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## Secure Polar Coding for a Joint Source-Channel Model

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### Appendix A Proof of Lemma 2

First, we have

$$\begin{aligned}
 |H(\bar{A}_j^{1:n}[\mathcal{T}]|Z_s^{1:n}) - H(A^{1:n}[\mathcal{T}]|Z_s^{1:n})| &\stackrel{(a)}{\leq} V(p_{\bar{A}_j^{1:n}Z_s^{1:n}}, p_{A^{1:n}Z_s^{1:n}}) \times \log \frac{2^n |\mathcal{Z}_s|^n}{V(p_{\bar{A}_j^{1:n}Z_s^{1:n}}, p_{A^{1:n}Z_s^{1:n}})} \\
 &\leq V(p_{\bar{A}_j^{1:n}Z_s^{1:n}}, p_{A^{1:n}Z_s^{1:n}}) \times \left( n(1 + \log |\mathcal{Z}_s|) - \log V(p_{\bar{A}_j^{1:n}Z_s^{1:n}}, p_{A^{1:n}Z_s^{1:n}}) \right) \quad (\text{A1}) \\
 &\stackrel{(b)}{\leq} \sqrt{2 \ln 2} \sqrt{n\delta_n} \left( n(1 + \log |\mathcal{Z}_s|) - \log(\sqrt{2 \ln 2} \sqrt{n\delta_n}) \right) = O(n\sqrt{n\delta_n}),
 \end{aligned}$$

where (a) holds by [1, Theorem 17.3.3], (b) holds by Lemma 1 and the fact that  $f(x) \triangleq x(a - \log_2 x)$  is increasing for  $x$  close enough to zero. Then, we have

$$\begin{aligned}
 |\mathcal{T}| - H(\bar{A}_j^{1:n}[\mathcal{T}]|Z_s^{1:n}) &\stackrel{(a)}{\leq} |\mathcal{T}| - H(A^{1:n}[\mathcal{T}]|Z_s^{1:n}) + O(n\sqrt{n\delta_n}) \\
 &\stackrel{(b)}{\leq} |\mathcal{T}| - \sum_{i \in \mathcal{T}} H(A^i | A^{1:i-1} Z_s^{1:n}) + O(n\sqrt{n\delta_n}) \\
 &\stackrel{(c)}{\leq} |\mathcal{T}| - \sum_{i \in \mathcal{T}} (1 - \delta_n) + O(n\sqrt{n\delta_n}) \\
 &= |\mathcal{T}| \delta_n + O(n\sqrt{n\delta_n}) = O(n\sqrt{n\delta_n}), \quad (\text{A2})
 \end{aligned}$$

where (a) holds by (A1), (b) holds because conditioning reduces entropy, (c) holds by the definition of  $\mathcal{V}_{U|Z_s}$ .

### Appendix B Proof of Lemma 3

Recall that  $F_j \triangleq \bar{A}_j^{1:n}[\mathcal{F}_a]$  in the encoding scheme. From Lemma 2, we know that

$$H(F_j) \geq H(F_j | Z_s^{1:n}) \geq |\mathcal{F}_a| - O(n\sqrt{n\delta_n}). \quad (\text{B1})$$

Since  $M_j \triangleq (F_j, \bar{F}_j \oplus \bar{K}_{j-1})$ , then we have

$$\begin{aligned}
 H(M_j) - H(F_j) &= H(\bar{F}_j \oplus \bar{K}_{j-1} | F_j) \\
 &\geq H(\bar{F}_j \oplus \bar{K}_{j-1} | F_j \bar{F}_j \Phi) \\
 &= H(\bar{K}_{j-1} | F_j \bar{F}_j \Phi) \\
 &\stackrel{(a)}{=} H(\bar{K}_{j-1} | \Phi) \\
 &= H(\bar{K}_{j-1} \Phi) - H(\Phi) \quad (\text{B2}) \\
 &\geq H(\bar{K}_{j-1} \Phi | Z_s^{1:n}) - H(\Phi) \\
 &\stackrel{(b)}{\geq} |\mathcal{F}_b| + |\mathcal{V}_{U|X_s}| - O(n\sqrt{n\delta_n}) - H(\Phi) \\
 &\stackrel{(c)}{=} |\mathcal{F}_b| - O(n\sqrt{n\delta_n}),
 \end{aligned}$$

where (a) holds by the Markov chain  $\bar{K}_{j-1} \rightarrow \Phi \rightarrow F_j \bar{F}_j$ , (b) holds by the encoding scheme and Lemma 2, (c) holds by the uniformity of  $\Phi$ , i.e.,  $H(\Phi) = |\mathcal{V}_{U|X_s}|$ . Finally we conclude by combing (B1) and (B2).

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## Appendix C Proof of Lemma 4

We only prove the first inequality, the other one can be similarly obtained. First, we have

$$\begin{aligned}
I(K_j \bar{K}_j; F_j \Phi Z_{s_j}^{1:n}) &= H(K_j \bar{K}_j) - H(K_j \bar{K}_j | F_j \Phi Z_{s_j}^{1:n}) \\
&= H(K_j \bar{K}_j) + H(F_j \Phi | Z_{s_j}^{1:n}) - H(K_j \bar{K}_j F_j \Phi | Z_{s_j}^{1:n}) \\
&\leq |K_j| + |\bar{K}_j| + |F_j| + |\Phi| - H(K_j \bar{K}_j F_j \Phi | Z_{s_j}^{1:n}) \\
&\stackrel{(a)}{=} |\mathcal{V}_{U|Z_s}| - H(\bar{A}_j^{1:n}[\mathcal{V}_{U|Z_s}] | Z_{s_j}^{1:n}) \stackrel{(b)}{\leq} O(n\sqrt{n\delta_n}),
\end{aligned} \tag{C1}$$

where (a) holds by the definition of  $\{K_j, \bar{K}_j, F_j, \Phi\}$ , (b) holds by Lemma 2.

Recall that  $M_j \triangleq (F_j, \bar{F}_j \oplus K_{j-1})$ . Then we continue as follows.

$$\begin{aligned}
I(K_j \bar{K}_j; M_j \Phi Z_{s_j}^{1:n}) - I(K_j \bar{K}_j; F_j \Phi Z_{s_j}^{1:n}) &= I(K_j \bar{K}_j; \bar{F}_j \oplus \bar{K}_{j-1} | F_j \Phi Z_{s_j}^{1:n}) \\
&\stackrel{(a)}{\leq} I(K_j \bar{K}_j F_j \Phi Z_{s_j}^{1:n}; \bar{F}_j \oplus \bar{K}_{j-1}) \\
&= H(\bar{F}_j \oplus \bar{K}_{j-1}) - H(\bar{F}_j \oplus \bar{K}_{j-1} | K_j \bar{K}_j F_j \Phi Z_{s_j}^{1:n}) \\
&\leq |\bar{K}_{j-1}| - H(\bar{F}_j \oplus \bar{K}_{j-1} | K_j \bar{K}_j F_j \Phi Z_{s_j}^{1:n}) \\
&\leq |\bar{K}_{j-1}| - H(\bar{F}_j \oplus \bar{K}_{j-1} | K_j \bar{K}_j F_j \Phi Z_{s_j}^{1:n} \bar{F}_j) \\
&= |\bar{K}_{j-1}| - H(\bar{K}_{j-1} | K_j \bar{K}_j F_j \Phi Z_{s_j}^{1:n} \bar{F}_j) \\
&\stackrel{(b)}{=} |\bar{K}_{j-1}| - H(\bar{K}_{j-1} | \Phi) \\
&= |\bar{K}_{j-1}| + H(\Phi) - H(\bar{K}_{j-1} | \Phi) \\
&= |\bar{K}_{j-1}| + |\Phi| - H(\bar{K}_{j-1} | \Phi) \\
&\stackrel{(c)}{\leq} |\bar{K}_{j-1}| + |\Phi| - H(\bar{K}_{j-1} | \Phi Z_{s_j}^{1:n}) \stackrel{(d)}{\leq} O(n\sqrt{n\delta_n}),
\end{aligned} \tag{C2}$$

where (a) holds by the chain rule and positivity of mutual information, (b) holds because  $\bar{K}_{j-1} \rightarrow \Phi \rightarrow K_j \bar{K}_j F_j \Phi Z_{s_j}^{1:n} \bar{F}_j$ , (c) holds by the definition of  $\{\Phi, \bar{K}_{j-1}\}$ , (d) holds by Lemma 2. The first inequality is obtained by combining (C1) and (C2).

## Appendix D Proof of Lemma 5

Consider a sequence  $\bar{B}_2^{1:n}$ , where  $\bar{B}_2^{1:n}[\mathcal{V}_{V_2}]$  carry uniformly distributed bits and the remaining bits are successively determined by the conditional probability  $p_{\bar{B}_2^i | \bar{B}_2^{1:i-1}}(b_2^i | \bar{B}_2^{1:i-1})$ , for  $i \in (\mathcal{V}_{V_2})^c$ . Let  $\bar{V}_2^{1:n} = \bar{B}_2^{1:n} G_n$ . Further, consider a sequence  $\bar{B}_1^{1:n}$ , where  $\bar{B}_1^{1:n}[\mathcal{V}_{V_1 | V_2}]$  carry uniformly distributed bits and the remaining bits are successively determined by the conditional probability  $p_{\bar{B}_1^i | \bar{B}_1^{1:i-1} \bar{V}_2^{1:n}}(b_1^i | \bar{B}_1^{1:i-1} \bar{V}_2^{1:n})$ , for  $i \in (\mathcal{V}_{V_1 | V_2})^c$ . Let  $\bar{V}_1^{1:n} = \bar{B}_1^{1:n} G_n$ . Similar to the proof of [2, Lemma 5], we have

$$\begin{aligned}
D(p_{\bar{V}_2^{1:n}} || p_{\bar{V}_2^{1:n}}) &\leq n\delta_n, \\
D(p_{\bar{V}_1^{1:n} | \bar{V}_2^{1:n}} || p_{\bar{V}_1^{1:n} | \bar{V}_2^{1:n}}) &\leq n\delta_n.
\end{aligned} \tag{D1}$$

Then by the chain rule for divergence [1], we have

$$D(p_{\bar{V}_1^{1:n} \bar{V}_2^{1:n}} || p_{\bar{V}_1^{1:n} \bar{V}_2^{1:n}}) = D(p_{\bar{V}_2^{1:n}} || p_{\bar{V}_2^{1:n}}) + D(p_{\bar{V}_1^{1:n} | \bar{V}_2^{1:n}} || p_{\bar{V}_1^{1:n} | \bar{V}_2^{1:n}}) \leq 2n\delta_n. \tag{D2}$$

In the encoding scheme,  $(\bar{S}_j^2, M_j^2)$  are carried by  $\bar{B}_2^{1:n}[\mathcal{Z}_2]$ . Notice that,  $\bar{S}_j^2$  is uniformly distributed because

$$H(\bar{S}_j^2) = H(S_j^2 \oplus K_j^2) \geq H(S_j^2 \oplus K_j^2 | K_j^2) = H(S_j^2 | K_j^2) = H(S_j^2), \tag{D3}$$

where the last equality holds by the independence between  $S_j$  and  $K_j$ . Note that  $M_j^2$  is a subvector of  $M_j$ . Similar to (D1), we have by Lemma 3

$$D(p_{\bar{V}_2^{1:n}} || p_{\bar{V}_2^{1:n}}) \leq |M_j^2| - H(M_j^2) \leq O(n\sqrt{n\delta_n}). \tag{D4}$$

In the encoding scheme,  $(S_j^1, \bar{S}_j^{1a}, M_j^{1a})$  and  $(\bar{S}_j^{1b}, M_j^{1b})$  are carried by  $\bar{B}_{1j}^{1:n}[\mathcal{Z}_{1a} \setminus \mathcal{R}'_{1b}]$  and  $\bar{B}_{1j}^{1:n}[\mathcal{Z}_{1b} \cup \mathcal{R}'_{1b}]$ , respectively. Moreover,  $R_{j-1} \triangleq \bar{B}_{1,j-1}^{1:n}[\mathcal{R}'_{1b}]$  is repeated in  $\bar{B}_{1j}^{1:n}[\mathcal{R}_{1b}]$ . Let  $R_{j-1} \triangleq (R_{j-1}^s, R_{j-1}^m)$ , where  $R_{j-1}^s$  and  $R_{j-1}^m$  are the subvector of  $\bar{S}_{j-1}^{1b}$  and  $M_{j-1}^{1b}$ , respectively. Similar to (D3), it is easy to see that  $\{\bar{S}_j^1, \bar{S}_j^{1a}, \bar{S}_j^{1b}\}$  are uniformly distributed. Let  $M_j^1 \triangleq (M_j^{1a}, M_j^{1b})$ .

Then we have

$$\begin{aligned}
 D(p_{\tilde{V}_{1j}^1:n|\tilde{V}_{2j}^1:n}||p_{\tilde{V}_1^1:n|\tilde{V}_2^1:n}) &\leq |M_j^1| + |R_{j-1}^m| - H(M_j^1 R_{j-1}^m) \\
 &= |M_j^1| + |R_{j-1}^m| - H(M_j^1) - H(R_{j-1}^m) + I(M_j^1; R_{j-1}^m) \\
 &\stackrel{(a)}{\leq} O(n\sqrt{n\delta_n}) + I(M_j^1; R_{j-1}^m) \\
 &\leq O(n\sqrt{n\delta_n}) + I(M_j^1 \Phi \bar{K}_{j-1}; R_{j-1}^m) \\
 &= O(n\sqrt{n\delta_n}) + I(\Phi \bar{K}_{j-1}; R_{j-1}^m) + I(M_j^1; R_{j-1}^m | \Psi \bar{K}_{j-1}) \\
 &\stackrel{(b)}{=} O(n\sqrt{n\delta_n}) + I(\Phi \bar{K}_{j-1}; R_{j-1}^m) \stackrel{(c)}{=} O(n\sqrt{n\delta_n}),
 \end{aligned} \tag{D5}$$

where (a) holds by Lemma 3, i.e.,  $|M_j^1| - H(M_j^1) \leq O(n\sqrt{n\delta_n})$ ,  $|R_{j-1}^m| - H(R_{j-1}^m) \leq O(n\sqrt{n\delta_n})$ , (b) holds because  $R_{j-1}^m \rightarrow \Psi \bar{K}_{j-1} \rightarrow M_j^1$ , (c) holds by Lemma 4. Then by the chain rule for divergence [1], we have

$$D(p_{\tilde{V}_{1j}^1:n|\tilde{V}_{2j}^1:n}||p_{\tilde{V}_1^1:n|\tilde{V}_2^1:n}) = D(p_{\tilde{V}_{2j}^1:n}||p_{\tilde{V}_2^1:n}) + D(p_{\tilde{V}_{1j}^1:n|\tilde{V}_{2j}^1:n}||p_{\tilde{V}_1^1:n|\tilde{V}_2^1:n}) \leq O(n\sqrt{n\delta_n}). \tag{D6}$$

Since  $V_2 \rightarrow V_1 \rightarrow X_c \rightarrow Y_c Z_c$ , we can factorize the joint distributions as

$$\begin{aligned}
 p_{V_2^1:n|V_1^1:n|X_c^1:n|Y_c^1:n|Z_c^1:n} &= p_{V_2^1:n|V_1^1:n} p_{X_c^1:n|V_1^1:n} p_{Y_c^1:n|Z_c^1:n|X_c^1:n}, \\
 p_{\tilde{V}_{2j}^1:n|\tilde{V}_{1j}^1:n|\tilde{X}_{cj}^1:n|\tilde{Y}_{cj}^1:n|\tilde{Z}_{cj}^1:n} &= p_{\tilde{V}_{2j}^1:n|\tilde{V}_{1j}^1:n} p_{\tilde{X}_{cj}^1:n|\tilde{V}_{1j}^1:n} p_{\tilde{Y}_{cj}^1:n|\tilde{Z}_{cj}^1:n|\tilde{X}_{cj}^1:n}.
 \end{aligned} \tag{D7}$$

Note that,  $p_{X_c^1:n|V_1^1:n} = p_{\tilde{X}_{cj}^1:n|\tilde{V}_{1j}^1:n}$  and  $p_{Y_c^1:n|Z_c^1:n|X_c^1:n} = p_{\tilde{Y}_{cj}^1:n|\tilde{Z}_{cj}^1:n|\tilde{X}_{cj}^1:n}$ . Finally we have

$$\begin{aligned}
 V(p_{\tilde{V}_{2j}^1:n|\tilde{V}_{1j}^1:n|\tilde{X}_{cj}^1:n|\tilde{Y}_{cj}^1:n|\tilde{Z}_{cj}^1:n}, p_{V_2^1:n|V_1^1:n|X_c^1:n|Y_c^1:n|Z_c^1:n}) &\stackrel{(a)}{=} V(p_{\tilde{V}_{1j}^1:n|\tilde{V}_{2j}^1:n}, p_{V_1^1:n|V_2^1:n}) \\
 &\stackrel{(b)}{\leq} V(p_{\tilde{V}_{1j}^1:n|\tilde{V}_{2j}^1:n}, p_{\tilde{V}_1^1:n|\tilde{V}_2^1:n}) + V(p_{\tilde{V}_1^1:n|\tilde{V}_2^1:n}, p_{V_1^1:n|V_2^1:n}) \\
 &\stackrel{(c)}{\leq} \sqrt{2 \ln 2} \left( \sqrt{2n\delta_n} + \sqrt{O(n\sqrt{n\delta_n})} \right) \\
 &= O\left(\sqrt{n\sqrt{n\delta_n}}\right),
 \end{aligned} \tag{D8}$$

where (a) holds by [3, Lemma 17], (b) holds by the triangle inequality, (c) holds by (D2), (D6) and Pinsker's inequality.

## References

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