

# Semantic part segmentation of single-view point cloud

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As a classic topic in computer graphics, the semantic part segmentation of 3D data is helpful for 3D part-level editing and modeling. Single-views point cloud is the raw format of 3D data. Giving each point a semantic annotation in single-view point cloud, i.e., single-view point cloud semantic part segmentation, is meaningful and challenging.

In the last decades, many studies have focused on extracting effective geometric descriptors or training high-level features to perform this semantic segmentation task [1]. However, these features have primarily been extracted according to the 3D shape topology. Most traditional methods are inapplicable to 3D point cloud. Few studies have focused on part-level point cloud semantic segmentation. A few researchers have completed this task based on deep learning [2–4]. However, such methods often use multi-view synthetic point clouds as input, rather than single-view point cloud.

To address this problem, we propose transferring semantic annotations from synthetic models to single-view point cloud. The pipeline of our method is shown in Figure 1. After establishing a database of 3D synthetic CAD models, we matched single-view point cloud with all the models in the database to find models for guidance. Then, we registered the single-view point cloud with the guidance models and built point-level correspondences between them. Finally, we transferred the annotations from the guidance models to the input point cloud. We tested our method on both, synthetic and real scanned single-view

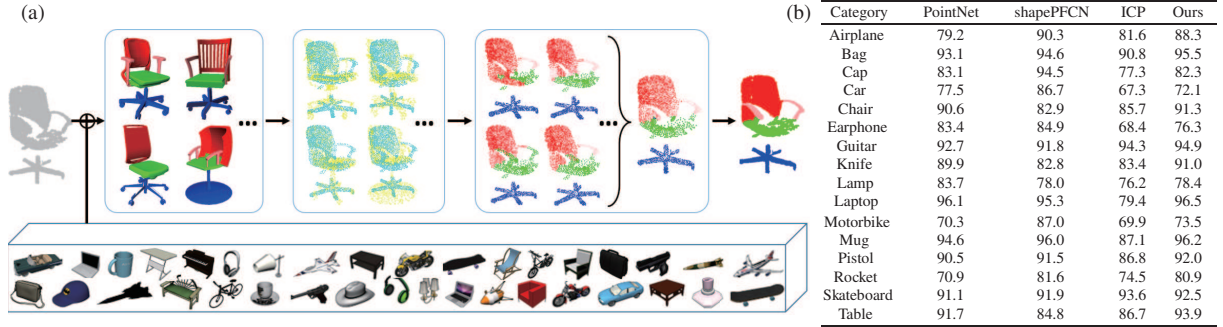
point cloud datasets. The results indicate that our method can effectively segment incomplete point cloud with higher annotation accuracy and less calculation time in comparison to the existing state-of-the-art methods.

*Category independent matching.* Using the single-view point cloud  $T$  as the input, we implemented an orthogonal projection and extracted features from the projection referring to 3D-Model-Retrieval approach [5]. We also extracted features from the orthogonal projections of the universal set of the matching database. This approach measures the similarity between the 3D models via visual similarity of their projection. A total of 20 orthogonal projections of an object are encoded via both Zernike moments and Fourier descriptors as features required for later matching. These 20 projection views are distributed uniformly and can roughly represent the shape of a 3D model. Note that we used only one projection of the point cloud  $T$  to extract descriptors because our input is a single-view point cloud.

We compared the descriptor of  $T$  to that of each orthogonal projection in the database in Euclid space and selected the minimum dissimilarity value to be the difference between  $T$  and one model in the database. We ranked the values of the obtained difference to acquire the matching list of  $T$ . The matching process is category independent. We chose the top 10 models on the matching list as the guidance models.

*Point cloud registration.* We sampled the guid-

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**Figure 1** (Color online) (a) Architecture of our approach; (b) comparison using the synthetic dataset.

ance models into point cloud, denoted  $S$ , using the triangle faces. Ideally each point in  $T$  has a corresponding point in  $S$ . They have the same part label and are the shortest distance from each other.

We calculated the point-to-plane distance between  $S$  and the rotated  $T$  to select the best rotation matrix:  $\text{dist} = \sum_t ((M \times T_i - S_i) \times n_i)^2$ , wherein  $T_i$  and  $S_i$  are the coordinates of the point cloud,  $M$  is the rotation matrix from the point cloud  $T$  to the point cloud  $S$ , and  $n_i$  is the unit normal of  $S$ .

By shrinking the basic angle, we gradually obtained the rotation angle for each axis; therefore, we obtained the rotation matrix from  $T$  to  $S$ :  $R_{\text{angle}} = R_x(\alpha_x \times \theta_o) \times R_y(\alpha_y \times \theta_o) \times R_z(\alpha_z \times \theta_o)$ , wherein  $R_x$  is the rotation matrix,  $\theta_o$  is the basic angle,  $\alpha_x$  is the multiple coefficients, and  $R_{\text{angle}}$  is the final rotation angle.

It is observed that the rotation angles are more distributed in the upper hemisphere in the world coordinate system. We do not need to traverse all rotation angles at the three axes. Accordingly, we narrowed the range and used progressive refinement to effectively reduce the calculation cost.

After calculating the rotation matrix, we used binary search to find the transformed vector from the rotated  $T$  to  $S$ . Because the point clouds  $S$  and  $T$  are scaled into  $(1, 1)$  in the coordinate system, we parallelly translate  $S$  in  $(1.5, 1.5)$  coordinate space to find the transformed vector. In this retrieval process we cannot obtain guidance models that are extremely similar to the input point cloud in topology; however we can obtain the annotations transferred from different guidance models.

After obtaining the rotation and transform matrices, we can establish a point-level correspondence between  $S$  and  $T$ . Every point in  $T$  has a corresponding point in  $S$  whose distance is the shortest.

*Annotation transfer.* One point carries only one semantic annotation; however, each point cloud  $T$  has  $N$  top guidance models. To obtain the final annotation transfer result we used the energy

function:  $E(L) = \min(E_d(L) + E_n(L))$  where  $L$  is an annotation transformation between a point pair  $(p, q)$  in  $T$  and a guidance point cloud  $S$ .

$E_d$  is the distance cost. Not all points in  $T$  can be labeled using only one guidance model; however these points can obtain labels from other guidance models or from their neighbors. For this purpose, we used a threshold:

$$E_d(L) = \begin{cases} +\infty, & \text{if } D(p, q) \geq \text{th1}, \\ \min_{q \in S} D(p, q), & \text{if } D(p, q) < \text{th1}, \end{cases} \quad (1)$$

where  $D$  is the Euclidean distance.

$E_n$  is the normal cost. It is the binarization of normal angles between the point pairs. This item ensures that the point in the segment boundary has the correct label transfer.  $\text{ang}$  is the angle between these two normals.

$$E_n(L) = \begin{cases} +\infty, & \text{if } \text{ang}(p, q) \geq \text{th2}, \\ \min_{q \in S} \text{ang}(p, q), & \text{if } \text{ang}(p, q) < \text{th2}. \end{cases} \quad (2)$$

We used a point-level graph cut optimization to refine mistakes near the boundary. Using a k-nearest search we built a point adjacency graph with each node in the graph representing a label. To refine the existing label  $L$  to  $L'$ , we used the following graph cut algorithm:  $E'(L') = E'_d(L') + \lambda_s E'_s(L')$ . The factors  $\lambda_s$  is set to 0.5. The data cost  $E'_d$  is set to preserve the original segment label.

$$E'_d(L') = \begin{cases} 0, & \text{if } L(p) = L'(p), \\ \frac{D(p, b(p))}{\max_i D(i, b(p))}, & \text{if } L(p) \neq L'(p), \end{cases} \quad (3)$$

where  $D(p, b(p))$  is the distance from point  $p$  (with label  $L'$ ) to the same label boundary ( $L'$ ), and  $D(i, b(p))$  is the distance of all points from label  $L'$  to the label boundary.

The smooth term  $E'_s(L')$  is set to constrain the neighboring points, tending them to share the

same label.

$$E'_s(L') = \begin{cases} 0, & \text{if } L'(p) = L'(p'), \\ \text{ang}(N_p, N_{p'})/\pi, & \text{if } L'(p) \neq L'(p'), \end{cases} \quad (4)$$

where  $p$  and  $p'$  are neighbors in  $T$  searched for via a k-nearest search.

*Experiments and comparisons.* We built a dataset of synthetic single-view point clouds to verify our method. The database was obtained from the ShapeNet core [6]. The database contains 16 model categories, which include more than 15000 3D CAD models, including cars, guitars, airplanes, laptops and tables. In addition, we collected 4 model categories from the COSEG dataset [7] to enrich the diversity of the database, which include vases, chairs, and lamps.

We compared our approach to PointNet [3] and shapePFCN [8]. In addition, we compared the ICP algorithm [9] to our registration algorithm. Figure 1(b) shows that we achieve more desirable results in a the majority of categories.

We also developed a real scanned dataset called SVPCD (single-view point cloud dataset). Additional contents can be found in the supplementary documents.

*Conclusion and discussion.* In this study, we proposed a part-level semantic segmentation annotation method for single-view point cloud using the guidance of labeled synthetic models. We performed experiments and demonstrated that our method could achieve excellent results with multiple datasets. Moreover, we established a SVPCD dataset captured from the real world to prove the effectiveness of our approach.

Even though single-view point cloud always have holes and missing structures, our matching and registration processes ensure that the semantic annotations are successfully transferred from synthetic models to the point cloud. However, our method includes several limitations. First, our method depends on labeled synthetic models, which are difficult to establish. Second, we only focus on single object part-level semantic segmentation problem and are not able to apply our

method to the 3D scene data.

Many interesting future extensions are possible based on our work. For example, solving the 3D scene point cloud semantic segmentation problem or taking the RGB color images into consideration to assist understanding point cloud.

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**Supporting information** Videos and other supplemental documents. The supporting information is available online at [info.scichina.com](http://info.scichina.com) and [link.springer.com](http://link.springer.com). The supporting materials are published as submitted, without typesetting or editing. The responsibility for scientific accuracy and content remains entirely with the authors.

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