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## Three matrix conditions for the reduction of finite automata based on the theory of semi-tensor product of matrices

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### Appendix A Propositions used in the body of the letter

**A.1** (Definition of STP). Consider  $A \in M_{m \times n}$  and  $B \in M_{p \times q}$ . Let  $\alpha$  be the least common multiple of  $n$  and  $p$ . The STP of  $A$  and  $B$  is defined as  $A \times B = (A \otimes I_{\alpha/n})(B \otimes I_{\alpha/p})$ , where  $\otimes$  is the Kronecker product of matrices.

**A.2** (Proposition 1) [1]. Let  $X \in R^m$  and  $Y \in R^n$  be column vectors, then  $W_{[m,n]} \times X \times Y = Y \times X$  and  $W_{[n,m]} \times Y \times X = X \times Y$ , where  $W_{[m,n]}$  is a  $mn \times mn$  matrix defined as follows. Its rows and columns are arranged by the ordered multi-index  $Id[j, i; n, m]$  and  $Id[i, j; m, n]$ , respectively. The element at the position  $[(I, J), (i, j)]$  is 1 for  $I = i$  and  $J = j$ , otherwise 0.

**A.3** (Proposition 2) [1]. Let  $X \in R^s$  be a column vector and  $A \in M_{m \times n}$  be a matrix, then  $X \times A = (I_s \otimes A) \times X$ .

**A.4** (Proposition 3) [2]. For  $x \in \Delta_m$  and  $y \in \Delta_n$ , we have  $D_{[m,n]} \times x \times y = y$  and  $D_{[n,m]} \times W_{[m,n]} \times x \times y = x$ , where  $D_{[m,n]} = \mathbf{1}_m \otimes I_n$ .

**A.5** (Proposition 4) [3]. Consider  $k$ -valued logical variables  $x_i \in \Delta_k$  ( $i = 1, 2, \dots, n$ ), for some of them  $x_{i_1}, x_{i_2}, \dots, x_{i_m}$  ( $i_1 < i_2 < \dots < i_m$ ), there is a unique matrix  $L$  such that

$$x_{i_1} \times x_{i_2} \times \dots \times x_{i_m} = L \times x_1 \times x_2 \times \dots \times x_n. \quad (\text{A1})$$

**A.6** (Proposition 5) [4]. Let  $M_n = \delta_2[2 \ 1]$ , then for  $x \in \Delta$ , we have  $\bar{x} = M_n \times x$ , where  $\bar{x}$  is the negation of  $x$ .

**A.7** (Proposition 6) [4]. Let  $x_i \in \Delta_2$  ( $i = 1, 2, \dots, n$ ) be 2-valued logical variables; then for  $x_{i_1}, x_{i_2}, \dots, x_{i_m}$  ( $i_1 < i_2 < \dots < i_m$ ) and  $x_j$  ( $1 \leq j \leq n$ ), there exists a unique matrix  $P$  making  $\bar{x}_j \times x_{i_1} \times x_{i_2} \times \dots \times x_{i_m} = P \times x$  hold, where  $x = x_1 \times x_2 \times \dots \times x_n$ .

**A.8** (Proposition 7). Consider  $k$ -valued logical variables  $x_i \in \Delta_k$  ( $i = 1, 2, \dots, n$ ), there is a unique matrix  $E$  satisfying that  $\sum_{i=1}^n x_i = E \times x$ , where  $x = \times_{i=1}^n x_i$ ,  $E = D_{[k^{n-1}, k^1]} \sum_{i=1}^n W_{[k^i, k^{n-i}]}$ ,  $D_{[m,n]} = \mathbf{1}_m \otimes I_n$ .

Proof. (Existence). From Proposition 4, there exists a unique matrix  $E_i = D_{[k^{n-1}, k^1]} W_{[k^i, k^{n-i}]}$  such that  $x_i = E_i \times x$ . We thus have  $\sum_{i=1}^n x_i = (\sum_{i=1}^n E_i) \times x = (\sum_{i=1}^n (D_{[k^{n-1}, k^1]} W_{[k^i, k^{n-i}]}) \times x = D_{[k^{n-1}, k^1]} \sum_{i=1}^n (W_{[k^i, k^{n-i}]}) \times x = E \times x$ .

(Uniqueness). The proof is omitted here also due to the similarity to that of the uniqueness of Proposition 4.

**A.9** (Proposition 8). Suppose that  $x_i \in \Delta_2$  ( $i = 1, 2, \dots, n$ ) are 2-valued logical variables and  $Q$  is the sum vector of  $x_i$ ; then  $\text{col}_j(Q) \leq n$  holds for all  $j = 1, 2, \dots, 2^n$ .

Proof. (Using contradiction to prove). From Definition 3 in the body of the letter, we have  $Q = \text{row}_1(E)$ . From Proposition 7, we have  $\sum_{i=1}^n x_i = \text{col}_k(E)$ ,  $1 \leq k \leq 2^n$ . Assume there is a  $j$  ( $1 \leq j \leq 2^n$ ) such that  $\text{col}_j(Q) = n + 1$ . Then there exists a  $k$  ( $1 \leq k \leq 2^n$ ) such that  $\text{col}_k(E) = \delta_2^{n+1}$ . Thus there exists a set of  $(x_{i_1}, x_{i_2}, \dots, x_{i_n})$  such that  $\sum_{i=1}^n x_i = \delta_2^{n+1}$ . This is apparently impossible. The proof is completed.

The following result is an immediate consequence of Propositions 7 and 8.

**A.10** (Proposition 9). Suppose that  $x_i \in \Delta_2$  ( $i = 1, 2, \dots, n$ ) are 2-valued logical variables,  $Q$  is their sum vector, and that  $x = \times_{i=1}^n x_i = \delta_2^j$ ,  $x' = \times_{i=1}^n x'_i = \delta_2^{j'}$ ; then  $\text{col}_l(Q) \geq \text{col}_{l'}(Q)$  if and only if the number of  $\delta_2^2$  in  $\{x'_i | i = 1, \dots, n\}$  is larger than that of  $\delta_2^2$  in  $\{x_i | i = 1, \dots, n\}$ .

**A.11** (Proposition 10) [5]. Let  $M_r = \delta_4[1 \ 4]$ . For  $x \in \Delta$ , then  $x^2 = M_r \times x$ .

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## Appendix B Proofs in the body of the letter.

This section gives the proofs of the three necessary and sufficient conditions in the body of the letter.

### B.1 (Proof of Compatible Enclosure Condition).

(Sufficiency) If  $\text{col}_l(K) = 0$ , it follows from  $K = \sum_{i=1}^n K_i^{\mathcal{G}}$  that  $\text{col}_l(K) = \text{col}_l(\sum_{i=1}^n K_i^{\mathcal{G}}) = \sum_{i=1}^n \text{col}_l(K_i^{\mathcal{G}}) = 0$ . Recall that  $K_i^{\mathcal{G}}$  is a vector with components being 0 or 1, thus  $\text{col}_l(K_i^{\mathcal{G}}) = 0$  holds for all  $i$ . Since  $K_i^{\mathcal{G}} := JL_{s_i}$ , where  $J$  is a  $1 \times (2^{t_i} - 1)$  row vector whose first element is 1 others are 0s;  $L_{s_i}$  is described in Definition 1 of the letter, we then get

$$\text{col}_l(L_{s_i}) \neq \delta_{2^{t_i}}^1. \quad (\text{B1})$$

From Definition 1 in the body of the letter and  $x^{\mathcal{G}} = \times_{i=1}^r x_i^{\mathcal{G}}$ , we have that

$$x_{j_1}^{\mathcal{G}} \times x_{j_2}^{\mathcal{G}} \times \cdots \times x_{j_{t_i}}^{\mathcal{G}} = \text{col}_l(L_{s_i}). \quad (\text{B2})$$

(B1) and (B2) suggests that  $x_{j_1}^{\mathcal{G}} \times x_{j_2}^{\mathcal{G}} \times \cdots \times x_{j_{t_i}}^{\mathcal{G}} \neq \delta_{2^{t_i}}^1$  for all  $i$ . By Definition of STP, for all  $i$  there is at least one  $x_{j_k}^{\mathcal{G}}$  ( $1 \leq k \leq t_i$ ) such that  $x_{j_k}^{\mathcal{G}} = \delta_2^2$ . This shows that there exists at least one  $C_{j_k} \in \mathcal{C}_{s_i}$  in  $\mathcal{G}$ , thus  $s_i \in \mathcal{G}$  holds for all  $i$ . The proof is completed.

(Necessity) If  $\mathcal{G}$  contains  $S$ , then for  $s_i, 1 \leq i \leq n$ , there is at least one  $C_{j_k} \in \mathcal{C}_{s_i} = \{C_{j_1}, C_{j_2}, \dots, C_{j_{t_i}}\}$  belonging to  $\mathcal{G}$ , it follows therefore from the definition of logical vector of  $C_{j_k}$  associated with  $\mathcal{G}$  that  $x_{j_k}^{\mathcal{G}}$  is  $\delta_2^2$ . According to Definition of STP, we have  $x_{j_1}^{\mathcal{G}} \times x_{j_2}^{\mathcal{G}} \times \cdots \times x_{j_{t_i}}^{\mathcal{G}} \neq \delta_{2^{t_i}}^1$ . On the other hand, By Definition 1 in the body of the letter, we see that  $x_{j_1}^{\mathcal{G}} \times x_{j_2}^{\mathcal{G}} \times \cdots \times x_{j_{t_i}}^{\mathcal{G}} = \text{col}_l(L_{s_i})$ . We thus have  $\text{col}_l(L_{s_i}) \neq \delta_{2^{t_i}}^1$ . Since  $K_i^{\mathcal{G}}$  is a vector of components being 0 or 1, we get  $\text{col}_l(K_i^{\mathcal{G}}) = 0$ . Here  $i$  is arbitrary, it follows therefore from  $K = \sum_{i=1}^n K_i^{\mathcal{G}}$  that  $\text{col}_l(K) = 0$ . The necessity is proved.

### B.2 (Proof of Delegate Set Condition).

(Sufficiency). Suppose that  $\text{col}_l(H) = 0$ , then  $0 = \text{col}_l(H) = \text{col}_l(T+K) = \text{col}_l(T) + \text{col}_l(K) = \text{col}_l(\sum_{i=1}^r \sum_{a \in A} T_{i_a}^{\mathcal{G}}) + \text{col}_l(K) = \sum_{i=1}^r \sum_{a \in A} \text{col}_l(T_{i_a}^{\mathcal{G}}) + \text{col}_l(K)$ . Since  $T_{i_a}^{\mathcal{G}}$  is a vector of components being 0 or 1, we have  $\text{col}_l(K) = 0$ , and

$$\sum_{a \in A} \text{col}_l(T_{i_a}^{\mathcal{G}}) = 0 \quad (\text{B3})$$

for all  $i$ . It follows then from Compatible Enclosure Condition that  $\mathcal{G}$  contains  $S$ , i.e.,  $S \subseteq \mathcal{G}$ . It is suffice from the definition of DSSS to show that  $\mathcal{G}$  is a closed set. Since  $T_{i_a}^{\mathcal{G}}$  is a vector of components being 0 or 1, (B3) implies that  $\text{col}_l(T_{i_a}^{\mathcal{G}}) = 0$  for all  $a \in A$ . Without loss of generality, take some certain  $a \in A$  for consideration, it follows from Definition 2 in the body of the letter that 1)  $|C_{i_a}| \leq 1$  implies that  $a$  moves  $M$  to at most one state  $s' \in S$  from  $s \in C_i$ , it is evident from  $S \subseteq \mathcal{G}$  that

$$s' = f_s(a, s) \in \mathcal{G}. \quad (\text{B4})$$

for all  $s \in C_i$ . 2). By Definition of STP, it follows from  $x^{\mathcal{G}} = \delta_{2^r}^l$  and Definition 2 in the body of the letter that  $\bar{x}_i^{\mathcal{G}} \times x_{j_1}^{\mathcal{G}} \times x_{j_2}^{\mathcal{G}} \times \cdots \times x_{j_{t_i}}^{\mathcal{G}} = \text{col}_l(P_i)$ . We then get  $\bar{x}_i^{\mathcal{G}} \times x_{j_1}^{\mathcal{G}} \times x_{j_2}^{\mathcal{G}} \times \cdots \times x_{j_{t_i}}^{\mathcal{G}} \neq \delta_{2^{t_i+1}}^1$ . If  $C_i \in \mathcal{G}$ , then  $\bar{x}_i^{\mathcal{G}} = \delta_2^1$ . Using Definition of STP again we know that there is at least one  $x_{j_m}^{\mathcal{G}}$  ( $1 \leq m \leq t_i$ ) such that  $x_{j_m}^{\mathcal{G}} = \delta_2^2$ . This shows  $C_{j_m} \in \mathcal{G}$ ; it follows then from the definition of the state transfer package of  $C_i$  that

$$f_s(a, s) \in C_{j_m} \in \mathcal{G} \quad (\text{B5})$$

for all  $s \in C_i$ . From (B5), (B4) and the arbitrariness of  $a$ , we know that  $f_s(a, s) \in \mathcal{G}$  for all  $s \in C_i$ . This means  $\mathcal{G}$  is closed, thus  $\mathcal{G}$  is a DSSS. The sufficiency is then proved.

(Necessity). Suppose that  $\mathcal{G}$  is a DSSS, then  $\mathcal{G}$  contains  $S$ , it follows from Compatible Enclosure Condition that  $\text{col}_l(K) = 0$ . For  $C_j \in \mathcal{G}$  ( $1 \leq j \leq r$ ) with  $x_j^{\mathcal{G}} = \delta_2^2$ , the closure property of  $\mathcal{G}$  implies that there exists a  $C_{j_k} \in \mathcal{G}$  with  $x_{j_k}^{\mathcal{G}} = \delta_2^2$  such that  $f_s(a, s) \in C_{j_k}$  for all  $s \in C_j$  and all  $a \in A$  that  $f_s(a, s)$  is defined well. From the definition of the state transfer package of  $C_i$ , we see that  $C_{j_k} \in \mathcal{E}_{i_a}$ . Since  $x_j^{\mathcal{G}} = \delta_2^2$  and  $x_{j_k}^{\mathcal{G}} = \delta_2^2$ , we have that (from Definition of STP)  $C_i$ . It follows then from Definition 2 in the body of the letter and  $x^{\mathcal{G}} = \delta_{2^r}^l$  that  $\text{col}_l(P_i) \neq \delta_{2^{t_i+1}}^1$ . If  $|C_{i_a}| > 1$ , then  $\text{col}_l(T_{i_a}^{\mathcal{G}}) = 0$  for all  $a \in A$ . For  $|C_{i_a}| \leq 1$ , we have  $T_{i_a}^{\mathcal{G}} = [0 \cdots 0]$ . Thus  $\text{col}_l(T_{i_a}^{\mathcal{G}}) = 0$  for all  $a \in A$ . It follows that

$\text{col}_l(T) = \text{col}_l(\sum_{i=1}^r \sum_{a \in A} T_{i_a}^{\mathcal{G}}) = \sum_{i=1}^r \sum_{a \in A} \text{col}_l(T_{i_a}^{\mathcal{G}}) = 0$ . Since  $\text{col}_l(K) = 0$ , we get  $\text{col}_l(H) = 0$ . This proves the necessity.

### B.3 (Proof of Least Delegate Set Condition).

(Sufficiency). Suppose that  $\mathcal{G}$  is not a DSSS, it follows from Delegate Set Condition that  $\text{col}_l(H) \neq 0$ . Since the components of  $H$  are nonnegative integers, we have  $\text{col}_l(H) \geq 1$ . Substituting  $\text{col}_l(H) \geq 1$  into  $N = 2rH - Q$ , it follows from Proposition 8 that  $\text{col}_l(N) \geq 2r - r = r$ . Since  $\text{col}_l(N) = \min(\text{col}(N))$ , we have  $0 = \text{col}_{l'}(N) \geq \text{col}_l(N) \geq r \geq 1$ . This is a contradiction. Thus  $\mathcal{G}$  is a DSSS. If there is another DSSS  $\mathcal{G}'$  with  $x^{\mathcal{G}'} = \times_{i=1}^r x_i^{\mathcal{G}'} = \delta_{2^r}^l$ . Let  $Q$  be the sum vector of  $x_i^{\mathcal{G}'}$  ( $i = 1, \dots, r$ ). It follows from Delegate Set Condition that  $\text{col}_{l'}(H) = 0$ . Since  $\text{col}_l(N) = \min(\text{col}(N))$ , then  $\text{col}_{l'}(N) \geq \text{col}_l(N)$ , this shows from  $N = 2rH - Q$  that  $\text{col}_l(Q) \geq \text{col}_{l'}(Q)$ . By Proposition 9, the number of  $\delta_2^2$  in  $\{x_i^{\mathcal{G}'} | i = 1, \dots, r\}$  is smaller than that of  $\delta_2^2$  in  $\{x_i^{\mathcal{G}} | i = 1, \dots, r\}$ , that is,  $|\mathcal{G}| \leq |\mathcal{G}'|$ , which shows  $\mathcal{G}$  is the LDSSS. The sufficiency is proved.

(Necessity). If  $\text{col}_l(N) \neq \min(\text{col}(N))$ , then there exists an  $l'$ :

$$\text{col}_{l'}(N) < \text{col}_l(N). \quad (\text{B6})$$

Since  $\mathcal{G} = \{C_k | 1 \leq k \leq r, x_k^{\mathcal{G}} = \delta_2^2\}$  with  $x^{\mathcal{G}} = \delta_{2r}^1$  is the LDSSS, it follows from Delegate Set Condition that  $col_l(H) = 0$ . Therefore

$$col_l(N) = -col_l(Q) \leq 0. \quad (B7)$$

If  $\mathcal{G}' = \{C_k | 1 \leq k \leq r, x_k^{\mathcal{G}'} = \delta_2^2\}$  with  $x^{\mathcal{G}'} = \delta_{2r}^1$  is not a DSSS, it follows that  $col_{l'}(H) \geq 1$ . Thus we have  $col_{l'}(N) \geq 2r - r = r > col_l(N)$ . This contradicts (B6), which shows that  $\mathcal{G}'$  is also a DSSS. According to Delegate Set Condition, then  $col_{l'}(H) = 0$ . From  $N = 2rH - Q$ , we get that

$$col_{l'}(N) = 2rcol_{l'}(H) - col_{l'}(Q) = -col_{l'}(Q). \quad (B8)$$

Combining (B8) with (B7) and (B6) gives  $col_{l'}(Q) > col_l(Q)$ . It follows from Proposition 9 that the number of  $\delta_2^2$  in  $\{x_i^{\mathcal{G}'} | 1 \leq i \leq r\}$  is smaller than that of  $\delta_2^2$  in  $\{x_i^{\mathcal{G}} | 1 \leq i \leq r\}$ ; this conflicts with the fact that  $\mathcal{G}$  is an LDSSS. Therefore  $col_l(N) = \min(\text{col}(N))$ . The proof of the necessity is then proved.

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