}_0^C D_t^\alpha \Theta(\xi, t) = a(\xi) \Theta_{\xi\xi}(\xi, t) + c(\xi) \Theta(\xi, t - \tau) - \kappa \Theta(\xi, t), \\ \Theta(0, t) = \Theta_\xi(1, t) = 0, \\ {}_0^C D_t^\alpha \widehat{\Phi}(\xi, t) = a(\xi) \widehat{\Phi}_{\xi\xi}(\xi, t) + c(\xi) \widehat{\Phi}(\xi, t - \tau) - \kappa \widehat{\Phi}(\xi, t), \\ \widehat{\Phi}(0, t) = 0, \quad \widehat{\Phi}(1, t) = \Theta(1, t), \\ \widehat{\Psi}(\xi, \theta) = \widehat{\Psi}_0(\xi, \theta), \quad \Theta(\xi, \theta) = \Theta_0(\xi, \theta), \quad \widehat{\Phi}(\xi, \theta) = \widehat{\Phi}_0(\xi, \theta), \end{cases} \quad (\text{F1})$$

where $\widehat{\Psi}_0(\xi, \theta) = \Psi_0(\xi, \theta) - \Phi_0(\xi, \theta)$, $\Theta_0(\xi, \theta) = -\Upsilon_0(\xi, \theta) - \Psi_0(\xi, \theta) + \Phi_0(\xi, \theta)$ and $\widehat{\Phi}_0(\xi, \theta) = \Phi_0(\xi, \theta) - \int_0^\xi k(\xi, \eta) \Phi_0(\eta, \theta) d\eta$. Since $(\Phi_0(\xi, \theta), \Psi_0(\xi, \theta), \Upsilon_0(\xi, \theta))^\top \in (C(-\tau, 0; L^2(0, 1)))^3$, we see $(\widehat{\Psi}_0(\xi, \theta), \Theta_0(\xi, \theta), \widehat{\Phi}_0(\xi, \theta))^\top \in (C(-\tau, 0; L^2(0, 1)))^3$. According to Lemmas 2, 3 and 4, (F1) has a unique solution. In addition, $\|(\Theta(\xi, t), \widehat{\Phi}(\xi, t))\|_{L^2(0,1)} \rightarrow 0$, as $t \rightarrow \infty$ and $\sup_{t \geq 0} \|\widehat{\Psi}(\xi, t)\|_{L^2(0,1)} < +\infty$. Since

$$\begin{bmatrix} \Phi(\xi, t) \\ \Psi(\xi, t) \\ \Upsilon(\xi, t) \end{bmatrix} = \begin{bmatrix} 0 & 0 & (I+Q)^{-1} \\ I & 0 & (I+Q)^{-1} \\ -I & -I & 0 \end{bmatrix} \begin{bmatrix} \widehat{\Psi}(\xi, t) \\ \Theta(\xi, t) \\ \widehat{\Phi}(\xi, t) \end{bmatrix},$$

we can easily conclude $\lim_{t \rightarrow \infty} \|\Phi(\xi, t)\|_{L^2(0,1)} = 0$ and $\sup_{t \geq 0} \|(\Psi(\xi, t), \Upsilon(\xi, t))\|_{L^2(0,1)} < +\infty$.

When $\tau = 0$, we get $\|\Theta(\xi, t), \widehat{\Phi}(\xi, t)\|_{L^2(0,1)} \leq M_1 E_\alpha(-\mu_1 t^\alpha)$ holds for some $M_1, \mu_1 > 0$ and $\sup_{t \geq 0} \|\widehat{\Psi}(\xi, t)\|_{L^2(0,1)} < +\infty$.

Hence, $\Phi(\xi, t)$ is Mittag-Leffler stable. Moreover, when $d(t) = 0$, according to Lemma 2, we know the Mittag-Leffler stability of $\widehat{\Psi}(\xi, t)$, which leads to the result that $\Psi(\xi, t)$ and $\Upsilon(\xi, t)$ are also Mittag-Leffler stable.

Appendix G Stabilization for system with Neumann boundary condition

Now we consider the Neumann boundary system described by

$$\begin{cases} {}_0^C D_t^\alpha \Phi(\xi, t) = a(\xi) \Phi_{\xi\xi}(\xi, t) + b(\xi) \Phi(\xi, t) + c(\xi) \Phi(\xi, t - \tau), \\ \Phi(0, t) = 0, \quad \Phi_\xi(1, t) = u(t) + d(t), \\ \Phi(\xi, \theta) = \Phi_0(\xi, \theta). \end{cases} \quad (\text{G1})$$

We introduce one auxiliary system as

$$\begin{cases} {}_0^C D_t^\alpha \Psi(\xi, t) = a(\xi) \Psi_{\xi\xi}(\xi, t) + b(\xi) \Psi(\xi, t) + c(\xi) \Psi(\xi, t - \tau) + \kappa(\Phi(\xi, t) - \Psi(\xi, t)), \\ \Psi(0, t) = 0, \quad \Psi_\xi(1, t) = u(t), \\ \Psi(\xi, \theta) = \Psi_0(\xi, \theta), \end{cases} \quad (\text{G2})$$

where κ satisfies $\kappa > \frac{\kappa}{2}$. Let $\widehat{\Psi}(\xi, t) = \Psi(\xi, t) - \Phi(\xi, t)$, we see $\widehat{\Psi}(\xi, t)$ satisfies

$$\begin{cases} {}_0^C D_t^\alpha \widehat{\Psi}(\xi, t) = a(\xi) \widehat{\Psi}_{\xi\xi}(\xi, t) - \kappa \widehat{\Psi}(\xi, t), \\ \widehat{\Psi}(0, t) = 0, \quad \widehat{\Psi}_\xi(1, t) = -d(t), \\ \widehat{\Psi}(\xi, 0) = \widehat{\Psi}_0(\xi). \end{cases} \quad (\text{G3})$$

Lemma G.1. If $d(t)$ and $\widehat{\Psi}_0(\xi, 0) \in L^2(0, 1)$, the solution of (G3) is unique with $\sup_{t \geq 0} \|\widehat{\Psi}(\xi, t)\|_{L^2(0,1)} < +\infty$. Further, when

$d(t) = 0$, (G3) is Mittag-Leffler stable.

Proof. Let $\widetilde{\Psi}(\xi, t) = \widehat{\Psi}(\xi, t) + \frac{\xi^2}{2} d(t)$, then $\widetilde{\Psi}(\xi, t)$ satisfies

$$\begin{cases} {}_0^C D_t^\alpha \widetilde{\Psi}(\xi, t) = a(\xi) \widetilde{\Psi}_{\xi\xi}(\xi, t) - \kappa \widetilde{\Psi}(\xi, t) + f(\xi, t), \\ \widetilde{\Psi}(0, t) = 0, \quad \widetilde{\Psi}_\xi(1, t) = 0, \\ \widetilde{\Psi}(\xi, 0) = \widetilde{\Psi}_0(\xi) = \Psi_0(\xi, 0) - \Phi_0(\xi, 0) + \frac{\xi^2}{2} d(0). \end{cases}$$

Here $f(\xi, t) = -a(\xi) d(t) + \frac{\xi^2}{2} (\kappa d(t) + {}_0^C D_t^\alpha d(t))$. The rest of proof is analogous to Lemma 2. \square

Then we design the second auxiliary system as

$$\begin{cases} {}_0^C D_t^\alpha \Upsilon(\xi, t) = a(\xi) \Upsilon_{\xi\xi}(\xi, t) - \kappa \Upsilon(\xi, t), \\ \Upsilon(0, t) = 0, \quad \Upsilon(1, t) = \Phi(1, t) - \Psi(1, t), \\ \Upsilon(\xi, 0) = \Upsilon_0(\xi). \end{cases}$$

Define $\Theta(\xi, t) = -\Upsilon(\xi, t) - \widehat{\Psi}(\xi, t)$, which satisfies

$$\begin{cases} {}_0^C D_t^\alpha \Theta(\xi, t) = a(\xi) \Theta_{\xi\xi}(\xi, t) - \kappa \Theta(\xi, t), \\ \Theta(0, t) = 0, \quad \Theta(1, t) = 0, \\ \Theta(\xi, 0) = \Theta_0(\xi) = -\Upsilon_0(\xi) - \Psi_0(\xi, 0) + \Phi_0(\xi, 0). \end{cases} \quad (\text{G4})$$

And we draw two lemmas as follows.

Lemma G.2. Suppose $a'(1) \geq 0$. If $\Theta_0(\xi) \in L^2(0, 1)$, then the solution of (G4) is unique and is Mittag-Leffler stable.

Lemma G.3. If $\Theta_0(\xi) \in D(\mathcal{A})$, $\Theta_\xi(1, t)$ is Mittag-Leffler stable.

Proof. From the definition of \mathcal{A} , (G4) is converted to

$$\begin{cases} {}_0^C D_t^\alpha \Theta(\xi, t) = \mathcal{A}\Theta(\xi, t), \\ \Theta(\xi, 0) = \Theta_0(\xi), \end{cases}$$

whose solution is $\Theta(\xi, t) = S_\alpha(t)\Theta_0(\xi)$. Then we get $\|\Theta(\xi, t)\|_{L^2(0,1)} \leq M_1 E_\alpha(-\mu_1 t^\alpha) \|\Theta_0(\xi)\|_{L^2(0,1)}$ and $\|S_\alpha(t)\mathcal{A}\Theta_0(\xi)\|_{L^2(0,1)} \leq M_1 E_\alpha(-\mu_1 t^\alpha) \|\mathcal{A}\Theta_0(\xi)\|_{L^2(0,1)}$, for some $M_1, \mu_1 > 0$. Hence,

$$\begin{aligned} \|\Theta_{\xi\xi}(\xi, t)\|_{L^2(0,1)} &\leq \|\mathcal{A}\Theta(\xi, t)\|_{L^2(0,1)} + \kappa \|\Theta(\xi, t)\|_{L^2(0,1)} \\ &\leq M_1 E_\alpha(-\mu_1 t^\alpha) \left(\|\mathcal{A}\Theta_0(\xi)\|_{L^2(0,1)} + \kappa \|\Theta_0(\xi)\|_{L^2(0,1)} \right). \end{aligned}$$

Sobolev Interpolation Inequality implies $\|\Theta_\xi(\xi, t)\|_{L^2(0,1)} \leq C_1 \left(\|\Theta(\xi, t)\|_{L^2(0,1)} + \|\Theta_{\xi\xi}(\xi, t)\|_{L^2(0,1)} \right)$. It follows from Sobolev trace theorem that

$$\begin{aligned} |\Theta_\xi(1, t)| &\leq C_2 \left(\|\Theta_\xi(\xi, t)\|_{L^2(0,1)} + \|\Theta_{\xi\xi}(\xi, t)\|_{L^2(0,1)} \right) \\ &\leq (C_1 + 1) C_2 \left(\|\Theta(\xi, t)\|_{L^2(0,1)} + \|\Theta_{\xi\xi}(\xi, t)\|_{L^2(0,1)} \right) \leq M E_\alpha(-\mu t^\alpha), \end{aligned}$$

for $C_2 > 0$, where $\mu = \mu_1$ and $M = (C_1 + 1) C_2 M_1 \left(\|\mathcal{A}\Theta_0(\xi)\|_{L^2(0,1)} + (\kappa + 1) \|\Theta_0(\xi)\|_{L^2(0,1)} \right)$. \square

Based on Lemma G.3 estimator $\Upsilon_\xi(1, t)$ can compensate $d(t)$. Then, when $c(\xi) = c$, using the backstepping transform, (G1) is transformed into

$$\begin{cases} {}_0^C D_t^\alpha \widehat{\Phi}(\xi, t) = a(\xi) \widehat{\Phi}_{\xi\xi}(\xi, t) + c \widehat{\Phi}(\xi, t - \tau) - \kappa \widehat{\Phi}(\xi, t), \\ \widehat{\Phi}(0, t) = 0, \quad \widehat{\Phi}_\xi(1, t) = u(t) + d(t) - k(1, 1) \Phi(1, t) - \int_0^1 k_\xi(1, \eta) \Phi(\eta, t) d\eta, \end{cases} \quad (\text{G5})$$

where $\widehat{\Phi}(\xi, \theta) = \widehat{\Phi}_0(\xi, \theta)$ and the kernel function $k(\xi, \eta)$ satisfies (8).

Since $\Upsilon_\xi(1, t)$ compensates the disturbance, we design $u(t)$ as

$$u(t) = -\Upsilon_\xi(1, t) + k(1, 1) \Phi(1, t) + \int_0^1 k_\xi(1, \eta) \Phi(\eta, t) d\eta. \quad (\text{G6})$$

And (G5) is converted to

$$\begin{cases} {}_0^C D_t^\alpha \widehat{\Phi}(\xi, t) = a(\xi) \widehat{\Phi}_{\xi\xi}(\xi, t) + c \widehat{\Phi}(\xi, t - \tau) - \kappa \widehat{\Phi}(\xi, t), \\ \widehat{\Phi}(0, t) = 0, \quad \widehat{\Phi}_\xi(1, t) = \Theta_\xi(1, t), \\ \widehat{\Phi}(\xi, \theta) = \widehat{\Phi}_0(\xi, \theta). \end{cases} \quad (\text{G7})$$

Similar to Lemma 5, we immediately obtain the following lemma.

Lemma G.4. If $\widehat{\Phi}_0(\xi, \theta) \in C(-\tau, 0; L^2(0, 1))$, then (G7) has a unique solution with $\lim_{t \rightarrow \infty} \|\widehat{\Phi}(\xi, t)\|_{L^2(0,1)} = 0$. Moreover, if $\tau = 0$, then $\|\widehat{\Phi}(\xi, t)\|_{L^2(0,1)} \leq M E_\alpha(-\mu t^\alpha)$ holds for some $M, \mu > 0$.

With control (G6), we focus on the closed-loop system of (G1):

$$\begin{cases} {}_0^C D_t^\alpha \Phi(\xi, t) = a(\xi) \Phi_{\xi\xi}(\xi, t) + b(\xi) \Phi(\xi, t) + c(\xi) \Phi(\xi, t - \tau), \\ \Phi(0, t) = 0, \quad \Phi_\xi(1, t) = -\Upsilon_\xi(1, t) + k(1, 1) \Phi(1, t) + \int_0^1 k_\xi(1, \eta) \Phi(\eta, t) d\eta + d(t), \\ {}_0^C D_t^\alpha \Psi(\xi, t) = a(\xi) \Psi_{\xi\xi}(\xi, t) + b(\xi) \Phi(\xi, t) + c(\xi) \Phi(\xi, t - \tau) - \kappa (\Psi(\xi, t) - \Phi(\xi, t)), \\ \Psi(0, t) = 0, \quad \Psi_\xi(1, t) = -\Upsilon_\xi(1, t) + k(1, 1) \Phi(1, t) + \int_0^1 k_\xi(1, \eta) \Phi(\eta, t) d\eta, \\ {}_0^C D_t^\alpha \Upsilon(\xi, t) = a(\xi) \Upsilon_{\xi\xi}(\xi, t) - \kappa \Upsilon(\xi, t), \\ \Upsilon(0, t) = 0, \quad \Upsilon(1, t) = \Phi(1, t) - \Psi(1, t), \\ \Phi(\xi, \theta) = \Phi_0(\xi, \theta), \quad \Psi(\xi, 0) = \Psi_0(\xi), \quad \Upsilon(\xi, 0) = \Upsilon_0(\xi). \end{cases} \quad (\text{G8})$$

Let $\mathcal{H} = [L^2(0, 1)]^3$ and we conclude:

Theorem G.5. Assume $d(t)$ and $a'(1) \geq 0$. For any $(\Phi_0(\xi, \theta), \Psi_0(\xi), \Upsilon_0(\xi))^\top \in C(-\tau, 0; L^2(0, 1)) \times [L^2(0, 1)]^2$, the unique solution of (G8) in $C(0, \infty; \mathcal{H})$ satisfying

$$\lim_{t \rightarrow \infty} \|\Phi(\xi, t)\|_{L^2(0,1)} = 0 \quad \text{and} \quad \sup_{t \geq 0} \|\Psi(\xi, t), \Upsilon(\xi, t)\|_{L^2(0,1)} < +\infty.$$

Moreover, if $\tau = 0$, then $\|\Phi(\xi, t)\|_{L^2(0,1)} \leq M E_\alpha(-\mu t^\alpha)$ holds for $M, \mu > 0$. Further, if $d(t) = 0$, $\Psi(\xi, t)$, $\Upsilon(\xi, t)$ are also Mittag-Leffler stable.

The proof of this theorem is analogous to Theorem 1, we omit the details.

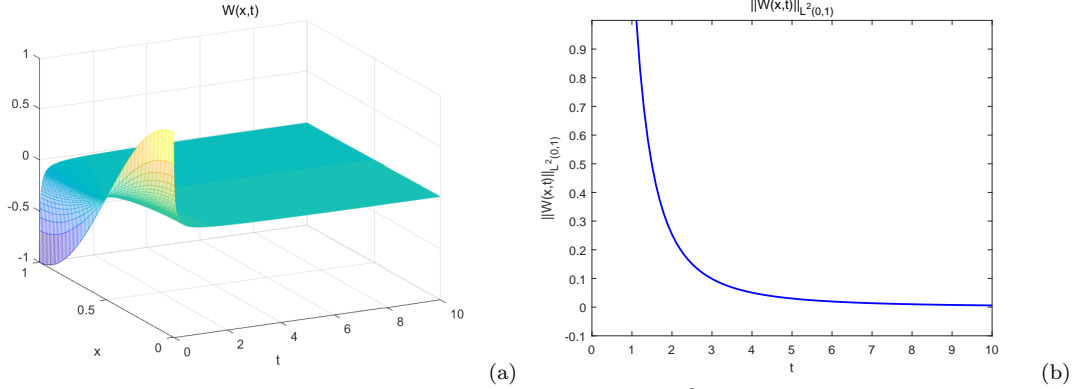


Fig.1: (a) Simulation result of $\Phi(\xi, t)$ in (G8) with controller (H2); (b) the $L^2(0, 1)$ -norm of $\Phi(\xi, t)$ with controller (H2).

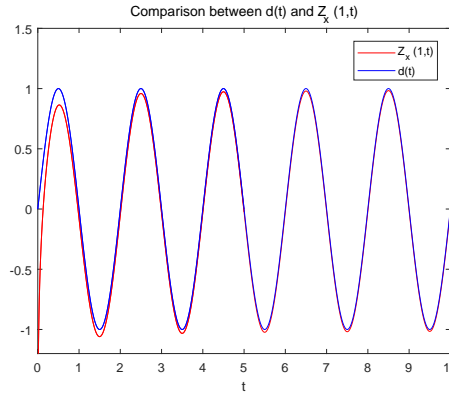


Fig.2: Comparison between $d(t)$ and $\Upsilon_\xi(1, t)$.

Appendix H An illustrative Example

In (G8), choose $\alpha = 0.9$, $\tau = 0.1$, $a(\xi) = (1 + \xi^2)^2$, $b(\xi) \equiv 2$, $c(\xi) \equiv 1$, $d(t) = \sin \pi t$ and $\kappa = 12$. The initial conditions are taken as $\Phi_0(\xi, \theta) = (\theta + 1) \cos \pi \xi$, $\Psi_0(\xi, \theta) = \xi^2 \theta^2$ and $\Upsilon_0(\xi) = \cos 2\xi$, $\theta \in [-0.1, 0]$. It's easy to check that $a'(1) = 8 \geq 0$, $\kappa > \frac{\kappa}{2} + \sqrt{2M} = 8 + \sqrt{2}$, $d(t)$ is bounded and $(\Phi_0(\xi, \theta), \Psi_0(\xi, \theta), \Upsilon_0(\xi))^T \in \tilde{H}$. According to Lemma 1, ${}_0^C D_t^\alpha d(t)$ is also bounded, and thus, all the conditions in Theorem G.5 hold. With these parameters, the kernel function $k(\xi, \eta)$ in (8) can be provided by

$$k(\xi, \eta) = -14 (1 + \xi^2)^{\frac{1}{2}} (1 + \eta^2)^{-\frac{3}{2}} \arctan \eta \frac{I_1 \left(\sqrt{12 (\arctan^2 \xi - \arctan^2 \eta)} \right)}{\sqrt{12 (\arctan^2 \xi - \arctan^2 \eta)}}. \quad (\text{H1})$$

Hence, $k(1, 1) = -\frac{7\pi}{8}$, $k_\xi(1, \eta) = -7\sqrt{2}(1 + \eta^2)^{-\frac{3}{2}} \arctan \eta \frac{I_1 \left(\sqrt{12 \left(\frac{\pi^2}{16} - \arctan^2 \eta \right)} \right)}{\sqrt{12 \left(\frac{\pi^2}{16} - \arctan^2 \eta \right)}}$ and the feedback controller can be provided by

$$u(t) = -\Upsilon_\xi(1, t) - \frac{7\pi}{8} \Phi(1, t) - 7\sqrt{2} \int_0^1 (1 + \eta^2)^{-\frac{3}{2}} \arctan \eta \frac{I_1 \left(\sqrt{12 \left(\frac{\pi^2}{16} - \arctan^2 \eta \right)} \right)}{\sqrt{12 \left(\frac{\pi^2}{16} - \arctan^2 \eta \right)}} \Phi(\eta, t) d\eta. \quad (\text{H2})$$

Fig.1(a) shows the state $\Phi(\xi, t)$ of (G8) with feedback controller (H2). It's seen in Fig.1(b) that the $L^2(0, 1)$ -norm of $\Phi(\xi, t)$, i.e., $\|\Phi(\xi, t)\|_{L^2(0,1)}$ tends to zero as $t \rightarrow +\infty$, which implies that with the effect of controller (H2), the closed-loop system of (G8) is asymptotically stable. Moreover, Fig.2 compares $d(t)$ with $\Upsilon_\xi(1, t)$, which indicates that $\Upsilon_\xi(1, t)$ can behave as an estimator of the disturbance $d(t)$. Note that the initial time of Υ is $t = 0$ and the stability analysis focuses on the system behavior when t is large enough, thus here the initial points of time in Fig.1 and Fig.2 are both 0.

References

- 1 Valério D, Trujillo J J, Rivero M, Machado J A T, Baleanu D, Fractional calculus: A survey of useful formulas, The European Physical Journal Special Topics 222 (8) (2013) 1827–1846.
- 2 Gorenflo R, Kilbas A A, Mainardi F, Rogosin S V, Mittag-Leffler Functions, Related Topics and Applications, Springer, Heidelberg, 2014.
- 3 He B B, Zhou H C, Kou C H, Chen Y Q, New integral inequalities and asymptotic stability of fractional order systems with unbounded time delay, Nonlinear Dynamics 94 (2018) 1523–1534.