

# Cryptanalysis of round-reduced ASCON

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ASCON<sup>1)</sup> is a candidate to the ongoing CAESAR competition<sup>2)</sup> which is launched to identify good authenticated encryption schemes from 2013. In CT-RSA 2015, the designers performed several detailed cryptanalysis on ASCON which retrieved the key for ASCON with at most 6-round initialization in a nonce-respecting scenario [1]. They also gave forgery attacks on 3/4-round finalization with  $2^{33}/2^{101}$  messages in a nonce-misuse scenario. This article provides key recovery attacks on round-reduced version of ASCON with 7 rounds initialization and 5 rounds phase of plaintext processing, which works on round-reduced initialization comprising more than half number of original 12 rounds in the first time. In addition, we create forgery on 4/5/6 rounds finalization with  $2^9/2^{17}/2^{33}$  messages, respectively, which is more practical compared to the previous ones. Our work is summarized in Table 1.

*Brief description of ASCON.* ASCON is designed by Dobraunig et al. The release of ASCON v1.1 passed into the second round of CAESAR competition, which comes in two favors, ASCON-128 and ASCON-128a.

*State recovery attack on ASCON.* Let  $X^i$  denote the input of the  $i$ -th round permutation.  $X[i]$  denotes the  $i$ -th bit of state word  $X$ .  $X^{i,j}$  denotes

the  $j$ -th state word of input of the  $i$ -th round permutation. And  $X_C^{i,j}$ ,  $X_S^{i,j}$ ,  $X_L^{i,j}$  denote the  $j$ -th state word of output of  $p_C$ ,  $p_S$ ,  $p_L$  in the  $i$ -th round permutation, respectively.

We focus on retrieving the key of the round-reduced version of ASCON where the initialization has 7 out of 12 rounds and the phase of plaintext processing has 5 out of 6 rounds. The attack consists of two main steps. The first step applies cube-like technique [2] to recover the intermediate state at the beginning of the phase of the plaintext processing. According to [1] and the ANF of  $S$ -box, we have the following property on which our attack is based.

**Property 1.** The cube sum  $\sum X_L^5$  of each output bit after 5-round ASCON permutation in the phase of plaintext processing depends on the value of  $X^{1,1}[i]$ ,  $X^{1,3}[i]$  and  $X^{1,4}[i]$ , not depends on the value of  $X^{1,2}[i]$ .

Our attack is separated to preprocessing and online phases, where the preprocessing phase does not depend on the online values of the secret key. The preprocessing phase builds a table containing all cube-sum corresponding to each evaluation of the unknown bits  $X^{1,1}$ ,  $X^{1,3}$  and  $X^{1,4}$  with the complexity  $2^{66}$ . The online phase requires  $2^{18}$  time

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1) <http://ascon.iaik.tugraz.at>.2) <http://competitions.cr.yt.to/caesar.html>.

**Table 1** Results for ASCON-128

Attack type	Target	Scenario	Rounds	Time	Method	Source
Distinguisher	Permutation	–	12/12	$2^{130}$	Zero-sum	Ref. [1]
Key recovery	Initialization	Nonce-respecting	6/12	$2^{66}$	Cube-like	Ref. [1]
Key recovery	Initialization	Nonce-respecting	5/12	$2^{35}$	Cube-like	Ref. [1]
Key recovery	Initialization	Nonce-respecting	5/12	$2^{36}$	Differential-linear	Ref. [1]
Key recovery	Initialization	Nonce-respecting	4/12	$2^{18}$	Differential-linear	Ref. [1]
Key recovery	Initialization	Nonce-misuse	7/12	$2^{97}$	Cube-like	This article
Key recovery	Initialization	Nonce-misuse	7/12	$2^{97}$	Cube tester	This article
State recovery	Plaintext processing	Nonce-misuse	5/6	$2^{66}$	Cube-like	This article
Forgery	Finalization	Nonce-misuse	4/12	$2^{101}$	Differential	Ref. [1]
Forgery	Finalization	Nonce-misuse	3/12	$2^{33}$	Differential	Ref. [1]
Forgery	Finalization	Nonce-misuse	6/12	$2^{33}$	Cube tester	This article
Forgery	Finalization	Nonce-misuse	5/12	$2^{17}$	Cube tester	This article
Forgery	Finalization	Nonce-misuse	4/12	$2^9$	Cube tester	This article

to check with the cube sum obtained in the attack in the above table and obtains the specific value of the unknown words  $X^{1,1}$ ,  $X^{1,3}$  and  $X^{1,4}$ . Then we proceed to brute-force to recover  $X^{1,2}$ .

*Key recovery attack on ASCON with cube-like technique.* After the initialization, the rows 0, 1 and 2 of the state could be recovered if there are no associated data, while rows 3 and 4 are XORed with the key words  $K_1$  and  $K_2$ , respectively. We introduce two definitions about equivalent key words  $eK_1 = \Sigma_3^{-1}(K_1)$ ,  $eK_2 = \Sigma_4^{-1}(K_2)$ .

The careful selection of the cube variables leads to the following properties:

**Property 2** ([1]). If we select the  $N_2[0, \dots, 31]$  as our cube variables, the cube sum of each output bit after 6-round ASCON permutation in the initialization depends on the value of  $K_1[0, \dots, 31]$ , not depends on the value of  $K_1[32, \dots, 63]$  and  $K_2[0, \dots, 63]$ .

**Property 3.** If we want to obtain  $\sum X_L^{6,3}$  from  $X_S^7$ , it is much easier to directly compute the Xor of  $X_L^{6,3}$  since the value of  $\sum X_L^{6,3}$  depends on  $eK_2$ , but not depends on  $eK_1$ .

Our attack is still separated to preprocessing and online phases. The preprocessing phase costs the complexity  $2^{65}$ , when the online phase requires the complexity  $2^{97}$ . Finally, the remaining key bits need to be brute-forced with an additional complexity of  $2^{64}$ .

*Key recovery attack on ASCON with cube tester.* We propose a cube tester [3] for 6-round ASCON permutation to retrieve the key of 7-round initialization as an alternative option to attacks using

cube-like technique.

For 6 rounds ASCON permutation, we choose a set of  $2^{33}$  nonces and introduce some new concepts of equivalent keys  $eK_1 = \Sigma_3^{-1}(K_1)$ ,  $eK_2 = \Sigma_4^{-1}(K_2)$ . Then we can retrieve the key from a very obvious way with the complexity  $2^{97}$ .

*Forgery attack for ASCON.* A cube tester for 5/6-round of ASCON permutation has a practical complexity of  $2^{17}/2^{33}$ . Such cube testers could be used to perform a forgery attack on the round-reduced version of ASCON with 5/6-round finalization with practical complexity  $2^{17}/2^{33}$ , which are reduced substantially from [1].

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