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## Global adaptive stabilization for planar nonlinear systems with unknown input powers

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### Appendix A The explicit construction of $\lambda_1(\cdot)$ and $\lambda_2(\cdot)$

Choose  $\lambda_1(y, z) = z(1 + y^2)$  and  $\lambda_2(y, z) = z(1 + y^2)^z(1 + \exp(1 + y^2))$ . Clearly,  $\lambda_1(\cdot)$  satisfies (2) and  $\lambda_2(\cdot)$  satisfies the first relation in (3), and the last two relations in (3) can be verified as follows:

$$\left\{ \begin{array}{l} \lim_{z \rightarrow +\infty} \inf_{y \in \mathbf{R} \setminus \{0\}} \frac{z(1 + y^2)^z(1 + \exp(1 + y^2))}{|y|^z} \\ = \lim_{z \rightarrow +\infty} \inf_{y \in \mathbf{R} \setminus \{0\}} z \left( \frac{1}{|y|} + |y| \right)^z (1 + \exp(1 + y^2)) \geq \lim_{z \rightarrow +\infty} z = +\infty, \\ \lim_{|y| \rightarrow +\infty} \inf_{z \geq 1} \frac{z(1 + y^2)^z(1 + \exp(1 + y^2))}{|y|^c} \\ = \lim_{|y| \rightarrow +\infty} \frac{(1 + y^2)(1 + \exp(1 + y^2))}{|y|^c} = +\infty, \quad \forall c \geq 1. \end{array} \right.$$

### Appendix B Proof of Proposition 1

We first introduce the following lemmas, which would be frequently used in the proof.

**Lemma 1.** If  $p_1 > 0$ ,  $p_2 > 0$  and  $c > 0$ , then there holds for  $\forall x, y \in \mathbf{R}$ ,

$$|x|^{p_1}|y|^{p_2} \leq c \frac{p_1}{p_1 + p_2} |x|^{p_1 + p_2} + c^{-\frac{p_1}{p_2}} \frac{p_2}{p_1 + p_2} |y|^{p_1 + p_2}.$$

**Lemma 2.** If  $p \geq 1$ , then there holds for  $\forall x_i \in \mathbf{R}$ ,  $i = 1, \dots, m$ ,

$$\sum_{i=1}^m |x_i|^p \leq \left( \sum_{i=1}^m |x_i| \right)^p \leq m^{p-1} \sum_{i=1}^m |x_i|^p.$$

**Lemma 3.** If  $\underline{p} < p < \bar{p}$ , then there holds for  $\forall x \in \mathbf{R}$ ,

$$|x|^p \leq |x|^{\underline{p}} + |x|^{\bar{p}}.$$

**Lemma 4.** If  $p \geq 1$ , then there holds for  $\forall x, y \in \mathbf{R}$ ,

$$|[x]^p - [y]^p| \leq |x - y|^p + p|x - y|(|x|^{p-1} + |y|^{p-1}),$$

with  $[\cdot]^p = \text{sign}(\cdot) \cdot |\cdot|^p$ .

The next proof is divided into the following two steps.

**Step 1:** Let  $V_1(x_1) = \frac{1}{2}x_1^2$ . Along the trajectories of system (1) and by Assumption 3, we have

$$\dot{V}_1 = g_1(x)x_1[x_2]^{p_1(t)} + x_1 f_1(x) \leq g_1(x)x_1[x_2]^{p_1(t)} + \theta h_1(x_1)|x_1|^{1+\bar{p}}. \quad (\text{B1})$$

Then, by (4), (6) and Assumption 2, and noting  $\lambda_2(\cdot) \geq 1$  and  $h_1(x_1) \geq 1$ , we have

$$\begin{aligned} \dot{V}_1 &\leq g_1(x)x_1[x_2]^{p_1(t)} + \theta h_1(x_1)|x_1|^{1+\bar{p}} - g_1(x)x_1[\alpha_1]^{p_1(t)} \\ &\quad + g_1(x)x_1 \left[ - \left( g_1^{-1}(x_1) + g_1^{-\frac{1}{\lambda_1(x_1, K)}}(x_1) \right) \lambda_2(x_1, K) h_1(x_1)x_1 \right]^{p_1(t)} \\ &\leq g_1(x)x_1 \left( [x_2]^{p_1(t)} - [\alpha_1]^{p_1(t)} \right) + \theta h_1(x_1)|x_1|^{1+\bar{p}} \end{aligned}$$

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$$-a_1 \left( \underline{g}_1^{1-p_1(t)}(x_1) + \underline{g}_1^{1-\frac{p_1(t)}{\lambda_1(x_1, K)}}(x_1) \right) \lambda_2(x_1, K) h_1(x_1) |x_1|^{1+p_1(t)}. \quad (\text{B2})$$

**Step 2:** Along the trajectories of system (1), and by (4) and  $V(\cdot) = V_1(\cdot) + \frac{1}{2}\xi_2^2$ , we have

$$\dot{V} = \dot{V}_1 + g_2(x)\xi_2[u]^{p_2(t)} + \xi_2 f_2(x) - \xi_2 \frac{\partial \alpha_1}{\partial x_1} (g_1(x)[x_2]^{p_1(t)} + f_1(x)) - \xi_2 \frac{\partial \alpha_1}{\partial K} \dot{K}. \quad (\text{B3})$$

We next give the appropriate estimations for the last three terms (denoted by ①, ②, ③, respectively) on the right-hand side of (B3).

By Assumption 3, (4), and Lemmas 1 and 2, we have

$$\begin{aligned} \textcircled{1} &= \xi_2 f_2(x) \leq \theta h_2(x_{[2]}) (|x_1|^{\bar{p}} + |x_2|^{\bar{p}}) |\xi_2| \\ &\leq \theta h_2(x_{[2]}) \left( |x_1|^{\bar{p}} + 2^{\bar{p}-1} \left( |\xi_2|^{\bar{p}} + \beta_1^{\bar{p}} |x_1|^{\bar{p}} \right) \right) |\xi_2| \\ &\leq \theta 2^{\bar{p}-1} h_2(x_{[2]}) |\xi_2|^{1+\bar{p}} + \theta 2^{\bar{p}-1} h_2(x_{[2]}) \left( 1 + (1 + \beta_1)^{\bar{p}} \right) |x_1|^{\bar{p}} |\xi_2| \\ &\leq |x_1|^{1+\bar{p}} + \Theta_1 H_1(x, K) |\xi_2|^{1+\bar{p}}, \end{aligned} \quad (\text{B4})$$

where  $\Theta_1 = \theta 2^{\bar{p}-1} + 2^{\bar{p}^2-1} \theta^{1+\bar{p}}$  is an unknown positive constant, and

$$H_1(\cdot) = h_2(x) + h_2^{1+\bar{p}}(x) \left( 1 + (1 + \beta_1)^{\bar{p}} \right)^{1+\bar{p}}$$

is a positive smooth function. Remark that  $H_1(\cdot) \geq 1$  is strictly increasing on the unknown positive constant  $\bar{p}$ . Then, to show the dependence on  $\bar{p}$  intuitively, we let  $H_1(x, K) = H_1(x, K, \bar{p})$  with some abuse of notation.

By (1), (4), Lemmas 1 and 2, and noting  $p_1(t) \leq \bar{p}$ , we have

$$\begin{aligned} \textcircled{2} &= -\xi_2 \frac{\partial \alpha_1}{\partial x_1} (g_1(x)[x_2]^{p_1(t)} + f_1(x)) \\ &\leq |\xi_2| \cdot \left| \frac{\partial \alpha_1}{\partial x_1} \right| \left( b_1 \bar{g}_1(x) 2^{p_1(t)-1} \left( |\xi_2|^{p_1(t)} + \beta_1^{p_1(t)} |x_1|^{p_1(t)} \right) + \theta h_1(x_1) |x_1|^{\bar{p}} \right) \\ &\leq 2^{p_1(t)-1} b_1 \bar{g}_1(x) \left| \frac{\partial \alpha_1}{\partial x_1} \right| \cdot |\xi_2|^{1+p_1(t)} + 2^{p_1(t)-1} b_1 \bar{g}_1(x) \left| \frac{\partial \alpha_1}{\partial x_1} \right| (1 + \beta_1)^{p_1(t)} |x_1|^{p_1(t)} |\xi_2| \\ &\quad + \theta h_1(x_1) \left| \frac{\partial \alpha_1}{\partial x_1} \right| \cdot |x_1|^{\bar{p}} |\xi_2| \\ &\leq |x_1|^{1+p_1(t)} + \Theta_2 H_2(x, K) |\xi_2|^{1+p_1(t)} + |x_1|^{1+\bar{p}} + \Theta_3 H_3(x, K) |\xi_2|^{1+\bar{p}}, \end{aligned} \quad (\text{B5})$$

where  $\Theta_2 = 2^{\bar{p}-1} b_1 + 2^{\bar{p}^2-1} b_1^{1+\bar{p}}$  and  $\Theta_3 = \theta^{1+\bar{p}}$  are unknown positive constants, and

$$\begin{cases} H_2(\cdot) = \left( 1 + \bar{g}_1^2(x) \left( \frac{\partial \alpha_1}{\partial x_1} \right)^2 \right)^{\frac{1+p_1(t)}{2}} \left( 1 + (1 + \beta_1)^{p_1(t)(1+p_1(t))} \right), \\ H_3(\cdot) = \left( 1 + \left( \frac{\partial \alpha_1}{\partial x_1} \right)^2 \right)^{\frac{1+\bar{p}}{2}} h_1^{1+\bar{p}}(x_1). \end{cases}$$

Similar to the discussions on  $H_1(\cdot)$ , we see that  $H_2(\cdot)$  and  $H_3(\cdot)$  are strictly increasing respectively on the unknown positive parameters  $p_1(t)$  and  $\bar{p}$ , and let  $H_2(x, K) = H_2(x, K, p_1(t))$  and  $H_3(x, K) = H_3(x, K, \bar{p})$ .

By (5) and Lemma 1, we have

$$\textcircled{3} = -\xi_2 \frac{\partial \alpha_1}{\partial K} (|x_1|^K + |\xi_2|^K) \leq |x_1|^{1+K} + H_4(x_1, K) |\xi_2|^{1+K}, \quad (\text{B6})$$

where

$$H_4(\cdot) = \left( 1 + \left( \frac{\partial \alpha_1}{\partial K} \right)^2 \right)^{\frac{1+K}{2}} + \left( 1 + \left( \frac{\partial \alpha_1}{\partial K} \right)^2 \right)^{\frac{1}{2}}.$$

Moreover, by (4) and Lemmas 1, 2 and 4, we have

$$\begin{aligned} g_1(x)x_1 \left( [x_2]^{p_1(t)} - [\alpha_1]^{p_1(t)} \right) &\leq b_1 \bar{g}_1(x) |x_1| \left( |\xi_2|^{p_1(t)} + p_1(t) |\xi_2| (|x_2|^{p_1(t)-1} + |\alpha_1|^{p_1(t)-1}) \right) \\ &\leq b_1 \bar{g}_1(x) |x_1| \left( |\xi_2|^{p_1(t)} + p_1(t) |\xi_2| \left( 2^{p_1(t)-1} \left( |\xi_2|^{p_1(t)-1} + \beta_1^{p_1(t)-1} |x_1|^{p_1(t)-1} \right) + |\beta_1 x_1|^{p_1(t)-1} \right) \right) \\ &\leq |x_1|^{1+p_1(t)} + \Theta_4 H_5(x, K) |\xi_2|^{1+p_1(t)}, \end{aligned} \quad (\text{B7})$$

where  $\Theta_4 = b_1^2(4 + 2^{\bar{p}}\bar{p}^2) + 4^{\bar{p}}(b_1\bar{p})^{1+\bar{p}}(1 + 2^{\bar{p}^2-1})$  is an unknown positive constant, and

$$H_5(\cdot) = (1 + \bar{g}_1(x))^2 + (1 + \beta_1 \bar{g}_1(x))^{1+p_1(t)} + (1 + \bar{g}_1(x))^{1+p_1(t)} (1 + \beta_1)^{p_1(t)-1}$$

is a positive smooth function, and strictly increasing on  $p_1(t)$ . Similarly, we let  $H_5(x, K) = H_5(x, K, p_1(t))$ .

Thus, substituting (B2) and (B4)–(B7) into (B3) yields

$$\begin{aligned} \dot{V} &\leq -a_1 \left( \underline{g}_1^{1-p_1(t)}(x_1) + \underline{g}_1^{1-\frac{p_1(t)}{\lambda_1(x_1, K)}}(x_1) \right) \lambda_2(x_1, K) h_1(x_1) |x_1|^{1+p_1(t)} + (\theta h_1(x_1) + 2) |x_1|^{1+\bar{p}} \\ &\quad + 2|x_1|^{1+p_1(t)} + |x_1|^{1+K} + (\Theta_1 H_1(x, K, \bar{p}) + \Theta_3 H_3(x, K, \bar{p})) |\xi_2|^{1+\bar{p}} \\ &\quad + (\Theta_2 H_2(x, K, p_1(t)) + \Theta_4 H_5(x, K, p_1(t))) |\xi_2|^{1+p_1(t)} + H_4(x_1, K) |\xi_2|^{1+K} + g_2(x)\xi_2[u]^{p_2(t)}. \end{aligned}$$

By this, (4), (6) and noting (by Lemma 3)

$$\begin{cases} H_2(x, K, p_1(t)) \leq H_2(x, K, \bar{p}), \\ H_5(x, K, p_1(t)) \leq H_5(x, K, \bar{p}), \\ |\xi_2|^{1+\bar{p}} \leq (1 + \xi_2^2)^{\frac{\bar{p}}{2}} |\xi_2|^{1+p_1(t)}, \end{cases}$$

we obtain (7) with  $\Theta = 1 + \sum_{i=1}^4 \Theta_i$ , and

$$H(\cdot) = \left( H_1(x, K, \bar{p}) + H_3(x, K, \bar{p}) \right) (1 + \xi_2^2)^{\frac{\bar{p}}{2}} + H_2(x, K, \bar{p}) + H_5(x, K, \bar{p}) + H_4(x_1, K).$$

Clearly, it is not difficult to see that  $H(\cdot)$  is a positive smooth function and strictly increasing on  $\bar{p}$ .

### Appendix C Proof of (8)

From (2), (3), and  $\lim_{t \rightarrow T_f} K(t) = +\infty$ , there always exists  $t_1$  such that for  $\forall t \in [t_1, T_f)$

$$\begin{cases} K(t) \geq \bar{p} + 2, \\ \lambda_1(x_1(t), K(t)) \geq \bar{p}, \\ \lambda_1(x_1^2(t) + \xi_2^2(t), K(t)) \geq \bar{p}, \\ \frac{1}{2} a_1 \lambda_2(x_1(t), K(t)) \geq (1 + \theta)(4|x_1(t)|^{K(t)} + 6), \\ \frac{1}{2} a_2 \lambda_2(x_1^2(t) + \xi_2^2(t), K(t)) \geq (1 + \Theta)(2 + 2|\xi_2(t)|^{K(t)}). \end{cases} \quad (C1)$$

Actually, the first three relations can be seen directly from the first relation of (2) and the fact  $\lim_{t \rightarrow T_f} K(t) = +\infty$ , and the last two relations can be shown by the first two relations of (3) and  $\lim_{t \rightarrow T_f} K(t) = +\infty$ .

From the first three relations in (C1), and Lemma 3 in Appendix B, it follows that for  $\forall t \in [t_1, T_f)$

$$\begin{cases} \underline{g}_1^{1-p_1(t)}(x_1(t)) + \underline{g}_1^{1-\frac{p_1(t)}{\lambda_1(x_1(t), K(t))}}(x_1(t)) \geq 1, \\ \underline{g}_2^{1-p_2(t)}(x(t)) + \underline{g}_2^{1-\frac{p_2(t)}{\lambda_1(x_1^2(t) + \xi_2^2(t), K(t))}}(x(t)) \geq 1, \\ |\xi_2(t)|^{1+p_1(t)} + |\xi_2(t)|^{1+K(t)} \leq (2 + 2|\xi_2(t)|^{K(t)}) |\xi_2(t)|^{1+\frac{p_2(t)}{\lambda_1(x_1^2(t) + \xi_2^2(t), K(t))}}. \end{cases} \quad (C2)$$

Again by using the first relation in (C1), and Lemma 3 in Appendix B, we have for  $\forall t \in [t_1, T_f)$

$$\begin{aligned} & (\theta h_1(x_1(t)) + 2)|x_1(t)|^{1+\bar{p}} + 2|x_1(t)|^{1+p_1(t)} + |x_1(t)|^{1+K(t)} \\ & \leq (1 + \theta)h_1(x_1(t)) \left( 3|x_1(t)|^{\bar{p}-p_1(t)} + 2 + |x_1(t)|^{K(t)-p_1(t)} \right) |x_1(t)|^{1+p_1(t)} \\ & \leq (1 + \theta)h_1(x_1(t)) (4|x_1(t)|^{K(t)} + 6) |x_1(t)|^{1+p_1(t)}. \end{aligned} \quad (C3)$$

Then, from (7), (C2), (C3), and noting  $h_1(x_1) \geq 1$  and

$$H(x(t), K(t), \lambda_1(x_1^2(t) + \xi_2^2(t), K(t))) \geq H(x(t), K(t), \bar{p}) \geq 1,$$

it follows that for  $\forall t \in [t_1, T_f)$

$$\dot{V}(t) \leq -\frac{1}{2} a_1 \lambda_2(x_1(t), K(t)) |x_1(t)|^{1+p_1(t)} - \frac{1}{2} a_2 \lambda_2(x_1^2(t) + \xi_2^2(t), K(t)) |\xi_2|^{1+\frac{p_2(t)}{\lambda_1(x_1^2(t) + \xi_2^2(t), K(t))}}.$$

By this and noting that the last two relations of (C1) directly imply (by Lemma 3 in Appendix B)

$$\begin{cases} \frac{1}{2} a_1 \lambda_2(x_1(t), K(t)) \geq 1 + |x_1(t)|^{K(t)} \geq |x_1(t)|^{K(t)-p_1(t)-1}, \\ \frac{1}{2} a_2 \lambda_2(x_1^2(t) + \xi_2^2(t), K(t)) \geq 1 + |\xi_2(t)|^{K(t)} \geq |\xi_2(t)|^{K(t)-1-\frac{p_2(t)}{\lambda_1(x_1^2(t) + \xi_2^2(t), K(t))}}, \end{cases}$$

we thus obtain (8).

### Appendix D Proof of (10)

Noting the boundedness of  $K(t)$  on  $[t_0, T_f)$ , we have  $K(t) \leq M$ ,  $t \in [t_0, T_f)$  with  $M$  being some positive constant. From  $\lim_{t \rightarrow T_f} \|x(t)\| = +\infty$  with  $T_f \leq +\infty$ , the next proof is proceeded in the following two cases.

**Case I:**  $\lim_{t \rightarrow T_f} |x_1(t)| = +\infty$ . From (2) and (3), and the boundedness of  $K(t)$ , there exists  $t_3$ , such that for  $\forall t \in [t_3, T_f)$ ,

$$\begin{cases} |x_1(t)| \geq 1, \\ \lambda_1(x_1(t), K(t)) \geq \bar{p}, \\ \lambda_1(x_1^2(t) + \xi_2^2(t), K(t)) \geq \bar{p}, \\ a_1 \lambda_2(x_1(t), K(t)) \geq (1 + \theta) \left( 3 + 4|x_1(t)|^{1+\bar{p}} + |x_1(t)|^{1+M} \right), \\ a_2 \lambda_2(x_1^2(t) + \xi_2^2(t), K(t)) \geq \Theta (2 + |\xi_2(t)|^{\bar{p}} + |\xi_2(t)|^M). \end{cases} \quad (D1)$$

Actually, the first three relations can be obtained directly from the second relation of (2) and the fact  $\lim_{t \rightarrow T_f} |x_1(t)| = +\infty$ , and the last two relations can be shown by the last relation of (3) and  $\lim_{t \rightarrow T_f} |x_1(t)| = +\infty$ .

From the second and third relations in (D1), Lemma 3 in Appendix B, and  $K(t) \leq M$ ,  $t \in [t_0, T_f]$ , it follows that for  $\forall t \in [t_3, T_f]$

$$\begin{cases} \underline{g}_1^{1-p_1(t)}(x_1(t)) + \underline{g}_1^{1-\frac{p_1(t)}{\lambda_1(x_1(t), K(t))}}(x_1(t)) \geq 1, \\ \underline{g}_2^{1-p_2(t)}(x(t)) + \underline{g}_2^{1-\frac{p_2(t)}{\lambda_1(x_1^2(t) + \xi_2^2(t), K(t))}}(x(t)) \geq 1, \\ |\xi_2(t)|^{1+p_1(t)} + |\xi_2(t)|^{1+K(t)} \leq (2 + |\xi_2(t)|^{\bar{p}} + |\xi_2(t)|^M) |\xi_2(t)|^{1+\frac{p_2(t)}{\lambda_1(x_1^2(t) + \xi_2^2(t), K(t))}}. \end{cases} \quad (\text{D2})$$

Then, by (7), and noting for  $\forall t \in [t_3, T_f]$

$$\begin{cases} (\theta h_1(x_1(t)) + 2)|x_1(t)|^{1+\bar{p}} + 2|x_1(t)|^{1+p_1(t)} + |x_1(t)|^{1+K(t)} \\ \leq (1 + \theta)h_1(x_1(t)) (3 + 4|x_1(t)|^{1+\bar{p}} + |x_1(t)|^{1+M}), \\ H(x(t), K(t), \lambda_1(x_1^2(t) + \xi_2^2(t), K(t))) \geq H(x(t), K(t), \bar{p}) \geq 1, \end{cases}$$

we obtain

$$\dot{V}(t) \leq 0, \quad \forall t \in [t_3, T_f].$$

**Case II:**  $\sup_{t \in [t_0, T_f]} |x_1(t)| < +\infty$  and  $\lim_{t \rightarrow T_f} |x_2(t)| = +\infty$ . From (4) and the boundedness of  $K(t)$  on  $[t_0, T_f]$ , it follows that

$$\begin{cases} \lim_{t \rightarrow T_f} |\xi_2(t)| = +\infty, \\ (\theta h_1(x_1(t)) + 2)|x_1(t)|^{1+\bar{p}} + 2|x_1(t)|^{1+p_1(t)} + |x_1(t)|^{1+K(t)} \leq \bar{M}, \quad \forall t \in [t_0, T_f], \end{cases}$$

where  $\bar{M} \geq 1$  is some constant. Then, there exists  $t_4$ , such that for  $\forall t \in [t_4, T_f]$ ,

$$\begin{cases} |\xi_2(t)| \geq \bar{M}, \\ \lambda_1(x_1^2(t) + \xi_2^2(t), K(t)) \geq \bar{p}, \\ \frac{1}{2}a_2\lambda_2(x_1^2(t) + \xi_2^2(t), K(t)) \geq \Theta(2 + |\xi_2(t)|^{\bar{p}} + |\xi_2(t)|^M) + 1. \end{cases} \quad (\text{D3})$$

Actually, the first two relations can be obtained directly from the second relation of (2) and the fact  $\lim_{t \rightarrow T_f} |\xi_2(t)| = +\infty$ , and the last relation can be shown by the last relation of (3) and  $\lim_{t \rightarrow T_f} |\xi_2(t)| = +\infty$ .

By (D3) and Lemma 3 in Appendix B, we obtain that for  $\forall t \in [t_4, T_f]$ ,

$$\begin{cases} \underline{g}_2^{1-p_2(t)}(x(t)) + \underline{g}_2^{1-\frac{p_2(t)}{\lambda_1(x_1^2(t) + \xi_2^2(t), K(t))}}(x(t)) \geq 1, \\ |\xi_2(t)|^{1+p_1(t)} + |\xi_2(t)|^{1+K(t)} \leq (2 + |\xi_2(t)|^{\bar{p}} + |\xi_2(t)|^M) |\xi_2(t)|^{1+\frac{p_2(t)}{\lambda_1(x_1^2(t) + \xi_2^2(t), K(t))}}, \\ H(x(t), K(t), \lambda_1(x_1^2(t) + \xi_2^2(t), K(t))) \geq H(x(t), K(t), \bar{p}) \geq 1, \end{cases}$$

which together with (7) implies

$$\dot{V}(t) \leq 0, \quad \forall t \in [t_4, T_f].$$

Thus, combining the above two cases and letting  $t_2 = \max\{t_3, t_4\}$ , we obtain (10).